



# Essentials of CCDF for Tribes with Small Allocation Sizes

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# Welcome



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# Session Objectives

Participants will:

- ◆ Receive a basic introduction to the Child Care and Development Fund (CCDF) program for Tribes with small allocations
- ◆ Receive an introduction to the Tribal Child Care Management Systems Framework
- ◆ Build awareness of CCDF laws and regulations
- ◆ Review the purpose of the CCDF Tribal Plan and explore their individual CCDF Tribal Plan and the accompanying plan approval letters
- ◆ Examine the relationship between Tribal Lead Agencies, the Office of Child Care, and the Technical Assistance system

# Check-In

## ◆ Please share:

- Your name and your Tribal Lead Agency
- How long have you worked in CCDF?





# Overview of CCDF



# What Are the CCDBG and CCDF?

The CCDBG Act of 2014 is the law (along with section 418 of the Social Security Act) that authorizes the CCDF, which is the primary federal funding source devoted to the following goals:

- ◆ Providing low-income families who are working or participating in education and training with help paying for child care
- ◆ Improving the quality of child care by funding activities that build the skills and qualifications of the teacher workforce, support child care programs in achieving higher standards, and provide consumer education to help parents select child care that meets their families' needs

Source: Child Care and Development Fund (CCDF) Program, 81 Fed. Reg. 67,438 (Sept. 30, 2016) (codified at 45 C.F.R. pt. 98).



# CCDBG Reauthorization

- ◆ On November 19, 2014, the President signed bipartisan legislation that reauthorized the CCDBG Act.
- ◆ The new law made many important statutory changes focused on strengthening child care to better support the success of both parents and children.
- ◆ Before the final rule was published, the Office of Child Care (OCC) offered several opportunities for Tribes and Tribal CCDF Administrators to provide input on the proposed regulations through the notice of proposed rulemaking.
- ◆ In September 2016, OCC published the CCDF final rule, the regulations for administering the CCDF program.

Source: Child Care and Development Fund (CCDF) Program, 81 Fed. Reg. 67,438 (Sept. 30, 2016) (codified at 45 C.F.R. pt. 98).



# Major Provisions of the CCDF Final Rule

**1. Protect the health and safety of children in child care**

**2. Help parents make informed consumer choices**

**3. Enhance the quality of child care**

**4. Provide equal access to stable child care for low-income families**

Source: Child Care and Development Fund (CCDF) Program, 81 Fed. Reg. 67,438, 67,438–41 (Sept. 30, 2016) (codified at 45 C.F.R. pt. 98).

CCDF Final Rule Overview



The table below provides an overview of CCDF final rule requirements that apply to each allocation size. This list is meant to illustrate the major provisions of the CCDF final rule and is not exhaustive. Please reference the full regulations in the U.S. Code of Federal Regulations at [45 C.F.R. Part 98 and 99](#).

CCDF Final Rule Requirements		Small Allocations	Medium Allocations	Large Allocations
CCDF Leadership and Coordination	Spend funds in alignment with CCDF purposes and goals [45 C.F.R. § 98.1]	✓	✓	✓
	Coordinate the development of the CCDF Plan and provision of services with other Tribal, federal, state, and/or local child care and early childhood development programs [45 C.F.R. § 98.82]	✓	✓	✓
	Cannot exceed 15 percent administrative spending cap [45 C.F.R. § 98.83(i)]	✓	✓	✓
	Meet fiscal, audit, and reporting requirements that are applicable to Tribes [45 C.F.R. § 98.60 – § 98.71]	✓	✓	✓
	Comply with construction and renovation requirements, if applicable [45 C.F.R. § 98.84]	✓	✓	✓
Health and Safety	Establish health and safety standards for 11 required topics [45 C.F.R. § 98.41(a)]	✓	✓	✓
	Establish standards for group-size limits, the ratio between the number of children and the number of caregivers, and required qualifications for caregivers in child care settings [45 C.F.R. § 98.41(d)]	✓	✓	✓
	Conduct preservice/orientation training and ongoing training on required health and safety topics [45 C.F.R. §§ 98.44(b)(1), 98.44(b)(2)]	✓	✓	✓
	Monitor CCDF providers, with flexibility to propose an alternative monitoring strategy [45 C.F.R. § 98.83(d)(2)]	✓	✓	✓
	Conduct comprehensive criminal background checks for all CCDF providers, with flexibility to propose an alternative approach [45 C.F.R. § 98.83(d)(3)]	✓	✓	✓
Quality Improvement	Certify that caregivers, teachers, and directors comply with child abuse reporting requirements [45 C.F.R. § 98.42(e)]	✓	✓	✓
	Have licensing requirements applicable to child care services [45 C.F.R. § 98.83(f)(1)(i)]	Exempt	Exempt	Exempt
	Spend a minimum portion of expenditures on quality improvement activities (7 percent in FY 2019, 8 percent in FY 2020 and FY 2021, 9 percent in FY 2022 and ongoing) [45 C.F.R. § 98.83(g)(1)]	✓	✓	✓
	Spend an additional 3 percent of expenditures on quality improvement activities for infants and toddlers, beginning in FY 2019 [45 C.F.R. § 98.83(g)(2)]	Exempt	✓	✓
	Have a training and professional development framework [45 C.F.R. § 98.83(d)(1)(iii)]	Exempt	Exempt	Exempt
Complete the Quality Progress Report [45 C.F.R. § 98.83(d)(1)(viii)]	Exempt	Exempt	Exempt	
Maintain or implement early learning and developmental guidelines [45 C.F.R. § 98.81(b)(6)(i)]	Exempt	Exempt	Exempt	

# CCDF Final Rule Overview

- ◆ For more information on CCDF final rule requirements, see the following resource:
- ◆ National Center on Tribal Early Childhood Development. (2019). CCDF Final Rule: Overview for American Indian and Alaska Native grantees. Retrieved from <https://childcareta.acf.hhs.gov/resource/ccdf-final-rule-overview-american-indian-and-alaska-native-grantees>

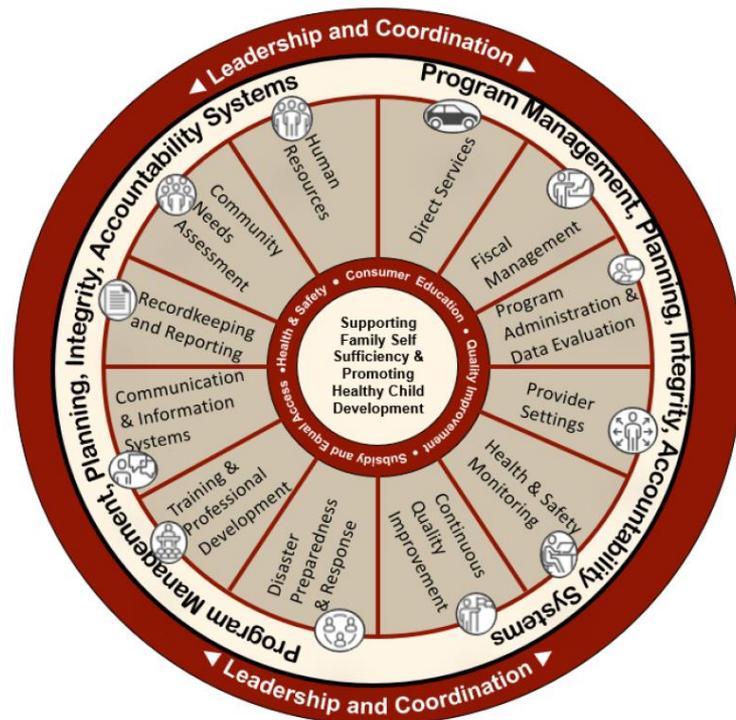
## Q&A

- ◆ What is a major provision of the CCDF Final Rule?
  - Health and Safety
  - Free food for children and families
  - Consumer Education television show
  - Development Screenings
- ◆ When was CCDF reauthorized?
  - 2020
  - 2010
  - 1996
  - 2014



# Management Systems Framework

# Tribal Child Care Management Systems Framework



Source: Proposed Tribal Child Care Management Systems Framework: Summary. (2019). Retrieved from [https://childcareta.acf.hhs.gov/sites/default/files/public/proposed\\_tribal\\_child\\_care\\_management\\_systems\\_framework\\_summary.pdf](https://childcareta.acf.hhs.gov/sites/default/files/public/proposed_tribal_child_care_management_systems_framework_summary.pdf)

Source: National Center for Program Management and Fiscal Operations. (2019). The Head Start management systems wheel. Retrieved from <https://eclkc.ohs.acf.hhs.gov/organizational-leadership/article/management-systems>



# Lightning Round

- ◆ How can you use the framework to support your Tribal Lead Agency?



# Introduction to the Tribal CCDF Plan



# The CCDF Plan

- ◆ In order to receive CCDF funds, Tribes must develop and submit a CCDF Plan to the OCC **every 3 years.**
- ◆ The completed CCDF Plan is the application Tribes use to apply for their block grant funding by providing a description of, and assurances about, the Lead Agency's CCDF program and services.
- ◆ The CCDF Plan serves as a planning document for Tribes and is developed in collaboration with numerous partners and stakeholders to ensure that the CCDF program addresses the needs of families, providers, and communities over the 3-year Plan period.
- ◆ Fiscal year (FY) 2020–2022 CCDF Plans are the first in which Tribes are expected to be in full compliance with new requirements in the CCDBG Act of 2014 and the associated final rule.

# FY 2020– 2022 Tribal Plan Structure

CCDF Plan Section		Small Allocations	Medium Allocations	Large Allocations
Part I	<b>Section 1:</b> Define CCDF Leadership and Coordination with Relevant Systems	✓	✓	✓
	<b>Section 2:</b> Establish Standards and Monitoring Processing to Ensure the Health and Safety of Child Care Settings	✓	✓	✓
	<b>Section 3:</b> Supporting Continuous Quality Improvement	✓	✓	✓
	<b>Section 4:</b> Small Allocation Tribes Only—Direct Services	✓	Not applicable (N/A)	N/A
Part II	<b>Section 5:</b> Provide Stable Child Care Financial Assistance to Families	N/A	✓	✓
	<b>Section 6:</b> Ensure Equal Access to High-Quality Child Care for Low-Income Children	N/A	✓	✓
	<b>Section 7:</b> Promote Family Engagement through Outreach and Consumer Education	N/A	✓	✓
Part III	<b>Appendix 1:</b> Triennial Child Count Declaration	✓	✓	✓
	<b>Appendix 1A:</b> Triennial Child Count Declaration (P.L. 102-477)	✓	✓	✓
	<b>Appendix 2:</b> CCDF Program Assurances and Certifications	✓	✓	✓
	<b>Appendix 3:</b> List of Certifications	✓	✓	✓
	<b>Appendix 4:</b> Amendment Log	✓	✓	✓



**CHILDREN & FAMILIES**

330 C Street, S.W., Washington DC 20201 | www.acf.hhs.gov

March 31, 2020

Tribal Chair  
ABC Tribe  
Address  
City, WA Zip Code

Dear Chairman:

The Office of Child Care (OCC) has completed its review of the TRIBAL NATION Child Care and Development Fund (CCDF) Plan for the period of October 1, 2019, through September 30, 2022. This Plan development and review cycle has been unprecedented as we have worked together to transition CCDF programs to meet the requirements of the Child Care and Development Block Grant (CCDBG) Act of 2014 and the 2016 CCDF final rule. We appreciate your hard work as we progress towards full implementation.

This letter is to inform you that your Plan has been conditionally approved. A "conditional approval" means that the plan is approved with conditions, pending additional corrective action by TRIBAL NATION. The conditions will be deemed fully met once all provisions in the CCDBG Act of 2014 and final rule are fully implemented.

TRIBAL NATION GRANTEES did not fully implement the provisions listed below in their FY 2020-2022 CCDF Plan and are expected to take corrective actions to reach full implementation of the CCDBG Act of 2014 and the final rule no later than September 30, 2022:

- Section 2: Standards and Monitoring Processes to Ensure the Health and Safety of Child Care Settings including Criminal Background Checks and Disaster Preparedness and Response Plan
- Section 5: Stable Child Care Financial Assistance to Families
- Section 6: Equal Access to Quality Child Care for Low Income Children
- Section 7: Family Engagement through Outreach and Consumer Education

We recognize that in some cases, Tribes are reliant on partnerships with States for some requirements. Through the TRIBAL NATION CCDF Plan is non-compliant in these areas, OCC will continue to coordinate with Tribes while the State progresses in meeting the following requirements:

- Section 2: Standards and Monitoring Processes to Ensure the Health and Safety of Child Care Settings including Criminal Background Checks and Disaster Preparedness and Response Plan

# Sample Conditional Approval Letter

This part of the letter notes the section(s) of the Plan the lead agency is not in compliance with



This part of the letter notes the section(s) of the Plan the lead agency is not in compliance with the CCDF requirement(s) because the state is not in compliance (i.e. background checks)



# CCDF Plan Cycle and Key Submission Deadlines

- ◆ Current CCDF Plans are effective from FY 2020 to 2022.
  - October 1, 2019 – September 30, 2022

## **Child Count and Service Area Deadline:**

Child count and service area are due by July 1, 2022.

## **Tribal CCDF Plan Conditions Review:**

Where are you now in the process?

Tribal Lead Agencies are required to demonstrate full compliance with the CCDF Final Rule by October 1, 2022.

# Can You Find ...

- ◆ If your Tribal Lead Agency is providing direct services
- ◆ The categories of care that your Tribal Lead Agency allows parents to chose from

*Hint: Look for section 4.*



## Q&A

Have you received your conditional letter?

- ◆ Yes
- ◆ No
- ◆ Not sure

How many amendments have you submitted so far?

- ◆ 0
- ◆ 1
- ◆ 2
- ◆ 3
- ◆ 4
- ◆ 5+



# Support for CCDF Administrators



# Federal Administration of CCDF

Source: Office of Child Care, Administration for Children and Families, U.S. Department of Health and Human Services. (2020). Office of Child Care website. Retrieved from <https://www.acf.hhs.gov/occ>



The Office of Child Care supports low-income working families through child care financial assistance and promotes children's learning by improving the quality of early care and education and afterschool programs.



# Virtual Tribal, State, and Territory Administrators Meeting 2020

**RO VIII**  
6-States  
27-Tribes

9

**RO VII**  
4-States  
9-Tribes

1

**RO V**  
6-States  
31-Tribes

2

**RO I**  
6-States  
9-Tribes

1

**RO X**  
4-States  
68 Tribes

20

**RO IX**  
4-States  
55-Tribes  
2-Native Hawaiian  
3-Territories

2

**RO II**  
2-States  
3-Tribes  
2-Territories

1

**RO III**  
6-States  
1-Tribes

477  
Tribes

**RO VI**  
5-States  
57-Tribes

9

**RO IV**  
8-States  
5-Tribes





# Resources

- ◆ *Program Instruction: Process to Submit Child Count Declaration Form and Definition of Indian Reservation or Service Area (CCDF-ACF-PI-2019-02)* (2019), Office of Child Care, Administration for Children and Families, U.S. Department of Health and Human Services, <https://www.acf.hhs.gov/occ/resource/ccdf-acf-pi-2019-02>
- ◆ ECTTAS, <https://childcareta.acf.hhs.gov/>
- ◆ Early Childhood Learning and Knowledge Center, <https://eclkc.ohs.acf.hhs.gov/>
- ◆ National Center on Tribal Early Childhood Development, <https://childcareta.acf.hhs.gov/centers/national-center-tribal-early-childhood-development>



## Check Out Activity

- ◆ Share your location in the chat and exchange contact information with someone in your region.



Thank You

