



Essentials of CCDF Administration for Tribes with Medium and Large Allocation Sizes

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Early Childhood Development

Welcome



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Session Objectives

- ◆ Receive a basic introduction to the Child Care and Development Fund (CCDF) program for Tribes with Medium and Large Allocation Sizes
- ◆ Receive an introduction to the Tribal Child Care Management Systems Framework
- ◆ Build their awareness of CCDF laws and regulations
- ◆ Review the purpose of the CCDF Tribal Plan and explore their individual CCDF Tribal Plan and the accompanying plan approval letters
- ◆ Examine the relationship between Tribal Lead Agencies, the Office of Child Care, and the Technical Assistance system

Check-in

- ◆ Please share:
 - Your name and your Tribal Lead Agency



Overview of CCDF





What Are the CCDBG and CCDF?

The CCDBG Act of 2014 is the law (along with section 418 of the Social Security Act) that authorizes the CCDF, which is the primary federal funding source devoted to the following goals:

- Providing low-income families who are working or participating in education and training with help paying for child care
- Improving the quality of child care by funding activities that build the skills and qualifications of the teacher workforce, support child care programs in achieving higher standards, and provide consumer education to help parents select child care that meets their families' needs

CCDF Funds



*Note: In Alaska, only the 13 entities defined by the Alaska Native Claims Settlement Act may receive mandatory funds



CCDBG Reauthorization

- ◆ On November 19, 2014, the President signed bipartisan legislation that reauthorized the CCDBG Act.
- ◆ The new law made many important statutory changes focused on strengthening child care to better support the success of both parents and children.
- ◆ Before the final rule was published, Office of Child Care (OCC) offered several opportunities for Tribes and Tribal CCDF Administrators to provide input on the proposed regulations through the Notice of Proposed Rule Making.
- ◆ In September 2016, OCC published the CCDF final rule, the regulations for administering the CCDF program.

Source: Child Care and Development Fund (CCDF) Program, 81 Fed. Reg. 67,438 (Sept. 30, 2016) (codified at 45 C.F.R. pt. 98).



Major Provisions of the CCDF Final Rule

1. Protect the health and safety of children in child care

2. Help parents make informed consumer choices

3. Enhance the quality of child care

4. Provide equal access to stable child care for low-income families

Source: Child Care and Development Fund (CCDF) Program, 81 Fed. Reg. 67,438, 67,438–41 (Sept. 30, 2016) (codified at 45 C.F.R. pt. 98).

Tiered Approach to Tribal Lead Agency Requirements

Requirements for Tribal Lead Agencies are based on allocation size.

**Tribes with
small
allocations**

Less than \$250,000

**Tribes with
medium
allocations**

\$250,000 to
\$1 million

**Tribes with
large
allocations**

More than
\$1 million

CCDF Final Rule Overview

- ◆ For more information on CCDF final rule requirements, see the following resource:
- ◆ National Center on Tribal Early Childhood Development. (2019). *CCDF Final Rule: Overview for American Indian and Alaska Native grantees*. U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care. <https://childcareta.acf.hhs.gov/resource/ccdf-final-rule-overview-american-indian-and-alaska-native-grantees>

CCDF Final Rule Overview

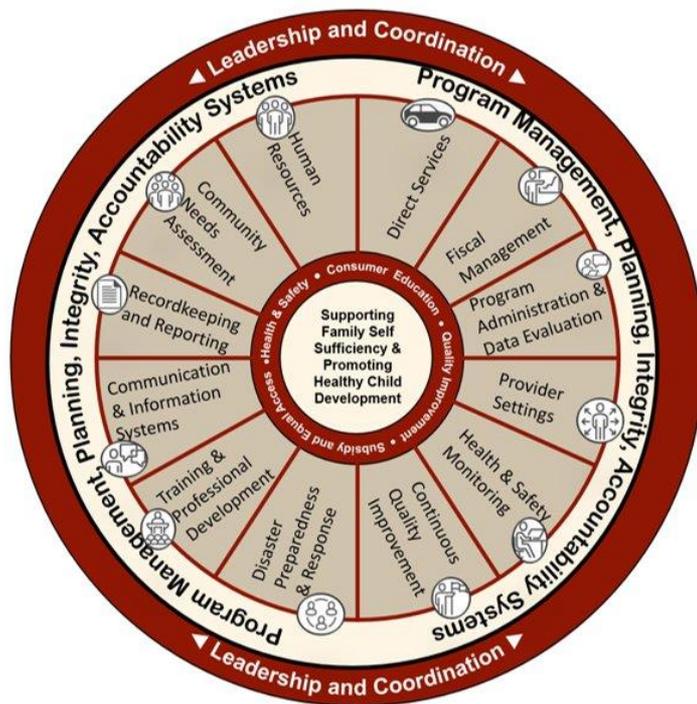
The table below provides an overview of CCDF final rule requirements that apply to each allocation size. This list is meant to illustrate the major provisions of the CCDF final rule and is not exhaustive. Please reference the full regulations in the U.S. Code of Federal Regulations at [45 C.F.R. Part 98 and 99](#).

CCDF Final Rule Requirements		Small Allocations	Medium Allocations	Large Allocations
CCDF Leadership and Coordination	Spend funds in alignment with CCDF purposes and goals [45 C.F.R. § 98.1]	✓	✓	✓
	Coordinate the development of the CCDF Plan and provision of services with other Tribal, federal, state, and/or local child care and early childhood development programs [45 C.F.R. § 98.82]	✓	✓	✓
	Cannot exceed 15 percent administrative spending cap [45 C.F.R. § 98.83(i)]	✓	✓	✓
	Meet fiscal, audit, and reporting requirements that are applicable to Tribes [45 C.F.R. § 98.60 - § 98.71]	✓	✓	✓
	Comply with construction and renovation requirements, if applicable [45 C.F.R. § 98.84]	✓	✓	✓
Health and Safety	Establish health and safety standards for 11 required topics [45 C.F.R. § 98.41(a)]	✓	✓	✓
	Establish standards for group-size limits, the ratio between the number of children and the number of caregivers, and required qualifications for caregivers in child care settings [45 C.F.R. § 98.41(c)]	✓	✓	✓
	Conduct preservice/orientation training and ongoing training on required health and safety topics [45 C.F.R. §§ 98.44(b)(1), 98.44(b)(2)]	✓	✓	✓
	Monitor CCDF providers, with flexibility to propose an alternative monitoring strategy [45 C.F.R. § 98.83(d)(2)]	✓	✓	✓
	Conduct comprehensive criminal background checks for all CCDF providers, with flexibility to propose an alternative approach [45 C.F.R. § 98.83(d)(3)]	✓	✓	✓
	Certify that caregivers, teachers, and directors comply with child abuse reporting requirements [45 C.F.R. § 98.42(e)]	✓	✓	✓
	Have licensing requirements applicable to child care services [45 C.F.R. § 98.83(d)(1)(ii)]	Exempt	Exempt	Exempt
Quality Improvement	Spend a minimum portion of expenditures on quality improvement activities (7 percent in FY 2019, 8 percent in FY 2020 and FY 2021, 9 percent in FY 2022 and ongoing) [45 C.F.R. § 98.83(g)(1)]	✓	✓	✓
	Spend an additional 3 percent of expenditures on quality improvement activities for infants and toddlers, beginning in FY 2019 [45 C.F.R. § 98.83(g)(2)]	Exempt	✓	✓
	Have a training and professional development framework [45 C.F.R. § 98.83(d)(1)(iii)]	Exempt	Exempt	Exempt
	Complete the Quality Progress Report [45 C.F.R. § 98.83(d)(1)(iv)]	Exempt	Exempt	Exempt
Maintain or implement early learning and developmental guidelines [45 C.F.R. § 98.81(b)(6)(i)]	Exempt	Exempt	Exempt	

Questions?



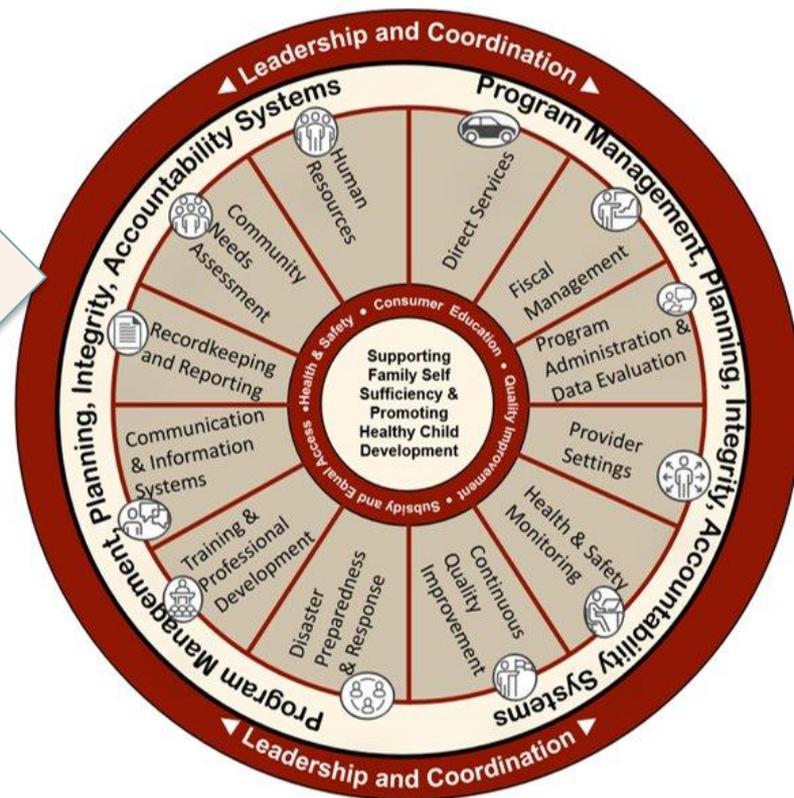
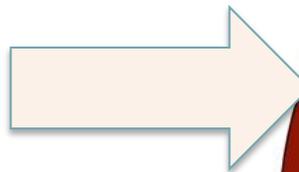
Tribal Child Care Management Systems Framework



Source: National Center on Tribal Early Childhood Development. (2019). *Proposed Tribal Child Care Management Systems Framework: Summary*. U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care.
https://childcareta.acf.hhs.gov/sites/default/files/public/proposed_tribal_child_care_management_systems_framework_summary.pdf.

Adapted from: National Center for Program Management and Fiscal Operations. (2020). *The Head Start Management Systems Wheel*. U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care.
<https://eclkc.ohs.acf.hhs.gov/organizational-leadership/article/management-systems>.

Leadership and Coordination



Source: National Center on Tribal Early Childhood Development. (2019). *Proposed Tribal Child Care Management Systems Framework: Summary*. U.S. Department of Health and Human Services, Administration for Children and Families, Office of Child Care. https://childcareta.acf.hhs.gov/sites/default/files/public/proposed_tribal_child_care_management_systems_framework_summary.pdf.

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Eligibility for CCDF Funds

- ◆ Tribes must designate a Tribal Lead Agency to apply for funding and stay accountable for administering the CCDF program.
- ◆ Tribes must be federally recognized, and the Tribal population must include at least 50 children under 13 years of age living on or near the reservation or service area.
- ◆ A Tribe with fewer than 50 children under age 13 may participate in a consortium of eligible Tribes.

Source: Child Care and Development Fund, 45 C.F.R. § 98.80 (2016).

Source: Office of Child Care. (2017). *American Indian and Alaska Native Child Care and Development Fund: A Guide for New Administrators*. U.S. Department of Health and Human Services, Administration for Children and Families.

<https://childcareta.acf.hhs.gov/resource/american-indian-and-alaska-native-child-care-and-development-fund-guide-new-administrators>.





Tribal Lead Agency

Tribes must designate a Tribal Lead Agency to apply for funding and be accountable for administering the CCDF program.

Tribal Lead Agencies have considerable flexibility in:

- developing the Tribal CCDF Plan,
- administering and implementing the child care program,
- determining the basic use of the funds, and
- identifying spending priorities based on the unique, specific needs of the children and families in the Tribal community.

Share

- ◆ How is your Tribal CCDF program structured?
- ◆ How many of you have different responsibilities?
Can you name a few (word cloud)?





Types of Tribal CCDF Administration

Tribal CCDF administration (standard)

- ◆ Funding comes straight from the U.S. Department of Health and Human Services (HHS) and is funneled to Tribal grantees through the Administration for Children and Families (ACF)
- ◆ Reporting is submitted to ACF

Public Law 102–477

- ◆ Funding comes from HHS but is funneled to Tribal grantees through the U.S. Department of the Interior
- ◆ Funding is consolidated with other funds
- ◆ Reporting is submitted to U.S. Department of the Interior

Note: Both types are required to operate comprehensive CCDF programs under 45 C.F.R. §§ 98–99.

Source: Office of Child Care. (2016, June 14). *Procedures to consolidate the CCDF program with other employment and training funding sources under a P.L. 102-477 plan* (Program instruction: CCDF-ACF-PI-2016-04). U.S. Department of Health and Human Services, Administration for Children and Families.

https://www.acf.hhs.gov/sites/default/files/occ/ccdf_acf_pi_2016_04.pdf.



P.L. 102-477 Tribes

- ◆ The Indian Employment, Training, and Related Services Consolidation Act of 2017 amended the Indian Employment, Training, and Related Services Demonstration Act (P.L. 102-477).
- ◆ This program is commonly referred to as 102-477. It permits tribal governments to consolidate their federally funded employment, training, and related services programs, including CCDF, into a single coordinated, comprehensive program.
- ◆ Tribes with P.L. 102-477 plans will not submit this plan but will receive separate guidance on how to submit the CCDF requirements for their 102-477 program.

For Tribes with current P.L. 102-477 plans, compliance with the CCDF final rule will be determined based on the Tribe's next P.L. 102-477 plan submission with an effective date of October 1, 2019, or later.

CCDF Tribal Plan

Relationship Between the Law, Final Rule, and CCDF Plan





The CCDF Plan

- ◆ In order to receive CCDF funds, Tribes must develop and submit a CCDF Plan to the Office of Child Care (OCC) **every 3 years**.
- ◆ The completed CCDF Plan is the application Tribes use to apply for their block grant funding by providing a description of, and assurances about, the Lead Agency's CCDF program and services
- ◆ The CCDF Plan serves as a planning document for Tribes and is developed in collaboration with numerous partners and stakeholders to ensure that the CCDF program addresses the needs of families, providers, and communities over the 3-year Plan period
- ◆ Fiscal Year (FY) 2020-2022 CCDF Plans are the first in which Tribes are expected to be in full compliance with new requirements in the CCDBG Act of 2014 and the associated final rule

FY 2020–2022 Tribal Plan Structure

	CCDF Plan Section	Small Allocations	Medium Allocations	Large Allocations
Part I	Section 1: Define CCDF Leadership and Coordination with Relevant Systems	✓	✓	✓
	Section 2: Establish Standards and Monitoring Processing to Ensure the Health and Safety of Child Care Settings	✓	✓	✓
	Section 3: Supporting Continuous Quality Improvement	✓	✓	✓
	Section 4: Small Allocation Tribes Only – Direct Services	✓	N/A	N/A
Part II	Section 5: Provide Stable Child Care Financial Assistance to Families	N/A	✓	✓
	Section 6: Ensure Equal Access to High Quality Child Care for Low-Income Children	N/A	✓	✓
	Section 7: Promote Family Engagement through Outreach and Consumer Education	N/A	✓	✓
Part III	Appendix 1: Triennial Child Count Declaration	✓	✓	✓
	Appendix 1A: Triennial Child Count Declaration (P.L. 102-477)	✓	✓	✓
	Appendix 2: CCDF Program Assurances and Certifications	✓	✓	✓
	Appendix 3: List of Certifications	✓	✓	✓
	Appendix 4: Amendment Log	✓	✓	✓

Compliance Date

- ◆ Compliance deadline – October 1, 2019 (CCDF Plan effective date)
- ◆ Compliance with CCDF Final Rule will be determined through review and approval of FY2020 -2022 CCDF Plans
- ◆ For Tribes with current P.L. 102-477 Plans, compliance with the CCDF Final Rule will be determined based on the Tribe's next P.L. 102-477 Plan submission with an effective date of October 1, 2019 or later.



FY 2020–2022 CCDF Tribal Plan Preprint

Historic plan:

- ◆ This is the first CCDF Tribal Plan Preprint that entails the new requirements expected to be included in the Plans according to both the CCDBG Act of 2014 and the CCDF final rule.
- ◆ Tribes are expected to demonstrate compliance with the final rule no later than October 1, 2019.





FY 2020–2022 CCDF Tribal Plan Preprint

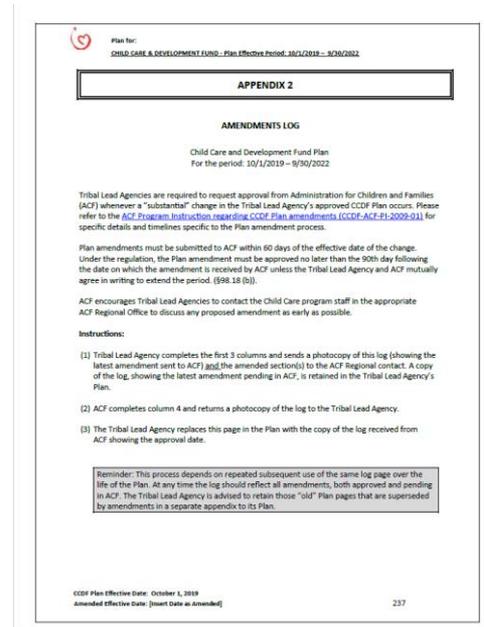
Historic funding:

- The Consolidated Appropriations Act of 2018 included a \$2.37 billion increase in CCDF Discretionary funds over 2017 levels, and this increase was maintained in the appropriations for FY 2019.
- Funding for Tribes increased from \$137 million in FY 2017 to \$359 million in FY 2018 and \$360 million in FY 2019.

Source: Office of Child Care. (2019, February 14). *OCF National Tribal Webinar: FY 2020-2022 CCDF Tribal Plan Preprint Overview*. U.S. Department of Health and Human Services, Administration for Children and Families. <https://childcareta.acf.hhs.gov/resource/ocf-national-tribal-webinar-fy-2020-2022-ccdf-tribal-plan-preprint-overview>.

Plan Amendments

- ◆ The *Amendment Log* is located in Appendix 2
- ◆ Plan amendments must be submitted to ACF within 60 days of the effective date of the change.



Share

- ◆ Have you accessed technical assistance from the ECTTAS yet?





ADMINISTRATION FOR CHILDREN & FAMILIES

OFFICE of Child Care

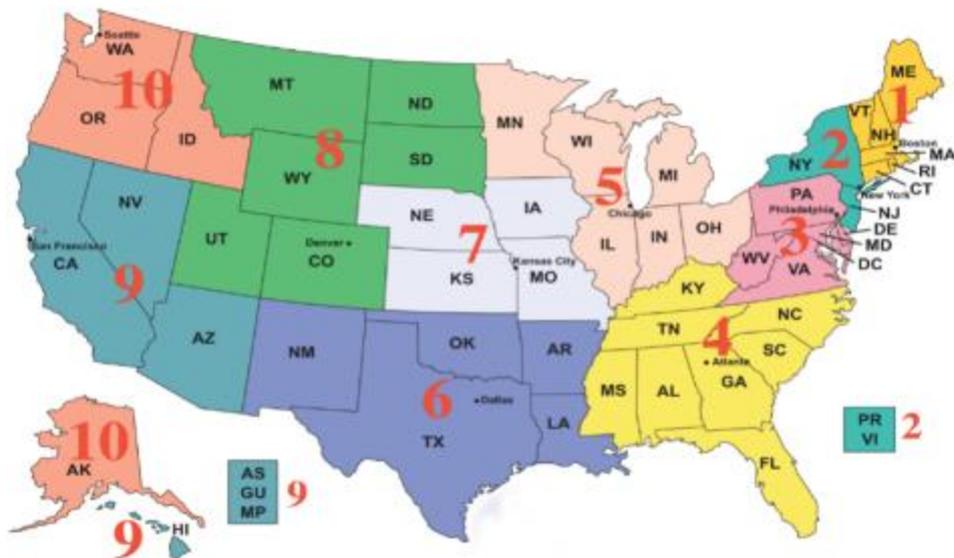


Virtual Tribal, State, and Territory Administrators Meeting 2020

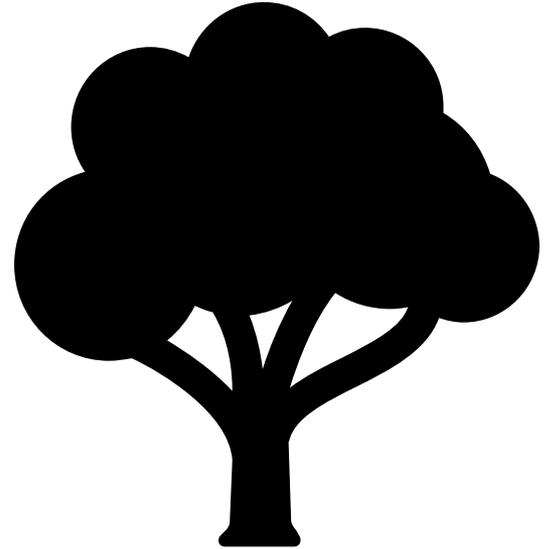
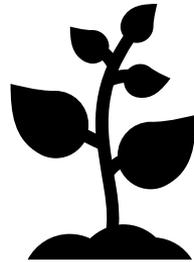
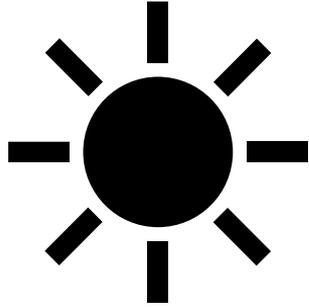


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Check Out





Thank You

