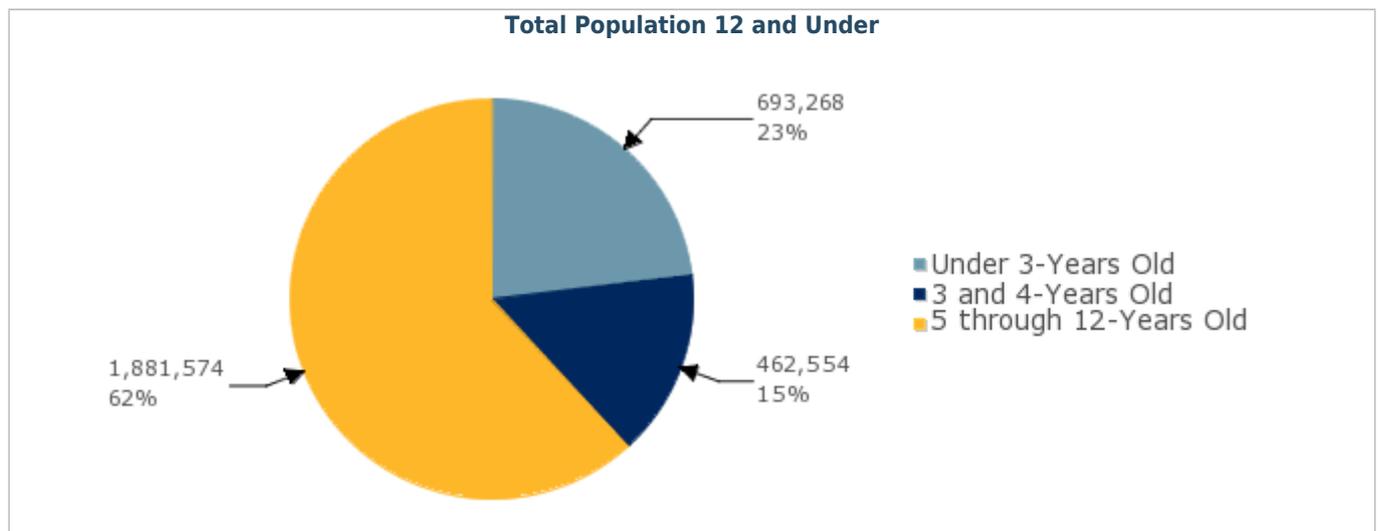




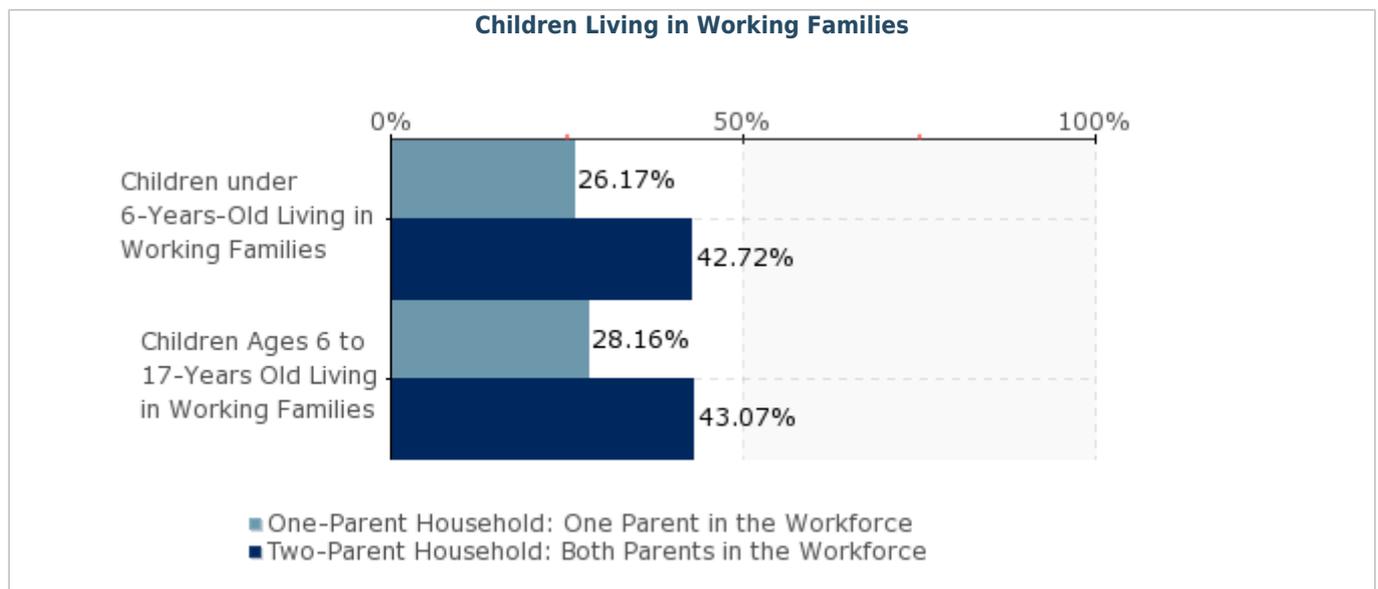
STATE/TERRITORY PROFILE - NEW YORK

This profile highlights a current innovative effort to promote a subsidy system that is child-focused, family friendly, and fair to providers. It also provides demographic information, Early Care and Education (ECE) program participation and funding, subsidy innovation and program integrity information, program quality improvement activities, and professional development and workforce initiatives. Sources and links are provided at the end of the document

DEMOGRAPHICS

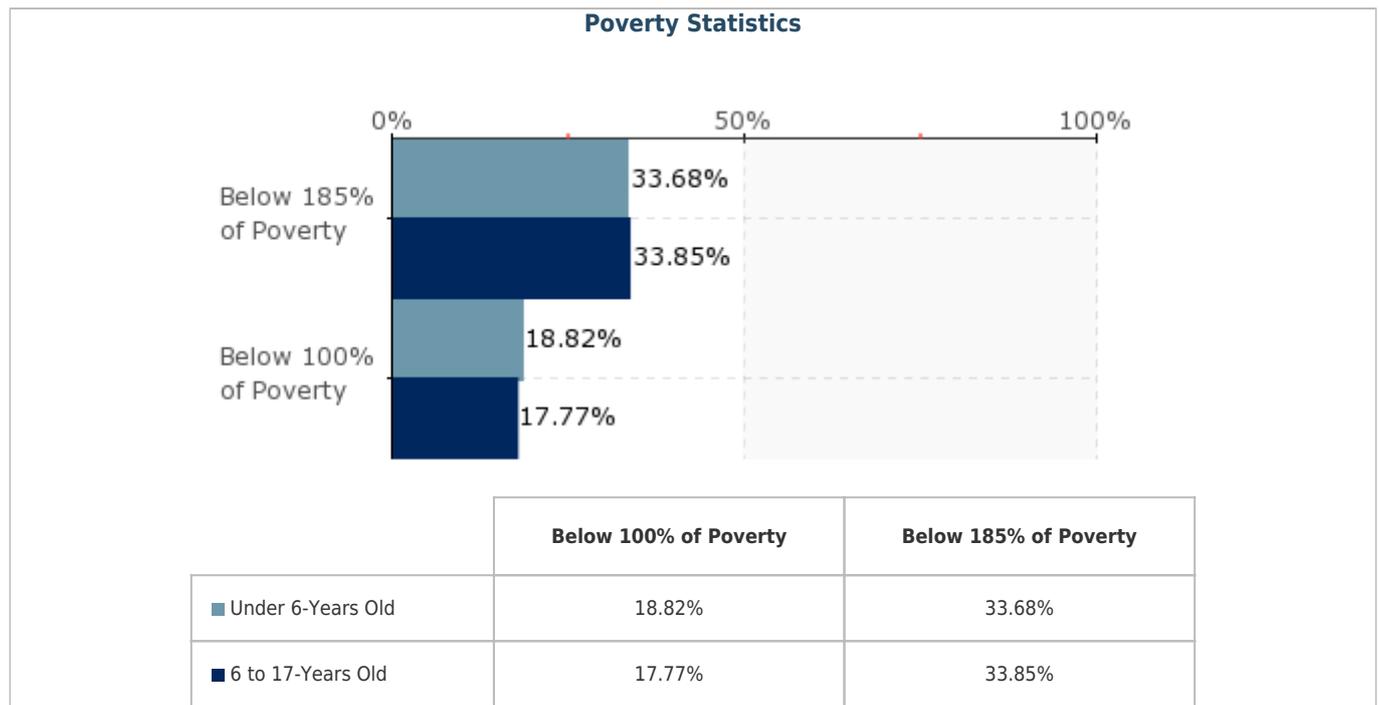


Source(s): U.S. Census Bureau. (n.d.). In American Community Survey, 2010. QT-P2 Single Years of Age and Sex. Retrieved from American FactFinder:
http://factfinder2.census.gov/faces/tableservices/jsf/pages/productview.xhtml?pid=DEC_10_SF1_QTP2&prodType=table



Source(s): U.S. Census Bureau. (2020). In American Community Survey 1-Year Estimates, 2019. B17024: Age By Ratio Of Income To Poverty Level In The Past 12 Months - Universe: Population for whom poverty status is determined.
<https://data.census.gov/cedsci/table?q=B17024&g=0100000US.04000.001&hidePreview=true&table=B17024&tid>

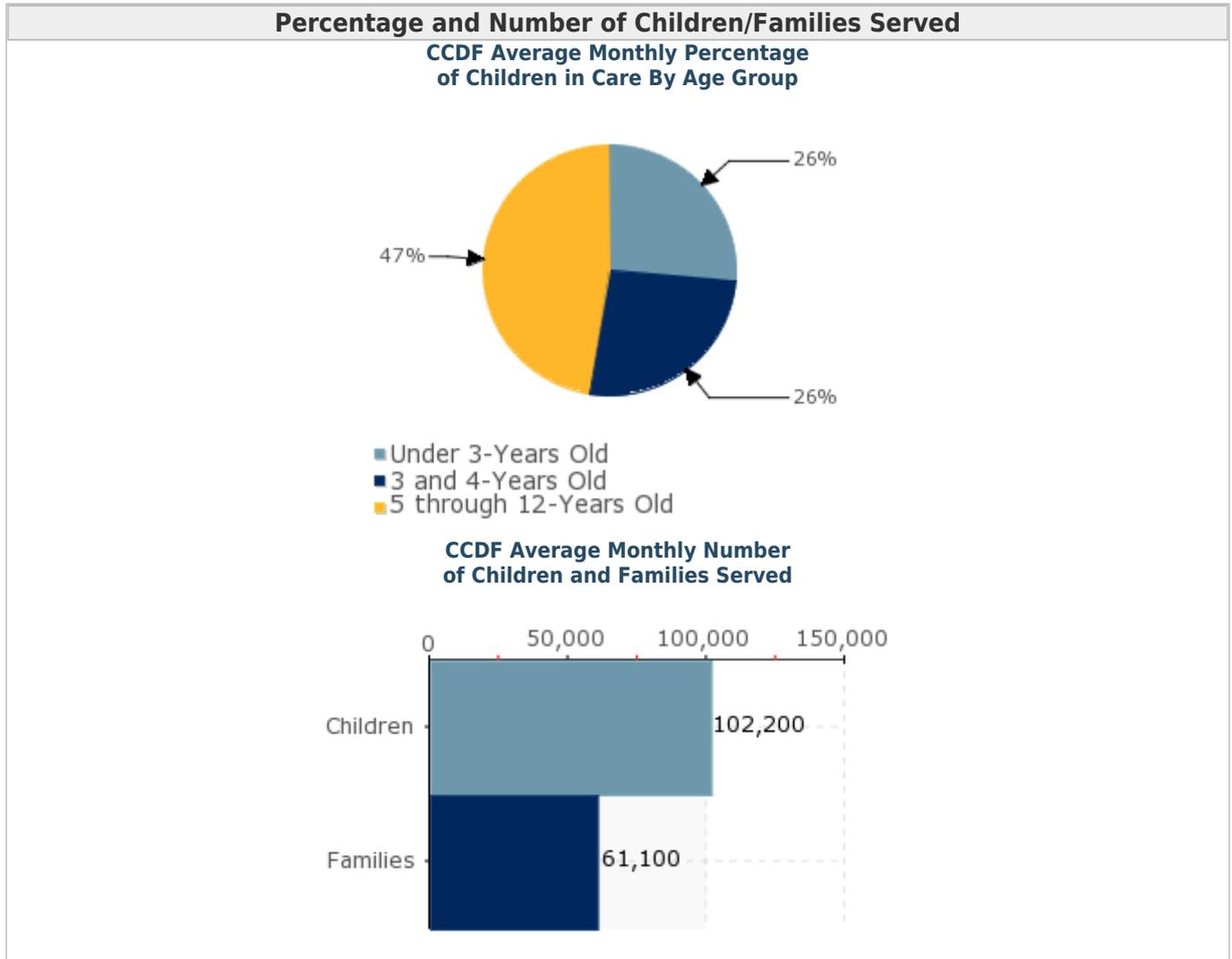
=ACSDT1Y2019.B17024&lastDisplayedRow=17&vintage=2019&mode=&y=2019



Source(s): U.S. Census Bureau. (2020). In American Community Survey 1-Year Estimates, 2019. C23008 Age of own Children under 18 Years in Families and Subfamilies by Living Arrangements by Employment Status of Parents: Universe: Own children under 18 years in families and subfamilies.

<https://data.census.gov/cedsci/table?q=C23008&g=&hidePreview=false&table=C23008&tid=ACSDT1Y2019.C23008&lastDisplayedRow=17&vintage=2019>

ECE PROGRAM PARTICIPATION AND FUNDING

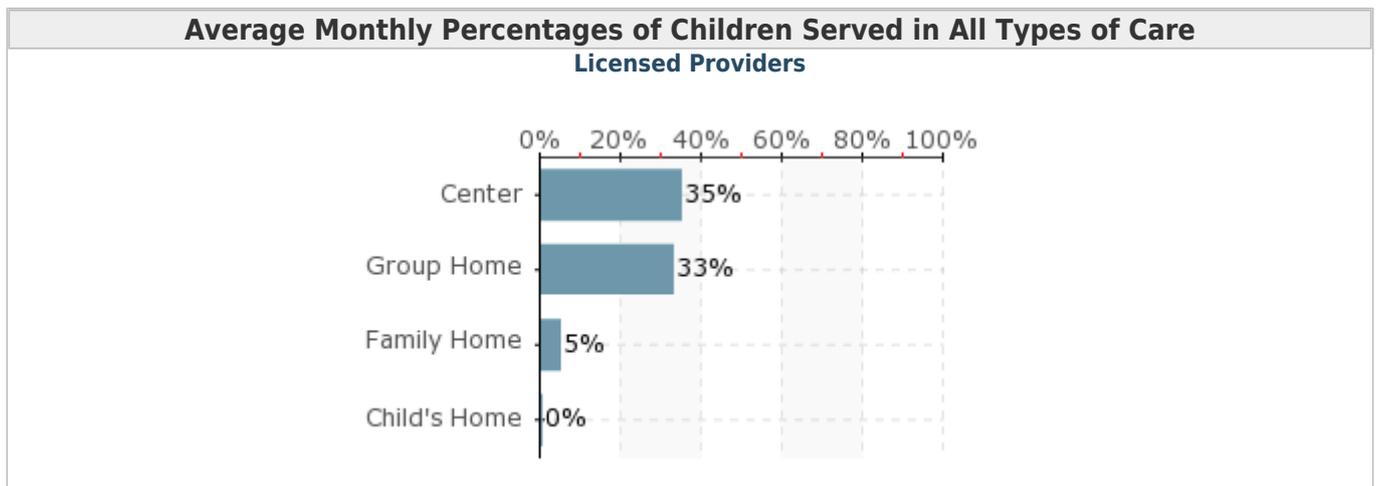


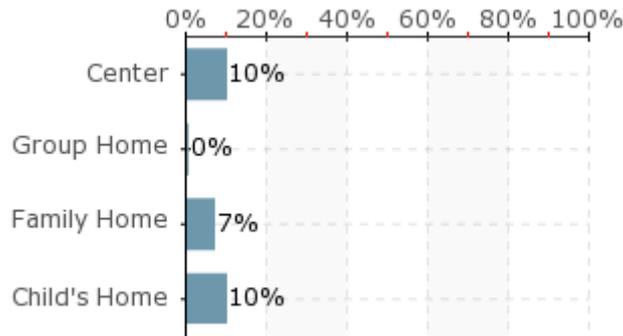
Source(s): U.S. Department of Health and Human Services, Office of Child Care. (2019). FFY 2018 CCDF data tables [Preliminary estimates]. Table 9 Average Monthly Percentages of Children In Care By Age Group

<https://www.acf.hhs.gov/occ/resource/fy-2018-ccdf-data-tables-preliminary>

1. U.S. Department of Health and Human Services, Office of Child Care. (2019). FFY 2018 CCDF data tables [Preliminary estimates]. Table 1 Average Monthly Adjusted Number of Families and Children Served.

<https://www.acf.hhs.gov/occ/resource/fy-2018-ccdf-data-tables-preliminary>



Non-Licensed Providers

Note: Unregulated provider data includes relative and non-relative care.

Source(s): U.S. Department of Health and Human Services, Office of Child Care. (2019). FFY 2018 CCDF data tables [Preliminary estimates]. Table 6 Average Monthly Percentages of Children Served in All Types of Care.

<https://www.acf.hhs.gov/occ/resource/fy-2018-ccdf-data-tables-preliminary>

Child Care and Development Fund (CCDF)

◦ Total CCDF Expenditure (Including Quality):	\$660,555,776
◦ CCDF Federal Expenditure:	\$463,308,225
◦ CCDF State/Territory Expenditure:	\$197,247,551

Source(s): U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care. (2020). CCDF Expenditures for FY 2018 (all appropriation years). Table 4a: All expenditures by State-Categorical Summary. <https://www.acf.hhs.gov/occ/resource/fy-2018-ccdf-table-4a>

U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care. (2020). CCDF Expenditures for FY 2018 (all appropriation years). Table 3a - All Expenditures by State - Detailed Summary. <https://www.acf.hhs.gov/occ/resource/fy-2018-ccdf-table-3a>

CCDF Quality Expenditures

◦ Total Quality Expenditure:	\$81,666,649
◦ Quality Activities (Set Aside Funds):	\$77,039,949
◦ Infant and Toddler (Targeted Funds):	\$4,626,700
◦ Quality Expansion Funds (Targeted Funds):	Not available
◦ School-Age/Resource and Referral (Targeted Funds):	Not available

Temporary Assistance for Needy Families (TANF) for Child Care

◦ TANF - Total Child Care Expenditure:	\$365,827,587
◦ TANF - Direct Expenditure on Child Care:	\$149
◦ TANF - Transfer to CCDF:	\$365,827,438

Source(s): U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care. (2021). CCDF Expenditures for FY 2020 (all appropriation years). Table 3a - All Expenditures by State - Detailed Summary. <https://www.acf.hhs.gov/occ/resource/fy-2020-ccdf-table-3a>

ChildCare Tax Credits

◦ Tax Credit Federal Total Amount Claimed:	\$4,387,849
◦ Tax Credit Federal Number of Claims:	2,254,110
◦ State/Territory Tax Credit Available - 2015:	Yes
◦ State/Territory Tax Credit Refundable:	Yes

Child and Adult Care Food Program (CACFP)

◦ CACFP Funding:	\$230,630,469
◦ Number of Family Child Care Homes Participating:	8,296
◦ Number of Child Care Centers (includes Head Start Programs) Participating:	4,036

Source(s): Food Research and Action Center. (2020). State of the States: Child and Adult Care Food Program (CACFP) in FY 2019. http://www.frac.org/maps/sos/tables/sos_tab_cacfp.html

Head Start

◦ Head Start Federal Allocation:	\$429,776,207
◦ Head Start State/Territory Allocation:	Not available
◦ Number of Children Participating:	36,864

Source(s): National Institute for Early Education Research. (2020). The 2019 state of preschool yearbook. <http://nieer.org/state-preschool-yearbooks/2019-2>

IDEA Part B, Section 619

◦ IDEA Part B Funding:	\$34,601,816
◦ Number of Children Served (Ages 3- through 5-Years-Old):	73,348

Source(s): U.S. Department of Education. (2019). Fiscal Years 2019-2021 State Tables for the U.S. Department of Education. <https://www2.ed.gov/about/overview/budget/statetables/index.html>

IDEA Part C

◦ IDEA Part C Funding:	\$26,180,873
◦ Number of Children Served (Ages Birth through 2-Years-Old):	31,202

Source(s): U.S. Department of Education. (2019). Fiscal Years 2019-2021 State Tables for the U.S. Department of Education. <https://www2.ed.gov/about/overview/budget/statetables/index.html>

Pre-kindergarten

◦ Pre-kindergarten Total Expenditure:	\$842,225,288
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◦ Enrollment (4-year-olds and under):	126,302
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Note: Total Expenditure includes all State/Territory, Local, and Federal dollars. In addition to 3 and 4-year-olds, some Pre-kindergarten programs enroll children of other ages.

Source(s): National Institute for Early Education Research. (2020). The 2019 state of preschool yearbook. <http://nieer.org/state-preschool-yearbooks/2019-2>

CCDF SUBSIDY PROGRAM ADMINISTRATION

Income Eligibility at Determination

(a)	(b)	(c)	(d)	
Family Size	100 % of SMI (\$/Month)	85% of SMI(\$/Month) [Multiply(a) by 0.85]	(IF APPLICABLE) (\$/Month) Maximum Initial or First Tier Income Limit (or Threshold) if Lower Than 85% of Current SMI	IF APPLICABLE) (% of SMI) [Divide(c) by (a), multiply by 100] Income Level if Lower Than 85% of Current SMI
3	\$6,240.00	\$5,304.00	\$3,463.00	56%

Source(s): U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care. (2019). Report 3.1.3 Family Size of 3: Eligible Children and Families - Income Eligibility at Determination. ACF-118 Data Submission Center.

Approaches Used for Promoting Continuity of Care

◦ Coordinating with Head Start, prekindergarten, or other early learning programs to create a package of arrangements that accommodates parents' work schedules	Not available
◦ Inquiring about whether the child has an Individualized Education Program (IEP) or Individual Family Services Plan (IFSP)	Not available
◦ Establishing minimum eligibility periods greater than 12 months	Not available
◦ Using cross-enrollment or referrals to other public benefits	Not available
◦ Working with IDEA Part B, Section 619 and Part C staff to explore how services included in a child's IEP or IFSP can be supported and/or provided onsite and in collaboration with child care services	Not available
◦ Providing more intensive case management for families with children with multiple risk factors;	Not available
◦ Implementing policies and procedures that promote universal design to ensure that activities and environments are accessible to all children, including children with sensory, physical, or other disabilities	Not available
◦ Other:	Described Below

OCFS has multiple policies and practices that promote children's learning and development as well as continuity of care, and the approaches listed above are utilized based on local practices given the nature of New York's State supervised county administered structure. On a statewide level, each child's individual needs are considered during the eligibility determination process; the OCFS form OCFS-6025, "Application for Child Care Assistance" specifically asks if each child listed on the case has a disability, and child care arrangements selected by families and approved by the LDSS often include the application of approaches which:

- coordinate with Head Start, prekindergarten, or other early learning programs,
- provide for integration of Individualized Education Program (IEP) or Individual Family Services Plan (IFSP) requirements,
- refer families to other public benefits when appropriate,
- support services for children as required under IDEA Part B, Section 619 and Part C,
- promote the integration of all children, regardless of disability into child care settings.

Examples of how these approaches are supported by OCFS for implementation on the county level are as follows: In accordance with IDEA Part C, the NYS Early Intervention Program (EIP) has a child find system component; the goal of which is that eligible infants and toddlers in the state are identified, located, referred to the EIP, and receive a multidisciplinary evaluation; including coordination with other state agencies responsible for administering the various education, health, and social service programs, including child care programs. Regulations at 10 NYCRR Section 69-4.3 include child care programs and LDSSs as primary referral sources for the EIP. As primary referral sources, licensed and registered child care programs are required to refer infants and toddlers suspected of having a disability (which is defined to include developmental delay) and children at risk of having a developmental disability to the local Early Intervention Official. Children suspected of having a disability receive a multidisciplinary evaluation, which may include a developmental screening, with parent consent, to determine eligibility for EIP services. Children at risk of having a disability receive screening and tracking services through the local Early Intervention Program. The Council on Children and Families (the Council), which serves to coordinate the state health, education and human services agencies, is housed with the Lead Agency and, as such, works very closely with the Division of Child Care Services. The Council, through the Early Childhood Advisory Council (ECAC), has developed early learning guidelines for children birth through age 5. The New York State Education Department (SED) has developed early learning standards for what children should be able to know and do by the end of their pre-school experience, upon entering kindergarten. The Early Learning Guidelines developed by the ECAC's Workforce Development Work Group were formally released to the field in April 2012. They have been aligned with the State Education Department's Pre-Kindergarten Standards. At least 10 percent of state-funded Pre-K in New York State must be in community-based programs, such as child care centers. This creates a natural incentive for both OCFS, which regulates child care centers, and the State Education Department, which administers the Pre-K programs, to work together to support each other's programming. So, for example, OCFS collaborated with the State Education Department to adopt an approach that gives credit points in grants for Pre-K services to programs offering wrap-around programming to Pre-K students, and thus more continuity of care. To reinforce their partnership, OCFS and the State Education Department hold monthly conference calls. The agenda includes issues shared between both agencies as they affect pre-school programs. The New York City Administration for Children's Services (ACS), EarlyLearn NYC program was designed to better integrate Head Start and other child care programs provided through contracts with the city into a standardized and improved system for providing subsidized care. This includes expanding services in communities that are defined as having the greatest need, as well as increasing child care slots for infants and toddlers. Home-based providers serve the youngest children in the EarlyLearn NYC model. Rather than contract with individual family child care and group family child care providers, ACS contracts with family child care networks. These networks recruit, oversee, and provide administrative oversight and support, and eligibility determination to family-based providers. In an effort to build continuity of care and provide families with a smooth transition when their child ages out of the family child care setting, each network is expected to link with a child care center. OCFS is committed to providing support so that families can obtain appropriate, flexible child care to meet their needs. When needed, families receiving child care subsidy can combine the various modalities of care (legally-exempt, child care center, group family day care, etc.) to accommodate various schedules and needs. Some children may benefit from one-on-one care provided by a legally-exempt provider whereas some children may thrive in a larger, center setting. A parent who is employed overnight is more likely to need a provider who provides care during non-traditional hours, while a parent working during the day would not have this need. To incentivize the availability of care during non-traditional hours, OCFS requires LDSSs to pay a minimum differential rate of 5 percent for providers who provide child care services during non-traditional hours. LDSSs can pay a differential rate up to 15 percent to these providers. Policies are also in place to support families who require care for 24 hours in a day as well as for those families that may require the use of multiple child care providers over the course of a day or week. As per 18 NYCRR 415.4 (c)(4), "when arranging child care services, the needs of the child must be taken into account including: continuity of child care." Continuity of care is foundational in Group Family Day Care (GFDC) regulations 18 NYCRR 416 and in Family Day Care (FDC) regulations 18 NYCRR 417. In 2015, OCFS revised day care center regulations 18 NYCRR 418-1 to formalize this as an option for centers. This promotes what had been allowed previously only through a waiver process. Centers using the concept maintain a primary relationship between teachers and children and their respective families. Children and their teachers stay together until all children in the group are 36 months of age. A child has a primary caregiver/teacher who becomes responsible for the child and for communication with the child's parents, with other teachers serving as back-up. The teachers must have training on continuity of care, developing positive relationships with each child assigned to his/her care, and tending to their physical and emotional needs. The teacher/child ratio and maximum group size is based on the youngest child in the group. This takes children's development and learning into consideration, as well as promoting safety. Prior to implementing continuity of care, centers submit their model to OCFS for approval. OCFS training on continuity of care concepts and models was rolled out in 2015. Additional resources are available on the website of our training contractor at: <https://www.ecetp.pdp.albany.edu/VideoLibrary/VideoLibraryList.aspx> (search for "continuity"). Several online courses on mixed age groups have been approved for use towards OCFS training requirements for caregivers, and CCR&Rs periodically offer classroom trainings on the concept. Also see related content in 2.6.1.

Source(s): U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care. (2019). Reports 3.1.6 and 3.1.6-2: Eligible Children and Families - Approaches Used for Promoting Continuity of Care. ACF-118 Data Submission Center.

Increasing Access for Vulnerable Children and Families

Children with Special Needs	
◦ Prioritize for enrollment	Yes
◦ Serve without placing these populations on waiting lists	Not available
◦ Waive co-payments	Not available
◦ Pay higher rates for access to higher quality care	Not available
◦ Use grants or contracts to reserve slots for priority populations	Not available
◦ Other:	Described Below
Co-pay is waived for families with a child or parent receiving SSI.	
Families with Very Low Incomes	
◦ Prioritize for enrollment	Yes
◦ Serve without placing these populations on waiting lists	Not available
◦ Waive co-payments	Not available
◦ Pay higher rates for access to higher quality care	Not available
◦ Use grants or contracts to reserve slots for priority populations	Not available
◦ Other:	Described Below
Not available	
Children Experiencing Homelessness	
◦ Prioritize for enrollment	Yes
◦ Serve without placing these populations on waiting lists	Not available
◦ Waive co-payments	Yes
◦ Pay higher rates for access to higher quality care	Not available
◦ Use grants or contracts to reserve slots for priority populations	Not available
◦ Other:	Described Below
LDSSs must pay eligible licensed and registered child care providers a differential payment rate of at least 5 percent above the actual cost of care or the applicable market rate for care of children in families experiencing homelessness. LDSSs may choose to set a differential payment greater than 5 percent above the actual cost of care or the applicable market rate provided the rate does not exceed 15 percent.	

Families Receiving TANF*	
◦ Prioritize for enrollment	Not available
◦ Serve without placing these populations on waiting lists	Not available
◦ Waive co-payments	Not available
◦ Pay higher rates for access to higher quality care	Not available
◦ Use grants or contracts to reserve slots for priority populations	Not available
◦ Other:	Described Below
<p>TANF families participating in employment or required activities are guaranteed child care. The co-pay is waived for families on TANF. Families that are transitioning off TANF due to increased income or child support are guaranteed child care for 12-months after their TANF case has closed provided they meet financial and programmatic requirements. Employed families that are eligible for TANF but request child care assistance in lieu of TANF are also guaranteed child care as long as they remain eligible for TANF. As they become financially ineligible for TANF, these families are guaranteed child care assistance for 12-months provided they meet financial and programmatic requirements.</p>	

* Includes families receiving TANF program funds, those transitioning off TANF through work activities, or those at risk of becoming dependent on TANF.

Source(s): U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care. (2019). Reports 3.2.2a, 3.2.2b, 3.2.2c, 3.2.2d, 3.2.2a-2, 3.2.2b-2, 3.2.2c-2, and 3.2.2d-2: Increasing Access for Vulnerable Children and Families. ACF-118 Data Submission Center.

Use of Grants or Contracts to Increase the Supply of Specific Types of Child Care

◦ Programs to serve children with disabilities	Not available
◦ Programs to serve infants and toddlers	Not available
◦ Programs to serve school-age children	Not available
◦ Programs to serve children needing non-traditional hour care	Not available
◦ Programs to serve children experiencing homelessness	Not available
◦ Programs to serve children in underserved areas	Not available
◦ Programs that serve children with diverse linguistic or cultural backgrounds	Not available
◦ Programs that serve specific geographic areas (urban)	Not available
◦ Programs that serve specific geographic areas (rural)	Not available
◦ Other:	Described Below
N/A	

Use of Grants or Contracts to Increase the Quality of Specific Types of Child Care

◦ Programs to serve children with disabilities	Not available
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◦ Programs to serve infants and toddlers	Not available
◦ Programs to serve school-age children	Not available
◦ Programs to serve children needing non-traditional hour care	Not available
◦ Programs to serve children experiencing homelessness	Not available
◦ Programs to serve children in underserved areas	Not available
◦ Programs that serve children with diverse linguistic or cultural backgrounds	Not available
◦ Programs that serve specific geographic areas (urban)	Not available
◦ Programs that serve specific geographic areas (rural)	Not available
◦ Other:	Described Below
N/A	

Base payment rates and percentiles

Age	Center	Percentile of most recent MRS	Family Child Care	Percentile of most recent MRS
Infant	\$ 371.00/ week (New York City)	69th	\$ 200.00/ week (New York City)	69th
Toddler	\$ 268.00/ week (New York City)	69th	\$ 185.00/ week (New York City)	69th
Preschool	\$ 242.00/ week (New York City)	69th	\$ 175.00/ week (New York City)	69th
School Age	\$ 210.00/ week (New York City)	69th	\$ 160.00/ week (New York City)	69th
Effective date of payment rates: 6/1/2016				
Market rate survey (MRS) date:				

Source(s): U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care. (2019). Report 4.3.1: Setting Payment Rates. ACF-118 Data Submission Center.

U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care. (2019). Report 4.2.5a: Setting Payment Rates. ACF-118 Data Submission Center.

Tiered Reimbursement or Differential Rates

◦ Differential rate for non-traditional hours. Describe	Yes
◦ Differential rate for children with special needs, as defined by the state/territory.	Yes
◦ Differential rate for infants and toddlers. Note: Do not check if the Lead Agency has a different base rate for infants/toddlers with no separate bonus or add-on	Not available
◦ Differential rate for school-age programs. Note: Do not check if the Lead Agency has a different base rate for school-age children with no separate bonus or add-on.	Not available
◦ Differential rate for higher quality, as defined by the state/territory.	Yes
◦ Other differential rates or tiered rates.	Yes
◦ Tiered or differential rates are not implemented.	Not available

CCDF Co-Payments by Family Size

CCDF Co-Payments by Family Size

	(a)	(b)	(c)	(d)	(e)	(f)
Family Size	Lowest “Entry” Income Level Where Family Is First Charged Co-Pay (Greater Than \$0)	What Is the Monthly Co-Payment for a Family of This Size Based on the Income Level in (a)?	The Co-Payment in Column (b) is What Percentage of the Income in Column (a)?	Highest “Entry” Income Level Before a Family Is No Longer Eligible	What Is the Monthly Co-Payment for a Family of This Size Based on the Income Level in (d)?	The Co-Payment in Column (e) is What Percentage of the Income in Column (d)?
3	\$1,732.00	\$65.00	3.7	\$3,463.00	\$589.00	17

Source(s): U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care. (2019). Report 3.4.1a Family Size of 3: Family Contribution to Payment - CCDF Co-Payments by Family Size. ACF-118 Data Submission Center.

Family Contribution to Payment

◦ No, the Lead Agency does not waive family contributions/co-payments.	Not available
◦ Yes, the Lead Agency waives family contributions/co-payments for families with an income at or below the Federal poverty level for families of the same size.	Not available
◦ Yes, the Lead Agency waives family contributions/co-payments for families who are receiving or needing to receive protective services, as determined by the Lead Agency for purposes of CCDF eligibility. Describe the policy and provide the policy citation..	Not available
◦ Describe contributions/co-payments for families who are receiving or needing to receive protective services	Not available

<ul style="list-style-type: none"> ◦ Yes, the Lead Agency waives family contributions/co-payments for other criteria established by the Lead Agency. Describe the policy and provide the policy citation 	<p style="text-align: right;">Yes</p>
<ul style="list-style-type: none"> ◦ Describe contributions/co-payments for other criteria (See table below) 	<p style="text-align: right;">Described Below</p>
<p>18 NYCRR §415.3(e)(1) provides that families receiving Temporary Assistance and families experiencing homelessness must not be required to pay a family share for child care services.</p>	

Source(s): U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care. (2019). Report 3.4.4: Family Contribution to Payment - Family Contribution to Payment. ACF-118 Data Submission Center.

HEALTH AND SAFETY

Child-Staff Ratios by Group Size by Age of Children for Licensed Child Care Centers

Age of Children	Child-Staff Ratio	Group Size
Infant (11 months)	4:1	8
Toddler (35 months)	5:1	12
Preschool (59months)	7:1	18
School-age (6 years)	10:1	20
School-age (10 years and older)	15:1	30
If any of the responses above are different for exempt child care centers, describe which requirements apply:	Described Below	
<p>The ratios and maximum group sizes for legally-exempt center-based care are as follows:</p> <ul style="list-style-type: none"> ■ 3 years 1:20 for seated activities, 1:10 for all other, maximum group size of 30 ■ 4 years 1:20 for seated activities, 1:12 for all other, maximum group size of 36 ■ 5 years- 12 years 1:25 for all activities, maximum group size of 50 <p>More restrictive standards may apply to programs operated under the auspices of another government organization including, but not limited to the following</p> <ul style="list-style-type: none"> • NYS Education Department Voluntarily Registered Nursery Schools <ul style="list-style-type: none"> ◦ Ratios: <ul style="list-style-type: none"> ■ 3 years 1:8 ■ 4 years 1:10 ■ 5 years 1:15 ◦ Max Group Size: <ul style="list-style-type: none"> ■ 3/4 years old: 20 student maximum ■ 5 years old: 22 student maximum • NYS Department of Health Summer Day Camps <ul style="list-style-type: none"> ◦ Ratios: <ul style="list-style-type: none"> ■ Under age 6 during camp trip/special activities- 1:6 ■ Under age 6 during camp trip/special activities- 1:6 ■ Passive activity; no age specified-1:25 ■ Summer day/traveling summer day-1:12 • NYC Department of Health and Mental Hygiene Summer Day Camps <ul style="list-style-type: none"> ◦ Ratios: <ul style="list-style-type: none"> General Camp Activities: <ul style="list-style-type: none"> ■ Under age 6 - 1:6 ■ Ages 6-7 1:9 ■ Ages 8 and up- 1:12 Offsite camp trips: <ul style="list-style-type: none"> ■ All age groups- 1:5 minimum ratio Water Activities: <ul style="list-style-type: none"> ■ Under the age of 6- 1:4 ■ Ages 6-7 1:6 ■ Ages 8 and up 1:7 ■ Lifeguards are one for every 25 swimmers 		

Source(s): National Center on Early Childhood Quality Assurance. (2021). 2020 Child Care Licensing Study: Analysis of child care licensing regulations. [Unpublished data].

QUALITY IMPROVEMENT

Use of Quality Funds

	Yes/No	CCDF Funds	Other Funds	Other (describe)
Supporting the training and professional development of the child care workforce	Yes	Yes	Not available	Not available
Developing, maintaining, or implementing early learning and developmental guidelines	Not available	Not available	Not available	Not available
Developing, implementing, or enhancing a tiered quality rating and improvement system	Yes	Yes	Yes	Described Below
Improving the supply and quality of child care services for infants and toddlers	Yes	Yes	Not available	Not available
Establishing or expanding a statewide system of CCR&R services	Yes	Yes	Not available	Not available
Facilitating compliance with state/territory requirements for inspection, monitoring, training, and health and safety standards	Yes	Yes	Not available	Not available
Evaluating and assessing the quality and effectiveness of child care services within the state/territorys	Yes	Yes	Not available	Not available
Supporting accreditation	Yes	Yes	Not available	Not available

	Yes/No	CCDF Funds	Other Funds	Other (describe)
Supporting state/territory or local efforts to develop high-quality program standards relating to health, mental health, nutrition, physical activity, and physical development	Yes	Yes	Not available	Not available
Other activities determined by the state/territory to improve the quality of child care services and which measurement of outcomes related to improved provider preparedness, child safety, child well-being, or kindergarten entry is possible	Not available	Not available	Not available	Not available

Use of Quality Funds - Continued

	Other (describe)
Supporting the training and professional development of the child care workforce	Not available
Developing, maintaining, or implementing early learning and developmental guidelines	Not available
Developing, implementing, or enhancing a tiered quality rating and improvement system	Private philanthropic dollars from a consortium of NYC-based private foundations.
Improving the supply and quality of child care services for infants and toddlers	Not available
Facilitating compliance with state/territory requirements for inspection, monitoring, training, and health and safety standards	Not available
Evaluating and assessing the quality and effectiveness of child care services within the state/territories	Not available
Supporting accreditation	Not available
Supporting state/territory or local efforts to develop high-quality program standards relating to health, mental health, nutrition, physical activity, and physical development	Not available
Other activities determined by the state/territory to improve the quality of child care services and which measurement of outcomes related to improved provider preparedness, child safety, child well-being, or kindergarten entry is possible	Not available

- U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care. (2019). Reports 7.2.1 and 7.2.1-1: Use of Quality Funds - Supporting the training and professional development of the child care workforce. ACF-118 Data Submission Center.
- U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care. (2019). Report 7.2.1 and 7.2.1-2: Use of Quality Funds - Developing, maintaining, or implementing early learning and developmental guidelines. ACF-118 Data Submission Center.
- U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care. (2019). Report 7.2.1 and 7.2.1-3: Use of Quality Funds - Developing, implementing, or enhancing a tiered quality rating and improvement system. ACF-118 Data Submission Center.
- U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care. (2019). Report 7.2.1 and 7.2.1-4: Use of Quality Funds - Improving the supply and quality of child care services for infants and toddlers. ACF-118 Data Submission Center.
- U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care. (2019). Report 7.2.1 and 7.2.1-5: Use of Quality Funds - Establishing or expanding a statewide system of CCR&R services. ACF-118 Data Submission Center.
- U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care. (2019). Report 7.2.1 and 7.2.1-6: Use of Quality Funds - Facilitating compliance with state/territory requirements for inspection, monitoring, training, and health and safety standards. ACF-118 Data Submission Center.
- U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care. (2019). Report 7.2.1 and 7.2.1-7: Use of Quality Funds - Evaluating and assessing the quality and effectiveness of child care services within the state/territory. ACF-118 Data Submission Center.
- U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care. (2019). Report 7.2.1 and 7.2.1-8: Use of Quality Funds - Supporting accreditation. ACF-118 Data Submission Center.
- U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care. (2019). Report 7.2.1 and 7.2.1-9: Use of Quality Funds - Supporting state/territory or local efforts to develop high-quality program standards relating to health, mental health, nutrition, physical activity, and physical development. ACF-118 Data Submission Center.
- U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care. (2019). Report 7.2.1 and 7.2.1-10: Use of Quality Funds - Other activities determined by the state/territory to improve the quality of child care services and which measurement of outcomes related to improved provider preparedness, child safety, child well-being, or kindergarten entry is possible. ACF-118 Data Submission Center.

Outreach to Families with Limited English Proficiency

Application in other languages (application document, brochures, provider notices)	Yes
Informational materials in non-English languages	Yes
Website in non-English languages	Yes
Lead Agency accepts applications at local community-based locations	Not available
Bilingual caseworkers or translators available	Yes
Bilingual outreach workers	Not available
Partnerships with community-based organizations	Yes
Other	Yes

Describe Other	Described Below
<p>The website can be translated using Google Translate, and also directs the public to phone numbers that may be called should the user have any additional questions or concerns about what is posted to the website, or need assistance due to accessibility issues. OCFS also uses contracted translation services to assist in communicating with non-English speaking individuals. NYS Governor Andrew Cuomo issued Executive Order No. 26 which requires State agencies that provide direct public services to translate vital documents, including essential public documents such as forms and instructions provided to or completed by program beneficiaries or participants. The translation must be in the six most common non-English languages spoken by individuals with limited-English proficiency in the State of New York, based on United States census data, and relevant to services offered by each of the state agencies.</p>	

Source(s): U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care. (2019). Report 2.1.1-1 and 2.1.1-2: Outreach to Families with Limited English Proficiency - Strategies the Lead Agency or partners utilize to provide outreach and services to eligible families for whom English is not their first language. ACF-118 Data Submission Center.

Outreach to Families with a Person(s) with Disabilities

Applications and public informational materials available in Braille and other communication formats for access by individuals with disabilities	Yes
Websites that are accessible (e.g., Section 508 of the Rehabilitation Act)	Yes
Caseworkers with specialized training/experience in working with individuals with disabilities	Not available
Ensuring accessibility of environments and activities for all children	Yes
Partnerships with state and local programs and associations focused on disability-related topics and issues	Yes
Partnerships with parent associations, support groups, and parent-to-parent support groups, including the Individuals with Disabilities Education Act (IDEA) federally funded Parent Training and Information Centers	Yes
Partnerships with state and local IDEA Part B, Section 619 and Part C providers and agencies	Yes
Availability and/or access to specialized services (e.g., mental health, behavioral specialists, therapists) to address the needs of all children	Not available
Other	Yes
Describe Other	Described Below

OCFS licensed/registered programs work with families and health care providers to create and document the Health Care plan to provide appropriate care for children with special health care needs. Regulations also speak to compliance with the Americans with Disabilities Act relative to administering medication. Health care plans required for programs address special health care needs and disabilities.

Programs and OCFS also share resources for families affected by disabilities. This includes a portion of website (Resources for Families), and the programs sharing community resources directly with families.

In addition, when a parent calls a CCR&R for a referral, the counselor enters information provided into a database, which then generates a "child care profile." This profile includes any special needs a child may have. CCR&Rs then try to provide no fewer than three referrals. In cases where parents are seeking child care for children with disabilities, CCR&Rs ask parents if their child requires any services or supports, and they contribute their data to the state report on the number of parent-identified special needs requests. They offer technical assistance and resource materials to providers who have questions or challenges related to children in care, and work cooperatively with Early Intervention to facilitate services in child care settings. It is important to note that CCR&Rs consider state programs that serve children with disabilities, like Early Intervention or Pre-School Special Education or federally funded Head Start to be partners in trying to provide services to families.

All of NY's CCR&Rs have access to 711 to assist callers who use Text Telephone (TTY) services. Some CCR&Rs have access to telecommunications devices for the deaf (TDD). In addition, many sites are ADA accessible or on the first floor. If a site is not on the first floor, elevators are available or staff will make arrangements to meet with the family in a first floor conference room. If a parent has difficulty visiting a building or making a telephone call they are able to visit them at their home to provide information and referrals. CCR&R staff do all that they can to assist any consumer. They work to remove any barriers of communication by using available resources.

Source(s): U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care. (2019). Report 2.1.2-1 and 2.1.2-2: Outreach to Families with Limited English Proficiency - Strategies the Lead Agency or partners utilize to provide outreach and services to eligible families with a person(s) with a disability. ACF-118 Data Submission Center.

Consumer Education Website

<p>How the Lead Agency ensures that its website is consumer-friendly and easily accessible</p>	<p>OCFS has a consumer-friendly "Search for Child Care" website for parents, providers, and the general public. Information on the website is easily accessible as it includes drop-down menus to help narrow a more detailed search (e.g., Search for Child Care, Resources for Families, Information for Providers, Information for Parents, Becoming a Child Care Provider, Training Information, Advantage After School Program, Local Departments of Social Services, Regulations, Policies, Laws, Forms, Publications, Plans and Reports, Child Care Subsidy Program, QUALITYstarsNY, Public Presentations, etc.) The website has had 1,206,681 search visitors since 2015 and is promoted through social media (Facebook, Twitter, and Instagram). The website allows the user to search for available licensed/registered child care services by map, facility name, county, facility number, facility type, zip code, school district, those that administer medication, and those offering non-traditional hours of care. Information concerning inspection history and results and a brief description of any out-of-compliance regulations is available in the facility search. In addition, it offers details about the program including: inspection date, violations, whether violations have been corrected, address of the program, contact names and numbers, total capacity numbers, how long the child care program has operated, if the program has been in an enforcement status in the past five years, and whether it is currently on the state's referral list. The website includes child care options videos at https://ocfs.ny.gov/main/childcare/brochure.asp. The videos allow parents to view videos regarding the different modalities of care, so that they may choose what would best serve their child and family. The website (http://ocfs.ny.gov/main/childcare/infoforparents.asp) also includes numerous informational brochures (e.g., A Parent's Guide to Child Care Options) and instructions to the user to contact the OCFS regional office for additional information about the program listed. There are 32 Child Care Resource and Referral agencies and 3 NYC CCR&R sub-contractors available in New York State and their contact information (listed alphabetically by the counties they serve), can be found at on the OCFS website at http://ocfs.ny.gov/main/childcare/referralagencies.asp. OCFS worked with stakeholders, including parents, to gather additional feedback on the accessibility of the OCFS website. The feedback was taken under consideration and changes were incorporated to the website to make it more consumer-friendly and easily accessible.</p>
<p>How the website ensures the widest possible access to services for families that speak languages other than English</p>	<p>The OCFS website has a translate page section that allows families to translate the pages into 91 languages. Users of the website who are non-English speaking may call the contact numbers listed and OCFS can conference in an interpreter from Language Line Services.</p>
<p>How the website ensures the widest possible access to services for persons with disabilities</p>	<p>If the user has a disability, English is not the primary language, or the format of any part of the website(s) or web-based applications owned by OCFS interferes with a user's ability to access any of the information on the site, the user is instructed to email OCFS at info@ocfs.ny.gov or call (518) 473-7793. OCFS refers the request to the appropriate staff, and, if feasible, provides the user with an accommodation or alternate format of the requested material. Accessibility information and Notice of Reasonable Accommodation can be found at http://ocfs.ny.gov/help/reasonable-accommodation.asp</p> <p>Information about the Individuals with Disabilities Education Act (IDEA) is on the OCFS website at: http://ocfs.ny.gov/main/childcare/Resources_for_Families.asp. Information about this program can also be found on the New York State Education Department website at: http://www.nysed.gov/gsearch/idea.</p>

Source(s): U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care. (2019). Report 2.3.1, 2.3.1, and 2.3.3: Consumer Education Website. ACF-118 Data Submission Center.

FOOTNOTES

Source Footnotes:

- Demographics - Total Population 12 and Under
 - U.S. Census Bureau. (n.d.). In American Community Survey, 2010. QT-P2 Single Years of Age and Sex. Retrieved from American FactFinder: http://factfinder2.census.gov/faces/tableservices/jsf/pages/productview.xhtml?pid=DEC_10_SF1_QTP2&prodType=table
- Demographics - Poverty Statistics
 - U.S. Census Bureau. (2020). In American Community Survey 1-Year Estimates, 2019. B17024: Age By Ratio Of Income To Poverty Level In The Past 12 Months - Universe: Population for whom poverty status is determined. <https://data.census.gov/cedsci/table?q=B17024&g=0100000US.04000.001&hidePreview=true&table=B17024&tid=ACSDT1Y2019.B17024&lastDisplayedRow=17&vintage=2019&mode=&y=2019>
- Demographics - Children Living in Working Families
 - U.S. Census Bureau. (2020). In American Community Survey 1-Year Estimates, 2019. C23008 Age of own Children under 18 Years in Families and Subfamilies by Living Arrangements by Employment Status of Parents: Universe: Own children under 18 years in families and subfamilies. <https://data.census.gov/cedsci/table?q=C23008&g=&hidePreview=false&table=C23008&tid=ACSDT1Y2019.C23008&lastDisplayedRow=17&vintage=2019>
- ECE Program Participation - CCDF Average Monthly Percentage of Children In Care
 - U.S. Department of Health and Human Services, Office of Child Care. (2019). FFY 2018 CCDF data tables [Preliminary estimates]. Table 9 Average Monthly Percentages of Children In Care By Age Group <https://www.acf.hhs.gov/occ/resource/fy-2018-ccdf-data-tables-preliminary>
- ECE Program Participation - CCDF Average Monthly Number of Children and Families Served
 - U.S. Department of Health and Human Services, Office of Child Care. (2019). FFY 2018 CCDF data tables [Preliminary estimates]. Table 1 Average Monthly Adjusted Number of Families and Children Served. <https://www.acf.hhs.gov/occ/resource/fy-2018-ccdf-data-tables-preliminary>
- ECE Program Participation - CCDF Average Monthly Percentages of Children Served in All Types of Care
 - U.S. Department of Health and Human Services, Office of Child Care. (2019). FFY 2018 CCDF data tables [Preliminary estimates]. Table 6 Average Monthly Percentages of Children Served in All Types of Care. <https://www.acf.hhs.gov/occ/resource/fy-2018-ccdf-data-tables-preliminary>
- ECE Program Participation - Child and Adult Care Food Program (CACFP) Participation
 - Food Research and Action Center. (2020). State of the States: Child and Adult Care Food Program (CACFP) in FY 2019. http://www.frac.org/maps/sos/tables/sos_tab_cacfp.html
- ECE Program Participation - Head Start Participation
 - National Institute for Early Education Research. (2020). The 2019 state of preschool yearbook. <http://nieer.org/state-preschool-yearbooks/2019-2>
- ECE Program Participation - Pre-kindergarten Participation
 - National Institute for Early Education Research. (2020). The 2019 state of preschool yearbook. <http://nieer.org/state-preschool-yearbooks/2019-2>
- ECE Program Participation - IDEA Part C Participation
 - IDEA Data Accountability Center. (2019). Table C1-1: Number of infants and toddlers ages birth through 2 and 3

and older, and percentage of population, receiving early intervention services under IDEA, Part C, by age and state: 2018-2019. <https://www2.ed.gov/programs/osepidea/618-data/state-level-data-files/index.html#cccs>

- ECE Program Participation - IDEA Part B, Section 619 Participation
 - IDEA Data Accountability Center. (2019). Table B1-1: Number of infants and toddlers ages birth through 2 and 3 and older, and percentage of population, receiving early intervention services under IDEA, Part B, by age and state: 2018. <https://www2.ed.gov/programs/osepidea/618-data/state-level-data-files/index.html#bccee>
- ECE Funding - Child Care and Development Fund (CCDF)
 - U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care. (2020). CCDF Expenditures for FY 2018 (all appropriation years). Table 4a: All expenditures by State-Categorical Summary. <https://www.acf.hhs.gov/occ/resource/fy-2018-ccdf-table-4a>

[U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care. \(2020\). CCDF Expenditures for FY 2018 \(all appropriation years\). Table 3a - All Expenditures by State - Detailed Summary. https://www.acf.hhs.gov/occ/resource/fy-2018-ccdf-table-3a](https://www.acf.hhs.gov/occ/resource/fy-2018-ccdf-table-3a)
- ECE Funding - Temporary Assistance for Needy Families (TANF)
 - U.S. Department of Health and Human Services, Administration for Children and Families, Office of Family Assistance. (2020). Fiscal Year 2019 TANF Financial Data. <https://www.acf.hhs.gov/ofa/resource/tanf-financial-data-fy-2019>
- ECE Funding - Child and Adult Care Food Program (CACFP) Funding
 - Food Research and Action Center. (2020). State of the States: Child and Adult Care Food Program (CACFP) in FY 2019. http://www.frac.org/maps/sos/tables/sos_tab_cacfp.html
- ECE Funding - Head Start Funding
 - National Institute for Early Education Research. (2020). The 2019 state of preschool yearbook. <http://nieer.org/state-preschool-yearbooks/2019-2>
- ECE Funding - Pre-kindergarten Funding
 - National Institute for Early Education Research. (2020). The 2019 state of preschool yearbook. <http://nieer.org/state-preschool-yearbooks/2019-2>
- ECE Funding - IDEA Part C Funding
 - U.S. Department of Education. (2019). Fiscal Years 2019-2021 State Tables for the U.S. Department of Education. <https://www2.ed.gov/about/overview/budget/statetables/index.html>
- ECE Funding - IDEA Part B Section 619 Funding
 - U.S. Department of Education. (2019). Fiscal Years 2019-2021 State Tables for the U.S. Department of Education. <https://www2.ed.gov/about/overview/budget/statetables/index.html>
- CCDF Subsidy Program Administration - Parental Choice in Relation to Certificates, Grants, or Contracts
 - U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care. (2019). Reports 4.1.3b, 4.1.3b-2, 4.1.3c, and 4.1.3c-2: Parental Choice in Relation to Certificates, Grants, or Contracts. ACF-118 Data Submission Center.
- CCDF Subsidy Program Administration - Eligible Children and Families
 - U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care. (2019). Report 3.1.3 Family Size of 3: Eligible Children and Families - Income Eligibility at Determination. ACF-118 Data Submission Center.
 - U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care. (2019). Reports 3.1.6 and 3.1.6-2: Eligible Children and Families - Approaches Used for Promoting Continuity of Care. ACF-118 Data Submission Center.
- CCDF Subsidy Program Administration - Increasing Access for Vulnerable Children and Families

- U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care. (2019). Reports 3.2.2a, 3.2.2b, 3.2.2c, 3.2.2d, 3.2.2a-2, 3.2.2b-2, 3.2.2c-2, and 3.2.2d-2: Increasing Access for Vulnerable Children and Families. ACF-118 Data Submission Center.
- CCDF Subsidy Program Administration - Family Contribution to Payment
 - U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care. (2019). Report 3.4.1a Family Size of 3: Family Contribution to Payment - CCDF Co-Payments by Family Size. ACF-118 Data Submission Center.
 - U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care. (2019). Report 3.4.4: Family Contribution to Payment - Family Contribution to Payment. ACF-118 Data Submission Center.
- CCDF Subsidy Program Administration - Setting Payment Rates
 - U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care. (2019). Report 4.3.1: Setting Payment Rates. ACF-118 Data Submission Center.
- CCDF Subsidy Program Administration - Costs
 - U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care. (2019). Report 4.2.5a: Setting Payment Rates. ACF-118 Data Submission Center.
- Health and Safety - Licensing
 - National Center on Early Childhood Quality Assurance. (2021). 2020 Child Care Licensing Study: Analysis of child care licensing regulations. [Unpublished data].
 - National Center on Early Childhood Quality Assurance. (2021). 2020 Child Care Licensing Study: Analysis of child care licensing regulations. [Unpublished data].

 - U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care. (2019). Report 5.2.1a-5_6_7: Standards on ratios, group sizes, and qualifications for CCDF providers.- exempt child care centers. ACF-118 Data Submission Center.
- Quality Improvements - Use of Quality Funds
 - U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care. (2019). Reports 7.2.1 and 7.2.1-1: Use of Quality Funds - Supporting the training and professional development of the child care workforce. ACF-118 Data Submission Center.
 - U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care. (2019). Report 7.2.1 and 7.2.1-2: Use of Quality Funds - Developing, maintaining, or implementing early learning and developmental guidelines. ACF-118 Data Submission Center.
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of child care services within the state/territory. ACF-118 Data Submission Center.

- U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care. (2019). Report 7.2.1 and 7.2.1-8: Use of Quality Funds - Supporting accreditation. ACF-118 Data Submission Center.
- U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care. (2019). Report 7.2.1 and 7.2.1-9: Use of Quality Funds - Supporting state/territory or local efforts to develop high-quality program standards relating to health, mental health, nutrition, physical activity, and physical development. ACF-118 Data Submission Center.
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- Quality Improvements - Outreach to Families with Limited English Proficiency
 - U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care. (2019). Report 2.1.1-1 and 2.1.1-2: Outreach to Families with Limited English Proficiency - Strategies the Lead Agency or partners utilize to provide outreach and services to eligible families for whom English is not their first language. ACF-118 Data Submission Center.
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