



National Center on Tribal Early Childhood Development

Roadmap to Reauthorization: Assessing Your Child Care and Development Fund Final Rule Readiness

Office of Child Care's 2018 American Indian and Alaska Native Regional Conference



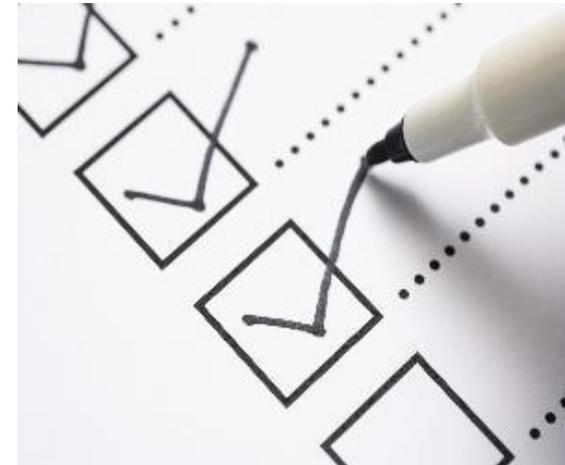


Agenda

- ◆ Introduce the *Roadmap to Reauthorization Self-Assessment Tool for American Indian and Alaska Native (AI/AN) Child Care and Development Fund (CCDF) Grantees*
- ◆ Use the self-assessment tool to gauge progress and identify action steps toward compliance with the CCDF final rule

Roadmap to Reauthorization Tool

- ◆ *Roadmap to Reauthorization Self-Assessment Tool*
 - Small-allocation AI/AN CCDF grantees
 - Medium- and large-allocation AI/AN CCDF grantees
- ◆ Roadmap to Reauthorization Implementation Planning Tool
 - All allocations
- ◆ Available at:
<https://childcareta.acf.hhs.gov/resource/roadmap-reauthorization-self-assessment-and-implementation-planning-tool>



Self-Assessment Overview

Roadmap to Reauthorization
Self-Assessment Tool



National Center on Tribal
Early Childhood Development

Roadmap to Reauthorization Self-Assessment for Medium and Large Allocation AI/AN Grantees

Overview

This tool was designed to provide American Indian and Alaska Native (AI/AN) Child Care (CCDF) grantees with medium allocations (those receiving between \$250,000 and \$1 million) and large allocations (those receiving more than \$1 million in CCDF Funds) with a way to meet the requirements of the CCDF final rule and create implementation plans based on their needs.

Background

CCDF Reauthorization and CCDF Final Rule

In November 2014, the Child Care and Development Block Grant (CCDBG) Act of 2014, or "CCDF Reauthorization," reauthorized the CCDF program and introduced changes to strengthen the health, safety, and quality of child care and provide more stable child care for low-income children. Following the enactment of the CCDBG Act of 2014, the Administration for Children and Families (ACF) conducted a series of tribal consultations and published a Notice of Proposed Rule Making (NPRM) for new CCDF regulations and their implications for AI/AN CCDF grantees and the children they serve. In 2016, the CCDF final rule was published, which outlines regulations for implementing the CCDF program and administering the CCDF program. The regulations in the final rule fall into four major sections:

- ◆ Protect the health and safety of children in child care;
- ◆ Help parents make informed consumer choices;
- ◆ Provide equal access to stable child care for low-income children; and
- ◆ Enhance the quality of child care.

CCDF Final Rule for AI/AN CCDF Grantees

Table 1. Allocation Sizes for AI/AN CCDF Grantees

Allocation Size	Funding Amount
Small Allocation	Less than \$250,000
Medium Allocation	Between \$250,000 and \$1 million
Large Allocation	More than \$1 million

Compliance Date

For Tribal Lead Agencies, ACF will determine compliance with the CCDF final rule through review and approval of the FY 2020–2022 Tribal CCDF plans that become effective October 1, 2019.

About this Tool

This tool was designed to provide AI/AN CCDF grantees with medium and large allocations with a way to assess and track their readiness to meet the requirements of the CCDF final rule. It also includes an implementation planning form for grantees to develop plans to meet the requirements, including next steps, timelines, resources, and technical assistance requests.

This tool is divided into four sections, outlining the four major sections of the CCDF final rule:

1. [Protect the health and safety of children in child care;](#)
2. [Help parents make informed consumer choices](#)
3. [Provide equal access to stable child care for low-income children;](#) and
4. [Enhance the quality of child care.](#)



Purpose

The *Roadmap to Reauthorization* tool was designed to provide grantees with a way to **assess their readiness** to meet the requirements of the CCDF final rule and **create implementation plans** based on their assessments.

Organization

1. Protect the health and safety of children in child care
2. Help parents make informed consumer choices
3. Provide equal access to stable child care for low-income children
4. Enhance the quality of child care



Instructions

- ◆ Consider the CCDF final rule requirements listed in each section and assess which implementation stage your program is in:
 - No action
 - Exploring
 - Developing
 - Initial implementation
 - Full implementation
- ◆ As you complete the self-assessment, consider which CCDF final rule requirements are priorities for your program

Self-Assessment Tool

Please fill out the information below:

Grantee name: _____

Completed by (Name): _____

Date: _____

Instructions

For each section, review the relevant CCDF final rule requirements. You can choose to complete the entire tool, or focus on certain sections based on the needs and priorities of your program. Consider your program's standards, policies, procedures, and practices relative to each standard and use the self-assessment to mark which implementation stage¹ your program is in:

1. **No action:** Your program has not considered this requirement yet.
2. **Exploring:** Your program is aware of this requirement, but has not taken action yet. You are assessing your readiness to address the requirement or are thinking about how to approach it.
3. **Developing:** Your program has started making arrangements and preparing for this requirement by acquiring the resources (for example, staff, training, written plans, etc.) you need to move forward.
4. **Initial implementation:** Your program has started to implement your approach for addressing the requirement. You are assessing your approach and identifying and implementing strategies for improvement. Staff are trying to make necessary changes and follow new requirements. Your program might meet some of the components of the requirement, but not all.
5. **Full implementation:** Your program meets all components in this requirement. The requirement is addressed and all components are embedded in your program's policies, procedures, and practices. Systems are in place for monitoring, training, and communications related to this standard. Staff are consistently implementing the requirement and adhering to established policies, procedures, and practices. The requirement is part of your ongoing program and staff operations and is updated regularly to ensure best practices.

The notes sections can be used to provide additional detail about your implementation stage, track follow-up items, document questions, or make note of the specific regulations you would like to focus on in your action plans.

As you complete the self-assessment, consider which CCDF final rule requirements are priorities for your program and identify the areas for which you would like to create an implementation plan. The accompanying implementation planning tool can be used to create a plan for your program, outlining your next steps towards meeting the final rule requirements.

Implementation Stages

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No Action

Exploring

Developing

Initial
Implementation

Full
Implementation



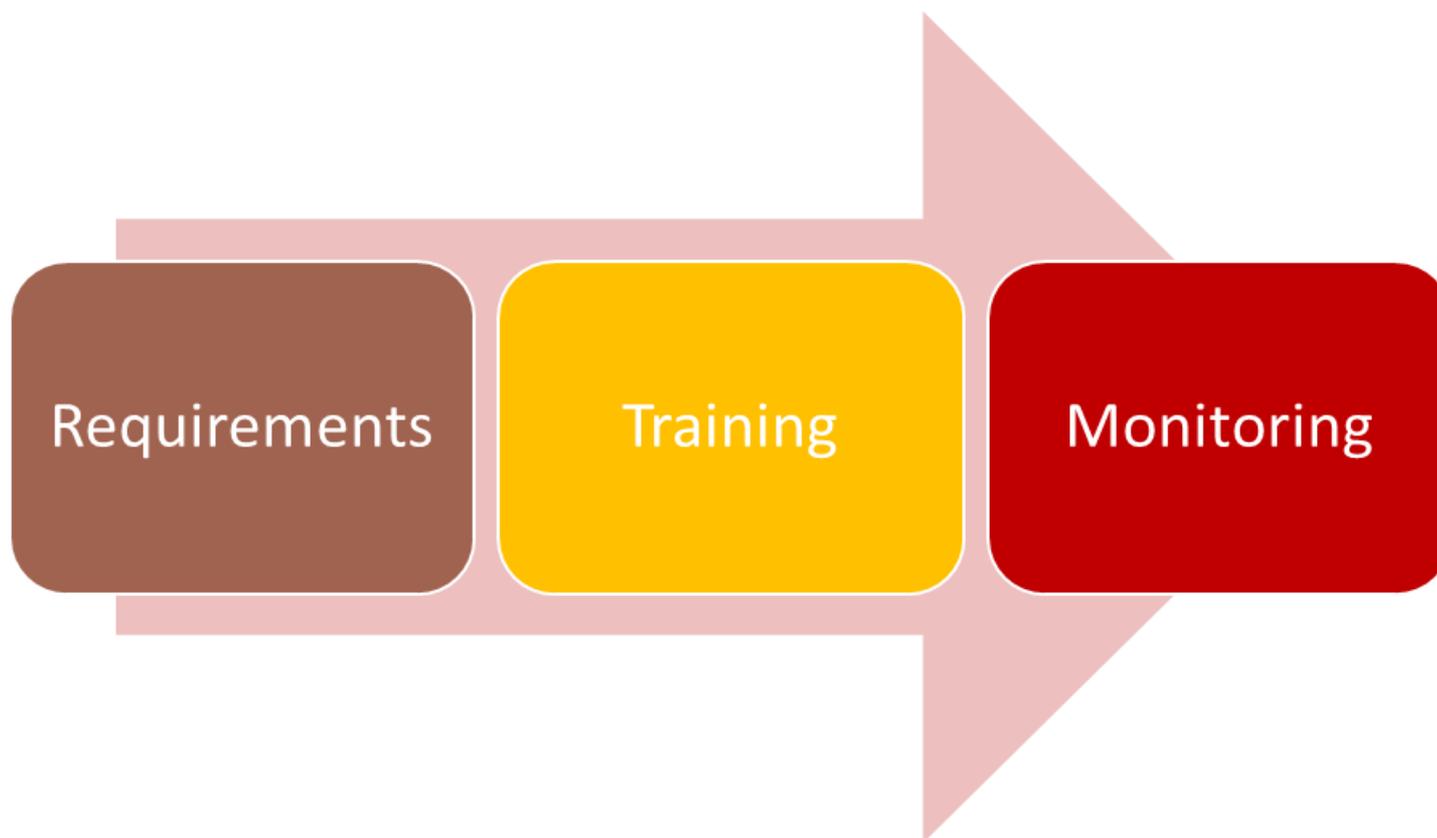
Implementation Stages (continued)

- ◆ **No Action:** Your program has not considered this requirement yet.
- ◆ **Exploring:** Your program is aware of this requirement, but has not taken action yet.
- ◆ **Developing:** You are assessing your approach and identifying and implementing strategies for improvement. Your program might meet some components of the requirement, but not all.
- ◆ **Initial implementation:** Your program has started to implement your approach for addressing the requirement. Your program might meet some components of the requirement, but not all.
- ◆ **Full implementation:** Your program meets all components in this requirement. The requirement is addressed and all components are embedded in your program's policies, procedures, and practices. Systems are in place for monitoring, training, and communications related to this requirement.

Section I. Protect the Health and Safety of Children in Child Care



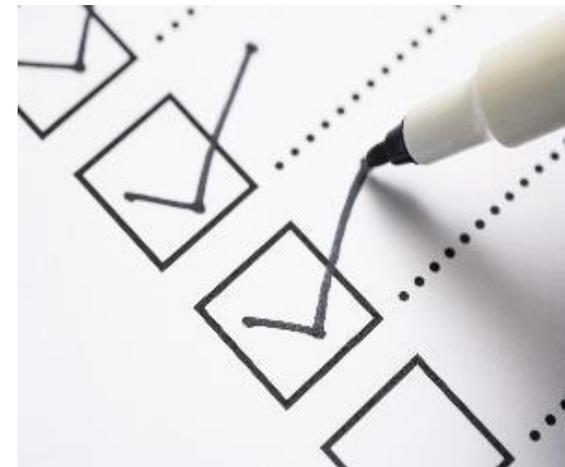
Health and Safety Topics in the CCDF Final Rule Apply to the Following



For caregivers, teachers, and directors

Let's Discuss

- ◆ Take out your *Roadmap to Reauthorization Self-Assessment Tool*
- ◆ Find this section
- ◆ Decide which **implementation stage** your program is currently in
- ◆ Share with a partner



Implementation Stages

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Exploring

Developing

Initial
Implementation

Full
Implementation





Questions to Consider

- ◆ What are you currently implementing?
- ◆ What do you plan to implement?
- ◆ What would you like to implement?

Section II. Help Parents Make Informed Consumer Choices



Consumer Education Requirements by Allocation Size

- ◆ AI/AN grantees receiving large and medium allocations are subject to most consumer education requirements
- ◆ AI/AN grantees receiving small allocations are exempt from consumer education requirements





Consumer Education Requirements for Medium- and Large-Allocation AI/AN Grantees

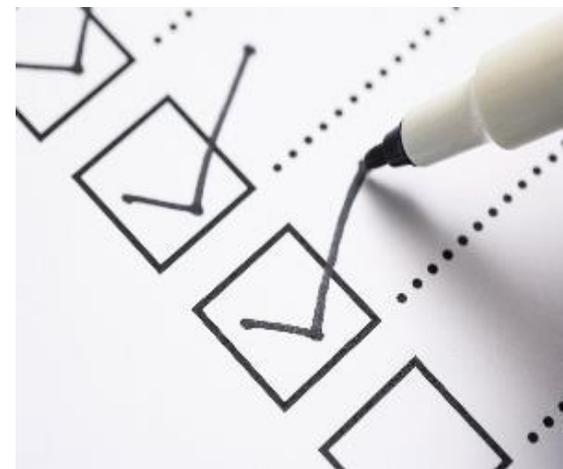
- ◆ Collect and disseminate information regarding the following:
 - Tribal policies and procedures
 - Provider-specific information
 - Aggregate annual data on death, serious injuries, and instances of child abuse
 - Referrals to local child care resource and referral organizations
 - How parents can contact the AI/AN CCDF grantee or its designee and other programs to help them understand consumer education information
 - Availability of child care and related services
 - Research and best practices
 - Policies regarding social-emotional behavioral health
 - Developmental screening

- ◆ Develop and share a consumer education statement

Child Care and Development Fund, 45 C.F.R. § 98.33 (2016).

Let's Discuss

- ◆ Take out your *Roadmap to Reauthorization Self-Assessment Tool*
- ◆ Find this section
- ◆ Decide which **implementation stage** your program is currently in
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Implementation Stages

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No Action

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Questions to Consider

- ◆ What are you currently implementing?
- ◆ What do you plan to implement?
- ◆ What would you like to implement?

Section III. Provide Equal Access to Stable Child Care for Low-Income Children





Eligibility Criteria: Small-Allocation Grantees

- ◆ Eligibility criteria
 - Define “Indian child”
 - Define the service area
- ◆ AI/AN children have dual eligibility for CCDF services
- ◆ Small-allocation grantees have flexibility when it comes to the provision of AI/AN CCDF services that meet the needs of their particular communities

Child Care and Development Fund, 45 C.F.R. §§ 98.20, 98.21, 98.81, 98.83 (2016).



Eligibility Criteria: Medium- and Large- Allocation Grantees

- ◆ Eligibility criteria
 - Younger than 13 years old
 - Considered an “Indian child”
 - Resides within the service area
 - Family income less than 85 percent of the grantee median income for families of the same size
 - Parent(s) working, enrolled in job training or education, or receiving or need to receive protective services
- ◆ AI/AN children have dual eligibility for CCDF services
- ◆ Family contribution via copayment

Child Care and Development Fund, 45 C.F.R. §§ 98.20, 98.21, 98.81 (2016).



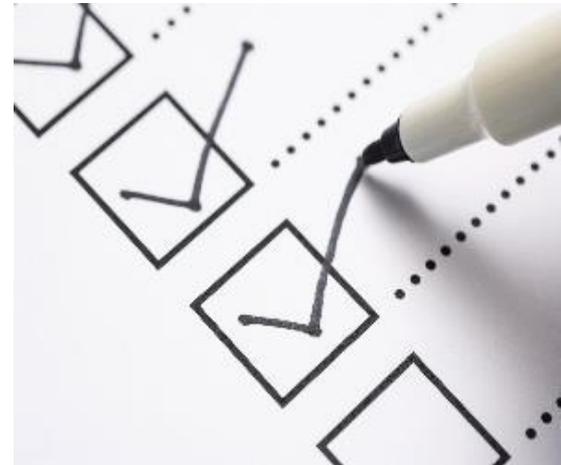
Stable Financial Assistance

- ◆ Promoting continuity of care via 12-month eligibility
- ◆ Supporting continuity during eligibility periods
- ◆ Providing a graduated phase-out
- ◆ Improving access for vulnerable children and families

Child Care and Development Fund, 45 C.F.R. § 98.21 (2016).

Let's Discuss

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- ◆ Find this section
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Implementation Stages

N E D I F





Questions to Consider

- ◆ What are you currently implementing?
- ◆ What do you plan to implement?
- ◆ What would you like to implement?

Section IV. Enhance the Quality of Child Care



Quality Improvement Activities in the Final Rule

All AI/AN grantees are required to spend a percentage of their total CCDF expenditures on **“activities designed to improve the quality of child care services and increase parental options for, and access to high-quality child care.”**

Child Care and Development Fund, 45 C.F.R. § 98.83(g)(1) (2016).





Allowable Quality Improvement Activities

Quality funds must be used to carry out **at least one** of the following:

- ◆ Training and professional development
- ◆ Early learning and development guidelines
- ◆ Tiered quality rating and improvement system
- ◆ Quality and supply of infant/toddler services
- ◆ Child care resource and referral services
- ◆ Licensing and health and safety requirements
- ◆ Quality evaluation
- ◆ Accreditation
- ◆ High-quality program standards
- ◆ Other measurable quality activities as determined by the AI/AN Lead Agency

Child Care and Development Fund, 45 C.F.R. § 98.53 (2016).

Spending Requirements by Allocation Size

All AI/AN Grantees

- ◆ Subject to an increasing quality set-aside
- ◆ Phase-in begins at 4% in federal fiscal year (FFY) 2017
- ◆ Increases to 9% by FFY 2022

Medium- and Large- Allocation AI/AN Grantees

- ◆ Subject to a 3% infant/toddler set-aside
- ◆ Begins in FFY 2019

Child Care and Development Fund, 45 C.F.R. § 98.83(g) (2016).

Let's Discuss

- ◆ Take out your *Roadmap to Reauthorization Self-Assessment Tool*
- ◆ Find this section
- ◆ Decide which **implementation stage** your program is currently in
- ◆ Share with a partner



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Questions to Consider

- ◆ What are you currently implementing?
- ◆ What do you plan to implement?
- ◆ What would you like to implement?

Pathway to CCDF Final Rule Implementation



Where are you now?

- ◆ Building an understanding of applicable final rule requirements
- ◆ Assessing readiness to meet the requirements
- ◆ Working to align with the requirements and preparing for 2019, when new plans are due

Compliance Date for AI/AN CCDF Grantees

Compliance is determined through review and approval of fiscal year 2020–2022 tribal CCDF Plans that become effective on October 1, 2019.

CHILD CARE AND DEVELOPMENT FUND
for
TRIBE: _____

FY 2017-2019



This Plan describes the CCDF program to be administered by the Tribe(s) for the period 10/1/2016 – 9/30/2018. As provided for in the applicable statute and regulations, the Tribal Lead Agency has the flexibility to modify the program at any time, including amending the options selected or described herein.

For purposes of simplicity and clarity, the specific provisions printed herein of applicable laws and regulations are sometimes paraphrased or, in some cases, excerpted from the full text. The Tribal Lead Agency acknowledges its responsibility to adhere to them regardless of these modifications.

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(Form ACF 118-A; OMB Approval Number: XXXX-XXXX, expires XX/XX/XXXX)

Child Care and Development Fund (CCDF) Program, 81 Fed. Reg. 67,438 (Sept. 30, 2016)
(codified at 45 C.F.R. pt. 98).

Reflections





Reflective Evaluation

What worked well?

What do you want more of?

What questions still remain?

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