



NATIONAL CENTER ON
Early Childhood Quality Assurance



CHILD CARE
State Capacity Building Center

Posting Serious Incident Data and Child Care Inspection Reports

National Webinar

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Introductions



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Overview



Serious
incident
data*



Inspection
reports

- ✓ Child Care and Development Fund (CCDF) regulations
- ✓ Examples
- ✓ Potential for enhancement

*Aggregate data on serious injuries, deaths, and substantiated instances of child abuse



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Posting Data About Serious Incidents

CCDF Regulations

Definitions

Meeting Families' and Providers' Needs

Examples

CCDF Regulations

Lead Agencies must post the aggregate (total) number of **deaths**, **serious injuries**, and instances of **substantiated child abuse** that occurred in child care settings **each year** for eligible providers.

Child Care and Development Fund, 45 C.F.R. § 98.33(a)(5); section 658E(c)(2)(D) (2016).

CCDF Regulations

The information on deaths and serious injuries must be separated by **category of provider** (e.g., centers, family child care homes) and **licensing status** (i.e., licensed, license-exempt).

Child Care and Development Fund, 45 C.F.R. § 98.33(a)(5); section 658E(c)(2)(D) (2016).

Final Rule Preamble Clarifications

- ◆ Information should also include total number of children in care by provider type and licensing status.
- ◆ Serious incident aggregate data should include “any child in the care of a provider eligible to receive CCDF, not just children receiving subsidies.”

Child Care and Development Fund (CCDF) Program, 81 Fed. Reg. 67,438, 67,446 (Sept. 30, 2016) (codified at 45 C.F.R. pt. 98).

Summary of Required Elements

States and Territories must post the following:

- ◆ By provider category and licensing status:
 - Total number of deaths of children in care
 - Total number of serious injuries in care
 - Total number of children in care
 - Note: Licensed care: Provide an estimated number of children in care based on the capacity of licensed programs rather than actual enrollment or attendance numbers.
 - License-exempt care: Provide an option of indicating N/A if there is no way to estimate the number of children in care or, at a minimum, include the number of children receiving CCDF subsidies in license-exempt programs.
- ◆ Total number of substantiated instances of child abuse in child care settings

Definitions

- ◆ How does your state/territory define each required component?



Deaths



Serious
injuries



Substantiated
child abuse

Definition of Serious Injury in a Child Care Setting



How might reporting differ with broad or narrow definitions?

Definition of Serious Injury in a Child Care Setting

- ◆ Example of a **broad** definition: An injury that requires medical attention.
- ◆ A **narrow** definition may include specific injuries. (For example, severe neck or head injuries, choking and unexpected breathing problems, severe bleeding, unconsciousness, concussion, chemicals in eyes, poisoning, medication overdose, severe burns, allergic reaction requiring Epi-Pen administration, near drowning, a broken bone.)
- ◆ A **narrow** definition may hinge on the response to the injury. (For example, a child is hospitalized or requires ambulance transport.)

Definition of Death in a Child Care Setting

- ◆ Some states require providers to report any death that occurred while the child was on the premises of or in the care of the child care center or home.
- ◆ Others require providers to report the death of a child that results from injuries sustained in child care, even if the death does not occur on the premises.

Meeting Families' and Providers' Needs

Families need
to understand
the information



Providers need
the information
to fairly
communicate
context



Meeting Families' and Providers' Needs

Consider including:

- ◆ Definitions of the categories of care and licensing status
- ◆ Definitions of serious injury, death, and substantiated abuse



Meeting Families' and Providers' Needs

Consider including:

- ◆ Clarification that serious injuries and death in child care are often not tied to violations
- ◆ The total number of serious injuries that were due to a violation (for context)





State Examples

Aggregate data reports

The highlighted examples exhibit promising practices for a specific component of the CCDF consumer education website requirements. Not every state example is indicative of full compliance across all required consumer education website components.

Utah

ACCIDENTS AND INCIDENTS

Safe center and residential child care settings provide ample opportunities for children to develop their social skills and to be empowered to solve problems while their working parents entrust them to their caregivers.

Because of their natural curiosity and their developing brain and body, children will encounter situations that may cause them harm.

Some of the most common injuries in child care happen on the playground, because of loose and falling objects, or due to items and substances not properly stored.

However, **safe environments, appropriate ratios, and active supervision have proven to be paramount in preventing injuries.**



Includes an explanation of the information

Child Care Licensing has established rules to support caregivers in providing foundational health and safety measures. Child care providers are required to give parents a written report of every incident, accident, or injury involving their child and to report to Child Care Licensing any fatality and accidents that require medical attention. In the same way, parents should maintain an open communication with caregivers and keep an active initiative to help protect their children, Child Care Licensing, child care providers, and parents must work together to protect children from undue harm.

To view a five-year report about serious injuries and deaths in child care in Utah, please click on this link:

[Injuries and Deaths Report](#)

<https://childcarelicensing.utah.gov/forms/All/Accidents and Deaths Report.pdf>



Serious Injuries and Deaths Report

Facility Type	Reportable Injuries (# of Children Injured)									Serious Injuries (# of Children Injured)									Substantiated Child Abuse		
	2017			2018			2019			2017			2018			2019			2017	2018	2019
	Injuries	Deaths	Totals	Injuries	Deaths	Totals	Injuries	Deaths	Totals	Injuries	Deaths	Totals	Injuries	Deaths	Totals	Injuries	Deaths	Totals			
Licensed Centers	367	0	367	401	0	401	16	0	16	1	0	1	1	0	1	0	0	0	0	0	0
Licensed Hourly Center	4	0	4	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Licensed Out of School Time Program	4	0	4	6	0	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Licensed Family	49	0	49	55	2	57	3	1	4	2	0	2	1	1	2	0	0	0	0	0	0
Residential Certificate	1	0	1	0	1	1	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0
Family Friend and Neighbor (FFN)	2	0	2	2	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
DWS Approved License Exempt After School Programs	11	0	11	4	0	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Other License Exempt programs	NC	NC	NC	NC	NC	NC	NC	NC	NC	NC	NC	NC	NC	NC	NC	NC	NC	NC	NC	NC	NC

“Reportable Injury” means an injury to any qualifying child while in care that results in death or the child receiving professional medical attention other than first aid provided by child care staff.

A serious injury is a reportable injury that occurred due to a health or safety violation and indicates a deficiency in the operation or management of the program.

All Deaths, including those caused by a medical event, must be reported to CCL.

“Substantiated Child Abuse” means that either Child Protective Services or CCL found supporting evidence to substantiate the allegations.

NC = It is either not collected or not available.

All data (3 years) is included in the same table

Serious injuries due to health or safety violations are reported separately from total reported injuries

Definitions are included in the footnotes

<https://childcarelicensing.utah.gov/forms/All/Accidents and Deaths Report.pdf>

Utah

Total Active Facilities / # of Children Approved Capacity	2017	2018	2019
Licensed Center	322/28,941	336/29,865	337/29,717
Licensed Hourly Center	15/450	17/450	18/494
Licensed Out of School Time Program	12/1,286	12/1,286	12/1,286
Licensed Family	783/9,982	777/9,842	791/9,882
Residential Certificate	100/793	109/733	105/701
Family Friend and Neighbor (FFN)	256/265	219/591	245/661
DWS Approved License Exempt After School Programs	107/NC	143/NC	144/NC
Other License Exempt programs	297/NC	161/NC	202/NC

Totals are data available by the end of each year. Last Updated January 2019.

Number of facilities and capacity included in a separate table

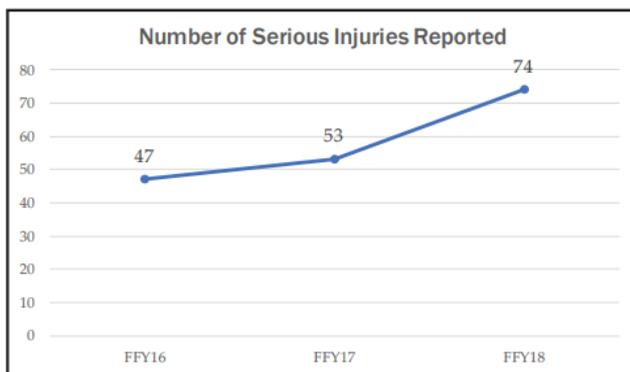
<https://childcarelicensing.utah.gov/forms/All/Accidents and Deaths Report.pdf>

Vermont

Serious Injuries

The definition of a serious impairment of physical condition due to an injury, including, but not limited to, the following: loss of consciousness; concussion; bone fracture; toddler fracture; loss or impairment of function of any body part that lasts a long time; a wound requiring many stitches or staples; tooth injury that results in treatment; dislocation of bones; serious disfigurement, etc.

The number of serious injuries has increased from federal fiscal year 2016 to 2018. The Division has increased awareness through compliance monitoring of reporting requirements. The increase in serious injuries is due to increase number of child care programs complying with reporting requirements.



Information included in an annual report

Includes trends, with explanation above the graph

License Status	FFY16 Reported Serious Injuries	FFY17 Reported Serious Injuries	FFY18 Reported Serious Injuries
Licensed	5	9	12
Expired	0	0	2
Revoked	0	0	0
Total reported	5	9	14

License Status	FFY16 Reported Serious Injuries	FFY17 Reported Serious Injuries	FFY18 Reported Serious Injuries
Licensed	37	41	54
Expired	1	0	1
Revoked	0	0	0
Total reported	38	41	55

Tables include 3 years of data

https://dcf.vermont.gov/sites/dcf/files/CDD/Reports/Serious_Incident_Report.pdf

Iowa

Child Care Statistics

WHAT IS CHILD ABUSE UNDER IOWA LAW?

www.dhs.iowa.gov/child-abuse/what-is-child-abuse

WHAT IS CONSIDERED "SUBSTANTIATED" CHILD ABUSE?

FOUNDED: Based on a preponderance of credible evidence available to DHS, the allegation of abuse is confirmed and it is the type of abuse that requires placement on the Child Abuse Registry.

CONFIRMED: Based on a preponderance of all of the credible evidence available to DHS, the allegation of abuse is confirmed; however, the abuse will not be placed on the Child Abuse Registry.

Report child abuse or abused or neglected link.

SUBSTANTIATED CHILD ABUSE IN A CHILD CARE SETTING BY PROVIDER TYPE				
Provider Type	Jan - Mar 2018	Apr - June 2018	July - Sept 2018	Oct - Dec 2018
Licensed Center	7	4	10	3
Registered Child Development Home	2	3	1	1
Un-registered CCA Paid Child Care	0	0	0	0

NOTE: INDIVIDUAL INCIDENTS OF ABUSE MAY HAVE MORE THAN ONE IDENTIFIED CASE. THIS MAY AFFECT REPORTING NUMBERS.

Provides detailed definitions of each required component

Includes separate tables for serious injuries, deaths, and substantiated child abuse

<http://dhs.iowa.gov/childcare/statistics>



NATIONAL CENTER ON
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Posting Inspection Reports

CCDF Regulations
State Examples

CCDF Regulations

- ◆ Results of monitoring and inspection reports, for all eligible and licensed child care providers (other than relatives), including those due to major substantiated complaints about failure to comply with the provisions

Child Care and Development Fund, 45 C.F.R. § 98.33(a)(4) (2016).

CCDF Regulations

- ◆ “Full monitoring and inspection reports, either in plain language or with a plain language summary”

Child Care and Development Fund, 45 C.F.R. § 98.33(a)(4) (2016).

CCDF Regulations

- ◆ A full report covers everything in the monitoring visit, including areas of compliance and non-compliance
- ◆ If a state does not produce any reports that include areas of compliance, then there is some flexibility—however, the state’s consumer education website must include information about all areas covered by a monitoring visit (e.g., by posting a blank checklist used by monitors)



State Examples

- ◆ Full inspection report in plain language
- ◆ Full inspection report with plain language summaries
- ◆ Plain language summaries

The highlighted examples exhibit promising practices for a specific component of the consumer education website requirements. Not every state example is indicative of full compliance across all required consumer education website components.

State Example: Full Inspection Report in Plain Language

Idaho

Displays all elements of a health and safety inspection (with icons that describe each element in plain language), indicates if the program met each criterion, and provides a description of corrective action (if a criterion wasn't met).

<https://www.idahochildcarecheck.org/provider/2307#provider-reports-health-inspections>

Program Name
Address

Health Inspections Incident Reports

1 Inspection Conducted

Health and Safety Record:
✓ 1 PASSED ✗ 0 FAILED

What is a Health and Safety Inspection?

Most child care providers offer safe and healthy environments. Health and safety inspections and investigations are a normal part of child care in Idaho. Inspections are not intended to "catch" anyone doing something wrong. Inspections help ensure the safety of children and staff, support staff in following requirements by finding concerns, and promote continuous improvement.

Idaho will begin publishing the results of health and safety inspections in October 2017. Inspections will be published as they are conducted, and maintained on this site for three years. A provider may or may not have had inspections before this date.

Idaho, cont'd

Health & Safety Inspection — November 9th, 2017

✓ PASSED

Program Name
Address

ANNUAL

Inspection Criterion	Inspection Comments
✓ 1. PROVIDER AGE/SUPERVISION ⓘ	
✓ 2. PEDIATRIC RESCUE BREATHING CPR/1ST AID ⓘ	
✓ 3. CHILD-STAFF RATIO ⓘ	
✓ 4. STAFF/CHILDREN EXCLUDED WHEN ILL ⓘ	
✗ 5. IMMUNIZATION RECORDS ⓘ	Corrected on 12/21/17 # Enrolled (includes provider's children): 46. At time of inspection, immunization records are not current. Corrected- immunization records are current.
✓ 6. EMERGENCY COMMUNICATION ⓘ	
✓ 7. SMOKE DETECTOR, FIRE EXTINGUISHER, EXITS ⓘ	
✓ 8. FIRE SAFETY EVACUATION PLAN, POSTINGS ⓘ	

State Example: Full Inspection Report with Plain Language Summary

Ohio

Presents option for families to see the full inspection report or noncompliances only.

<http://childcaresearch.ohio.gov/>

Search for Early Care and Education Programs

◀ Return to Program Information

Interested in receiving updates about this program?

Current Inspections for Program Name

Inspection Date	Inspection Type	Original Inspection Compliance Status	Inspection Corrective Action Status	Status Updated	Inspection Report ?
10/22/2018	ANNUAL	PARTIALLY COMPLIANT	NON COMPLIANCE NOT ADDRESSED	12/17/2018	Full Report Non-Compliances Only
06/20/2018	ANNUAL	PARTIALLY COMPLIANT	NON COMPLIANCE FULLY ADDRESSED	08/03/2018	Full Report Non-Compliances Only
11/30/2017	ANNUAL	PARTIALLY COMPLIANT	NON COMPLIANCE FULLY ADDRESSED	03/30/2018	Full Report Non-Compliances Only
06/15/2017	ANNUAL	PARTIALLY COMPLIANT	NON COMPLIANCE FULLY ADDRESSED	09/05/2017	Full Report Non-Compliances Only
02/28/2017	ANNUAL	PARTIALLY COMPLIANT	NON COMPLIANCE PARTIALLY ADDRESSED	03/30/2018	Full Report Non-Compliances Only
06/22/2016	COMPLIANCE	PARTIALLY COMPLIANT	NON COMPLIANCE PARTIALLY ADDRESSED	02/14/2017	Full Report Non-Compliances Only
03/14/2016	COMPLIANCE	PARTIALLY COMPLIANT	NON COMPLIANCE FULLY ADDRESSED	04/13/2016	Full Report Non-Compliances Only

Licensed programs are inspected at least once a year, when changes occur, or upon the receipt of complaints. Inspection reports are a reflection of the rule compliance at a program on the day of the inspection.

Original Inspection Compliance Status

Original Inspection Compliance Status identifies a program's compliance with all program licensing rules at the time of the inspection.

<i>Fully Compliant</i>	Program has been assessed as being in compliance with all program licensing rules verified during the inspection.
<i>Partially Compliant</i>	Program has been assessed as having at least one area out of compliance with program licensing rules verified during the inspection.

Inspection Corrective Action Status

Search for Early Care and Education Programs

◀ Return to Current Inspections

Inspection Detail for Program Name

Inspection Date: 06/20/2018 Inspection Type: ANNUAL

Non-compliances found on the date of the inspection can be found below. Further information about non-compliances is also available.

Rule Number	Rule Description	Current Inspection Compliance Status	Status Updated
5101:2-12-08	5101:2-12-08 Medical Statement	Approved	07/26/2018
5101:2-12-09	5101:2-12-09 Background Check Requirements	Approved	07/09/2018
5101:2-12-15	5101:2-12-15 Medical / Physical Care Plans	Approved	08/03/2018
5101:2-12-15	5101:2-12-15 Child Medical and Enrollment Records	Approved	07/09/2018
5101:2-12-16	5101:2-12-16 Emergency Drills	Approved	07/09/2018
5101:2-12-25	5101:2-12-25 Medication Administration and Food Supplements	Approved	07/09/2018

For detailed information about the corrective action plan contact the program.

Definitions ▾

Ohio, cont'd

State Example: Inspection Report Summary in Plain Language

Oklahoma

Lists plain language summary of noncompliances observed during each visit and associated corrective action plans.

<http://childcarefind.okdhs.org/childcarefind/Default.aspx>

VISIT DATE 5/22/2018		VISIT TYPE Full	
REQUIREMENT	REGULATION DESCRIPTION		
340:110-3-286(a)	Ratios. Ratios mean the number of teaching personnel required to be physically present with a child or group of children per Oklahoma Administrative Code (OAC) 340 Appendix GG - Ratios and Group Sizes. Only teaching personnel count in ratios, unless the requirements specifically state otherwise. The program is required to maintain ratios at all times.		
340:110-3-284.1(b)(C)	has a current bronze or higher level certificate per OAC 340 Appendix EE - Oklahoma Director's Credential;		
340:110-3-284(b)(3)	Oklahoma Professional Development Ladder. Prior to or within 12 months of employment, teaching personnel obtain and maintain a current Oklahoma Professional Development Ladder (OPDL) certificate, per OAC 340 Appendix FF - Oklahoma Professional Development Ladder.		

PURPOSE OF VISIT Periodic			
NONCOMPLIANCE OBSERVED	PLAN TO CORRECT	CORRECTION DATE	NRS
The one year old classroom had a ratio of 8:1	The facility corrected the child/staff ratio in the one year old classroom within a few minutes of licensing arrival and the facility will maintain correct child/staff ratio by	05/22/2018	Yes
The Director's Bronze - Oklahoma Director's Credential expired 02/17/2018	The Director will have a current ODC and maintain by	06/22/2018	No
^a Three staff that have expired OPDL's that have expired in 02/2018	Staff will maintain OPDL with 12 months of hire and maintain current by	06/22/2018	No

Oklahoma, cont'd

State Example: Complaints

Oklahoma

Displays summary of substantiated complaints by date directly following summary of inspection reports.

<http://childcarefind.okdhs.org/childcarefind/Default.aspx>

**Substantiated Complaint Summary
since 3/7/2016**

Any complaint investigation that rises to the level of abuse/neglect is referred to OKDHS Child Welfare Services for screening and investigation. All other complaint

COMPLAINT RECEIVED 4/3/2018

REQUIREMENT	DESCRIPTION
340:110-3-286(a)	Ratios. Ratios mean the number of teaching personnel required to be physically present with a child or group of children per Oklahoma Administrative Code (OAC) 340 Appendix GG - Ratios and Group Sizes. Only teaching personnel count in ratios, unless the requirements specifically state otherwise. The program is required to maintain ratios at all times.

allegations are investigated by Oklahoma Child Care Services. Only substantiated complaints are available for viewing online.

ALLEGATION DESCRIPTION	PLAN TO CORRECT	ALLEGATION FINDINGS
Ratios: Program is not maintaining ratios	Notice to Comply completed 4/4/18: A new staff has recently been hired and will come in to work the morning shift to ensure that morning ratios are maintained at all times. A member of mtmg will now be in the front office to be readily available to move children when needed to assist DHS workers as well as parents in the morning.	Substantiated

Oklahoma, cont'd

State Example: Complaints

Colorado

Complaints summarized in a separate tab, along with plain language definitions of severity levels assigned to complaints.

https://www.coloradoshines.com/program_details?id=0011J000017oRoSQUA



Ages: Preschool

Languages Spoken:

Special Needs:

License Type: Provisional

License Issue Date: 8/3/2018

Capacity:

Program Licensing Information:

- 1 Inspection Report (ROI)
- 2 Complaints made against the Program
- 3 Investigations Following Child Abuse/Neglect Assessments (Stage II)
- 4 Investigation of Reported Injuries at the Program
- 5 Actions Impacting the Status of the Program License (Adverse Action)

For more information on this program's Licensing history, [submit a request for a public file review](#). If you wish to report a licensing violation, call 1-800-799-5876.

Colorado, cont'd

Each complaint is assigned a severity level. The six severity levels are:

Level	Category	Description
0	Child Abuse/Neglect	Imminent danger to children. These complaints are investigated by the county's child protection agency, not CDHS licensing staff.
1	Very Serious	An individual child or other children may be in danger.
2	Serious	Major violation of child-caregiver ratios or serious physical injury.
3	Moderate	Ratio violations or a lack of supervision.
4	Mild	Inadequate equipment or furnishings, or an unlicensed child care program.
5	Very Mild	May include complaints such as poor business practices.

No Complaints reported in the last 3 years

Colorado,
cont'd

State Example: Complaints

Ohio

Website includes a complaint summary report and an overview of the noncompliances. It also designates whether noncompliances were addressed.

Complaint summary report includes allegations, determinations, rule violations, and risk levels.

<http://childcaresearch.ohio.gov/>

Search for Early Care and Education Programs

◀ Return to Program Information

Interested in receiving updates about this program?

Current Inspections for Program Name

Inspection Date	Inspection Type	Original Inspection Compliance Status	Inspection Corrective Action Status	Status Updated	Inspection Report ?
03/05/2019	COMPLAINT	PARTIALLY COMPLIANT	NON COMPLIANCE NOT ADDRESSED	03/05/2019	Non-Compliances Only
12/10/2018	ANNUAL	PARTIALLY COMPLIANT	NON COMPLIANCE FULLY ADDRESSED	01/31/2019	Full Report Non-Compliances Only
09/18/2018	COMPLAINT	PARTIALLY COMPLIANT	NON COMPLIANCE NOT ADDRESSED	09/19/2018	Complaint Summary Report Non-Compliances Only
09/10/2018	COMPLAINT	PARTIALLY COMPLIANT	NON COMPLIANCE NOT ADDRESSED	09/19/2018	Complaint Summary Report Non-Compliances Only
06/29/2018	COMPLAINT	PARTIALLY COMPLIANT	NON COMPLIANCE FULLY ADDRESSED	08/03/2018	Complaint Summary Report Non-Compliances Only
12/29/2017	ANNUAL	PARTIALLY COMPLIANT	NON COMPLIANCE FULLY ADDRESSED	01/31/2018	Full Report Non-Compliances Only
06/13/2017	ANNUAL	PARTIALLY COMPLIANT	NON COMPLIANCE PARTIALLY ADDRESSED	06/29/2018	Full Report Non-Compliances Only
11/30/2016	COMPLIANCE	PARTIALLY COMPLIANT	NON COMPLIANCE FULLY ADDRESSED	12/21/2016	Full Report Non-Compliances Only
06/21/2016	COMPLIANCE	PARTIALLY COMPLIANT	NON COMPLIANCE PARTIALLY ADDRESSED	02/14/2017	Full Report Non-Compliances Only

Ohio, cont'd

Complaint Allegations

If the program is star rated, the rating may be impacted if a serious or moderate risk non-compliance is cited. Ohio Administrative Code 5101: 2-12-03 details the process for submitting a request for a review if a program disagrees with a licensing finding. The request for review must be submitted within seven days from the receipt of the licensing report.

Domain:01 Ratio & Supervision

Rule: 5101:2-12-19 Child Guidance

Code: The program staff shall use developmentally appropriate techniques when managing children's behavior. Corporal punishment is prohibited, as well as any cruel, harsh, unusual or extreme techniques.

Allegation: Inappropriate child guidance and management techniques were observed.

Determination: Substantiated

Findings: During the inspection, it was determined that a Child Care Staff Member had used the inappropriate techniques in number 1 below when managing unacceptable behavior in children:

1. Utilize cruel, harsh, unusual, or extreme techniques; Harsh physical handling of infants was observed.
2. Utilize any form of corporal punishment;
3. Delegate children to manage or discipline other children;
4. Use physical restraints on a child;
5. Restrain a child by prone restraint or any means other than holding children for a short period of time, such as in a protective hug, so that the children may regain control;
6. Place children in a locked room or confine children in any enclosed area;
7. Confine children to equipment such as cribs or highchairs;
8. Humiliate, threaten or frighten children;
9. Subject children to profane language or verbal abuse;
10. Make derogatory or sarcastic remarks about children or their families;
11. Punish children for failure to eat or sleep or for toileting accidents;
12. Withhold any food (including snacks and treats), rest or toilet use;
13. Punish an entire group of children due to the unacceptable behavior of one or a few;
14. Isolate and restrict children from all activities for an extended period of time.

Child Care Staff Members and other employees must always use appropriate guidance and management methods with children. Provide staff training. Submit the program's corrective action plan, which includes a statement that training was provided, to the Department to verify compliance with the requirements of this rule.

Risk Level: Moderate

Corrective Action Plan Due: 07/29/2018

Summary of Additional Non-Compliances

Ohio,
cont'd

CCDF Regulations

- ◆ Date of inspection
- ◆ Corrective action taken by the **state** and **child care provider**, when applicable
- ◆ Any health and safety violations, including any fatalities and serious injuries occurring at the provider, are **prominently displayed** on the report or summary
- ◆ A minimum of 3 years of results, when available

Child Care and Development Fund, 45 C.F.R. § 98.33(a)(4) (2016).



State Examples

- ◆ Corrective actions taken by the state
- ◆ Corrective actions taken by the provider
- ◆ Prominent display of health and safety violations, including those that resulted in fatalities or serious injuries

The highlighted examples exhibit promising practices for a specific component of the consumer education website requirements. Not every state example is indicative of full compliance across all required consumer education website components.

State Example: Prominent Display of Health and Safety Violations

Utah

Deaths, serious injuries, and substantiated cases of abuse are indicated in the summary header.

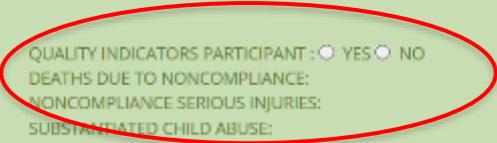
<https://careaboutchildcare.utah.gov/parent/search.aspx>

Provider Name

4773 3 344 E
SALT LAKE CITY, UT 84106-1365
(801) 654-2543
CAPACITY: 51

LICENSE TYPE: CENTER
STATUS: REGULATED
INITIAL REGULATION DATE: 06/07/2012
EXPIRATION DATE: 06/30/2019

QUALITY INDICATORS PARTICIPANT: YES NO
DEATHS DUE TO NONCOMPLIANCE:
NONCOMPLIANCE SERIOUS INJURIES:
SUBSTANTIATED CHILD ABUSE:



INSPECTIONS

Every regulated facility receives at least one announced inspection and one unannounced inspection every year. The following are inspections completed for this facility in the past 3 years. Only substantiated complaint allegations and citations from other inspections are displayed. Warnings are not part of this public record.

For an explanation on the different inspection and rule violation types click [here](#) or scroll to the bottom of this page.

INSPECTION DATE: 11/28/2018
INSPECTION TYPE(S): Follow-Up, First

This inspection has no public findings.

INSPECTION DATE: 11/07/2018
INSPECTION TYPE(S): Unannounced

Finding #1

100-8(1)(a)-(d): New covered individual background check

The provider did not comply with the Background Checks requirements. During the inspection, there were two covered individuals employed at the facility who had not submitted background checks.

Corrective Action

Cited
High
Date Correction was Verified: 11/16/2018
How was this finding corrected ? o

State Example: Prominent Display of Health and Safety Violations

Indiana

This information is available via child care search results. Critical health and safety violations are flagged up front, with the number of violations in the upper left corner. Summaries of the violations are described in the tab labeled “Inspections.”

<https://secure.in.gov/apps/fssa/providersearch/home/category/ch>

The screenshot displays the INCONNECT mobile application interface. At the top, there is a green header with a menu icon, the text 'IN.GOV', and 'INCONNECT'. Below the header, a dark green bar contains 'Provider Details' and a close icon. The main content area shows a 'PTQ Level: 4'. A prominent red banner with a white circle containing the number '5' reads 'Critical Health and Safety Violations.' Below this, it says 'Please view the inspections tab for further violations'. A navigation bar below the banner shows 'Inspections (5/2)', 'Complaints (2)', and 'Enforcements (0)'. A red banner with a white exclamation mark icon reads 'Critical Health and Safety Violation'. The details section includes:

- Inspection type:** Licensing
- Date:** 12/6/2017
- Type of correction needed:** There are two small holes in the bathroom ceiling in Toddler 4.
- Regulation:** 470 IAC 3-4.7-99
- Action needed to correct issue:** Building maintenance
- Date resolved:** 11/22/2017
- Provider response:**

 At the bottom of the details section are 'Previous' and 'Next' buttons. To the right of the details is a map of Wynnedale, Indiana, with several green location pins. A vertical sidebar on the left of the map contains navigation icons: a back arrow, a list icon, a magnifying glass, and a location pin icon.

Indiana,
cont'd

State Example: Corrective Actions Taken by Provider

[North Carolina](#)

In the “DCDEE Visits” tab, a summary of violations is attached to each inspection visit, with confirmation that the violation was corrected.

<http://ncchildcaresearch.dhhs.state.nc.us/>

Basic Facility Information License Information Special facility features Owner Information **DCDEE Visits** Actions Taken Need More Information?

Back to Visits English Español **Visit Details**

Violations not corrected during visit are pending verification by consultant. Once verification is confirmed by the consultant, it will be noted in the individual violation summary. To get more information about the visit, contact the child care facility.

PROGRAM NAME, PROGRAM PHONE

Visit Details	
Date of Visit	12/5/2018
Type of Visit	Unannounced
Violations Found	
Rule Violated: Daily records of arrival and departure times for children enrolled at the center were not maintained and available for review. In Space #1, one (1) child was not signed out on November 9, 2018, two (2) children were not signed out on November 14, 2018, and two (2) children were not signed out on December 3, 2018.	
Violation confirmed corrected by letter received from provider on 12/16/2018	
Rule Violated: All corrosive agents, pesticides, bleaches, detergents, cleansers, polishes, any product which is under pressure in an aerosol dispenser, and any substance which may be hazardous to a child if ingested, inhaled, or handled were not stored in a locked room or cabinet. In Space #1, one (1) container of Lysol Disinfecting Wipes, one (1) container of Clorox Multi-Purpose Cleaning Wipes, one (1) bottle of Modesta Hand Sanitizer, one (1) bottle of Equate Hand Sanitizer, and three (3) bottles of Assured Hand Sanitizer, all with multiple warnings, were in an unlocked cabinet to the right of the cubbies.	
Violation corrected during visit	
Rule Violated: Parent's medication authorization did not include required information. In Space #1, there was no Permission to Administer Medication Form for one (1) Epi Pen.	
Violation confirmed corrected by letter received from provider on 12/16/2018	

The information on this website is updated daily.

North Carolina, cont'd

State Example: Corrective Actions Taken by the State

[North Carolina](#)

In the “Action Taken” tab, a summary of actions taken by the state is provided.

<http://ncchildcaresearch.dhhs.state.nc.us/>



NCDHHS

Division of Child Development
and Early Education

Basic facility
Information

License
Information

Special facility
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Owner
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DCDEE
Visits

**Actions
Taken**

Need More
Information?

New Search

Results List

English

Español

Actions Taken

Helpful Hint- *Asterisk next to a term means you can click on it to go to the glossary.

When an administrative action is issued to a program, the provider has 30 days to file a formal appeal of the action. Administrative actions will only be displayed after the deadline for appeal has expired.

PROGRAM NAME, PROGRAM PHONE

DCDEE has not taken any action against this facility for the current license number and location in the last 3 years

The information on this website is updated daily.

North Carolina, cont'd

State Example: Corrective Actions Taken by Provider

[Pennsylvania](#)

Via the “View Inspection Details” link on each posted inspection report summary, all violation findings are described, as well as the provider’s corrective action plan to address each finding.

<https://www.compass.state.pa.us/Compass.Web/ProviderSearch/Home#/BasicSearch>



Consent for emergency medical care required prior to admission/Consent for administration of minor first-aid required prior to admission

 3270.182(3)/3270.182(5)

 06/22/2018

 Corrected

Non-Compliance Area

No non compliance data available.

Correction Required

A child's record shall contain signed parental consent for emergency medical care for the child. Written consent is required prior to admission. A child's record shall contain signed parental consent for administration of minor first-aid procedures by facility staff. Written consent is required prior to admission.

Provider's Plans of Correction

Signatures were obtained from Child #1 and Child #2's parents on 6/22/2018. The education director and the administration will more carefully examine the emergency contact to ensure that all signatures were obtained at the time of enrollment to ensure this standard is maintained in the future.

Pennsylvania,
cont'd

State Example: Corrective Actions Taken by Provider

[Virginia](#)

Available via child care search results. Includes description of each violation found during inspection, as well as the corrective action plan for the provider to address the findings.

<http://www.dss.virginia.gov/facility/search/cc.cgi>

Violations:

Standard #: 22VAC40-185-130-B
Description: Based on a review of records, 2 children's records were missing documentation of additional immunizations every six months. Evidence: The most recent immunizations in the record for Child #2 [15 months old] were obtained 9/8/2017; The most recent immunizations in the record for Child #5 [16 months] were obtained 3/29/2017.

[Plan of Correction:](#) We will request the information from the parents.

Standard #: 22VAC40-185-60-A
Description: Based on a review of records, 1 child's record was missing the required information. Evidence: The record for Child #1 was missing proof of identity.

[Plan of Correction:](#) We will add the information to the record.

Standard #: 22VAC40-185-280-B
Description: Based on observation, hazardous substances were not kept in a locked place using a safe locking method. Evidence: 1. A cleaning agent was observed on a counter space in an infant room. 2. A lock was not installed in a lower cabinet where cleaning agents were stored the twos room.

[Plan of Correction:](#) Both were corrected during inspection.

Standard #: 22VAC40-185-280-D
Description: Based on observation, cleaning materials were located above food. Evidence: 2 cleaning agents were observed in an unlocked cabinet where food was stored.

[Plan of Correction:](#) We will remove food from cabinet and make sure the lock is installed.

Virginia, cont'd

Ask Me Anything Webinar

April 25, 2019, 2:00–2:30 p.m. (ET)



This 30-minute follow-up webinar will answer questions raised during this webinar.

Send additional questions to:
CapacityBuildingCenter@icfi.com

Note: Every individual question may not be answered during the webinar.

Resources

Office of Child Care. (2016). Child Care and Development Fund final rule frequently asked questions [Web page]. Retrieved from <https://www.acf.hhs.gov/occ/resource/ccdf-final-rule-faq#Consumer%20Education>

Office of Child Care: Early Childhood Training and Technical Assistance System. (n.d.). Consumer education resources [Web page]. Retrieved from <https://childcareta.acf.hhs.gov/topics/consumer-education>

Resources Specific to Posting Serious Incident Data

- ◆ American Academy of Pediatrics, American Public Health Association, National Resource Center for Health and Safety in Child Care and Early Education. (2019). *Caring for our children: National health and safety performance standards; Guidelines for early care and education programs*. 4th ed. Itasca, IL: American Academy of Pediatrics. Retrieved from <http://nrckids.org/files/CFOC4%20pdf-%20FINAL.pdf>
 - See Section: 9.4.1.9 Reporting Requirements; Records of Injury

Resources: Plain Language

- ◆ Center for Plain Language. (n.d.). Five steps to plain language [Web page]. Retrieved from <https://centerforplainlanguage.org/learning-training/five-steps-plain-language/>
- ◆ Plain Language Action and Information Network, General Services Administration. (n.d.). Plain language guidelines: Follow web standards [Web page]. Retrieved from <https://plainlanguage.gov/guidelines/web/>
- ◆ Plain Language Action and Information Network, General Services Administration. (n.d.). Federal plain language guidelines [Web page]. Retrieved from <https://plainlanguage.gov/guidelines/>

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