



# The Future of Monitoring Systems in Early Care and Education

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# Overview

- State of Monitoring
- Participant Questions and Challenges
- Monitoring Across ECE
- Successful State Strategies
- Tips and Considerations for Moving Forward

# Current state of monitoring in ECE

- [ASPE/ACF White paper](#) rationale
- Broad consensus: Ensuring safety and healthy development of young children
- Across sectors:
  - Child Care- Addressing variation in state practice
  - HS
  - Pre-K
  - DOD Programs
  - IDEA
  - CACFP
- Separate systems: duplicative practices; burdensome for grantees
- CCDBG Implementation: New challenges and opportunities
- From framework of compliance to quality improvement

# Lessons from states/grantees

- Partnerships with advocates, families & across agencies contribute to reform
- Considerations of staff capacity, caseload, professional development needs
- Need for robust data infrastructure
- Work on state-level statutory barriers for monitoring across sectors



# Options for states, territories & grantees

## Topic 1

Federal & state agencies partner to increase understanding among providers that the larger purpose of monitoring is to keep children, families, and staff safe.



# Options for states, territories & grantees

## Topic 2

- Aligning policies and procedures across funding streams
  - Cross-training
  - Sharing monitoring findings; including non-compliances
  - Alignment with CACFP
- Grounding this work in a universal set of health, safety and performance standards that are research-based and endorsed by professional organizations, such as [Caring for our Children Basics](#).



# Options for states, territories & grantees

## Topic 3: Approaches to Differential Monitoring

- Many states have invested in this; based on the work of Dr. Rick Fiene
- Strategies for determining frequency & depth of monitoring needed
- Key indicator approaches
- Could allow for better targeting of monitoring & TA resources



# Follow-up resources

- ASPE/ACF White Paper:  
[http://aspe.hhs.gov/hsp/15/ece\\_monitoring/rpt\\_ece\\_monitoring.cfm](http://aspe.hhs.gov/hsp/15/ece_monitoring/rpt_ece_monitoring.cfm)
- Caring for our Children Basics:  
<http://www.acf.hhs.gov/programs/ecd/caring-for-our-children-basics>
- CACFP Guidance:  
<http://www.fns.usda.gov/sites/default/files/CACFP14-2013.pdf>
- Contemporary Issues in Licensing: Child Care Licensing Inspection Policies  
<https://childcareta.acf.hhs.gov/resource/contemporary-issues-licensing-child-care-licensing-inspection-policies>

# Follow-up resources

- Contemporary Issues in Licensing: Monitoring Strategies for Determining Compliance - Differential Monitoring, Risk Assessment, and Key Indicators  
<https://childcareta.acf.hhs.gov/resource/contemporary-issues-licensing-monitoring-strategies-determining-compliance-differential>
- Data Explorer  
<https://childcareta.acf.hhs.gov/data>
- National Program Standards Crosswalk Tool (n.d.), by NCCCQI  
<https://occqrisguide.icfwebservices.com/index.cfm?do=crosswalk>

