



ADMINISTRATION FOR
CHILDREN & FAMILIES



FY 2020-2022 CCDF Tribal Plan Preprint:
Overview

February 14, 2019
2:00 PM (EST) – 3:00 PM (EST)

Agenda

- Overview of the FY 2020-2022 CCDF Tribal Plan Preprint
- Public Comment Process
 - 60-day public comment period
- Plan Submission Deadline
- Open Discussion

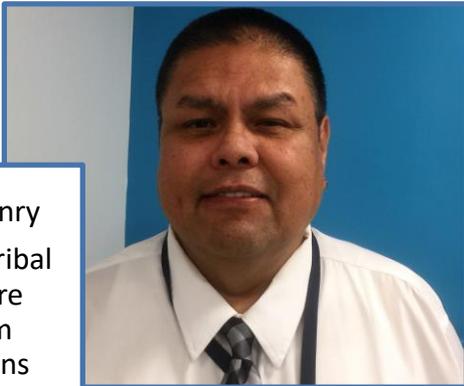
OCC Presenters



Shannon Christian
Director OCC



Dawn Ramsburg
Program Operations
Division Director



James Henry
National Tribal
Child Care
Program
Operations



Rachel McKinnon
SRCD Fellow,
Program
Operations

Welcome

- Comments from Shannon Christian
Director, Office of Child Care

Overview of the FY 2020-2022 CCDF Tribal Plan Preprint



What is the CCDF Tribal Plan Preprint?

- The Tribe's application for CCDF funds:
 - Describes the Tribes child care program and services available to eligible families
 - Contains specific assurances and certifications required by CCDBG statute
 - Provides information about the overall management of CCDF services

FY 2020-2022 CCDF Tribal Plan Preprint

Historic Plan

This is the first Tribal Plan Preprint developed according to **both** CCDBG Act of 2014 and CCDF Final Rule.

- The CCDF Plan allows Tribes to demonstrate the activities and services they are providing to meet the needs of low-income children and families
- CCDF Plan serves as the Lead Agency's application for CCDF funds (every three years)
- Tribes expected to demonstrate compliance with Final Rule by September 30, 2019

FY 2020-2022 CCDF Tribal Plan Preprint

Historic Funding

- Consolidated Appropriations Act of 2018 included a \$2.37 billion increase in CCDF Discretionary funds over 2017 levels and this increase was maintained in the appropriations for FY 2019
 - Total Federal funding for the CCDF program is nearly \$8.2 billion in FY 2019
- Tribes – Increase from \$137 million in FY 2017 to **\$359** million in FY 2018 and **\$360** million in FY2019--which almost tripled funding for Tribes
 - This includes a 2.75% set-aside from discretionary funds and a 2% set-aside from mandatory funds
 - The FY 2018 and FY 2019 appropriation laws also allocated an additional \$157 million specifically for Tribes
 - FY 2018 Funding: Tribes have until September 30, 2019, to obligate the funds and September 30, 2020 to liquidate the funds
 - FY 2019 Funding: Tribes have until September 30, 2020, to obligate the funds and September 30, 2021 to liquidate the funds

Tribal Allocation Sizes

(Based on the FY 2016 CCDF Tribal Allocations)

Establishes 3 tiers of Tribal grantees based on the size of their allocation using the FY 2016 CCDF Allocation Table

Small Allocation Tribes

(Under \$250,000)

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Must spend their funds in alignment with CCDF Goals and Purposes

Exempt from the majority of CCDF requirements

Option to submit an abbreviated CCDF Plan

Medium Allocation Tribes

(\$250,000 - \$1 million)

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Allowed the same exemptions as the Large allocation category

Exempt from operating a certificate program

Large Allocation Tribes

(Over \$1 million)

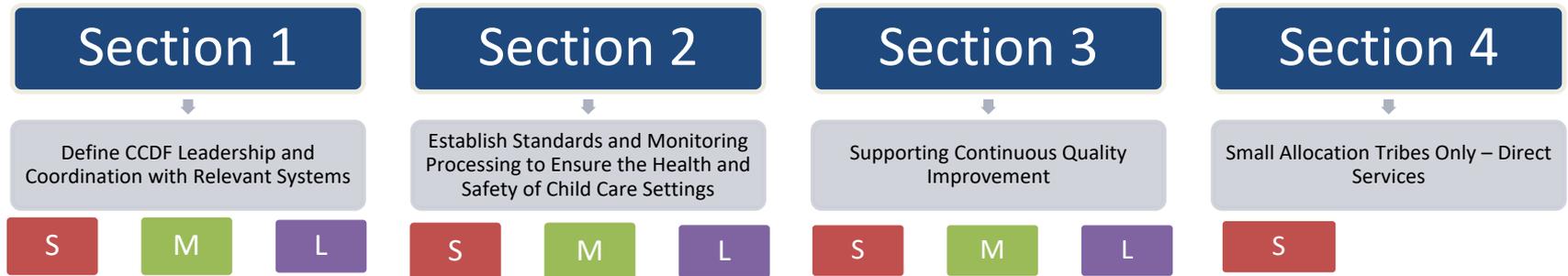
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Subject to the majority of CCDF requirements

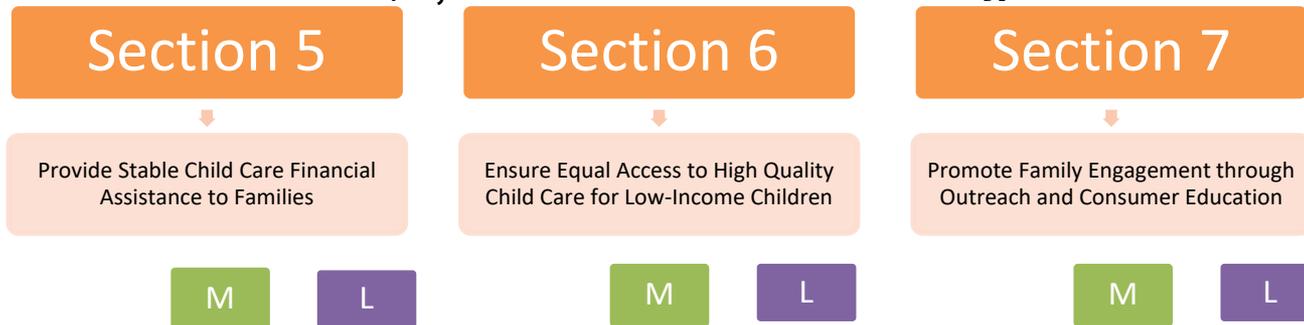
Some Tribal-specific exemptions

FY 2020-2022 Tribal Plan Structure

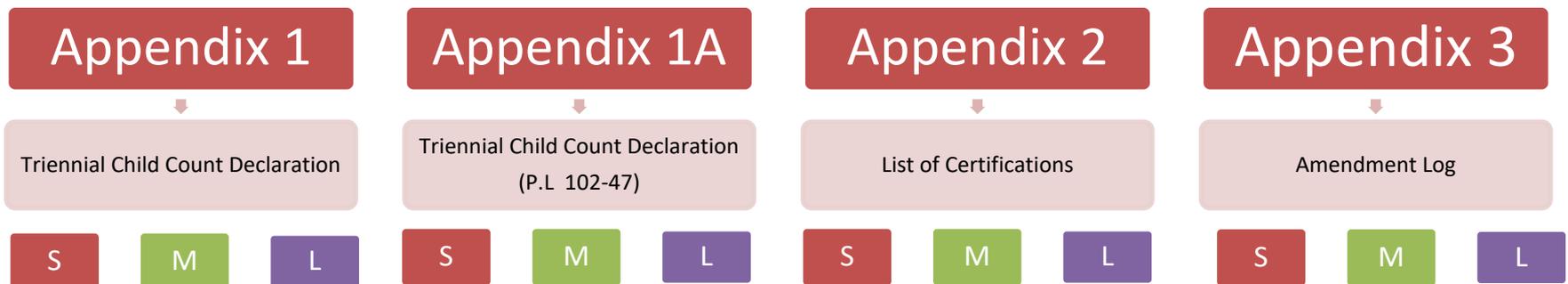
Part I: Section 1, 2, and 3 for All Allocation Tribes (Section 4 Small Allocation Only)



Part II: Section 5, 6, and 7 for Medium and Large Allocation Tribes Only



Part III: Assurances and Certifications



Part I

- Sections 1, 2, and 3 for all Tribes
- Section 4 – Direct Services
(for Small Allocation Tribes Only)





1. Define CCDF Leadership and Coordination with Relevant Systems

- 1.1 Tribal CCDF Application
- 1.2 Designated Tribal Lead Agency
- 1.3 Administration through Contracts or Agreements
- 1.4 Consultation in the Development of the Tribal CCDF Plan
- 1.5 Indian Child and Indian Reservation or Service Area
- 1.6 Child Count
- 1.7 Coordination of Services
- 1.8 Program Integrity and Accountability

2. Establish Standards and Monitoring Processes to Ensure the Health and Safety of Child Care Setting



- 2.1 Health and Safety Standards and Requirements for CCDF Providers
- 2.2 Monitoring and Enforcement Policies and Practices for CCDF Providers
- 2.3 Criminal Background Checks
- 2.4 Disaster Preparedness and Response Plan

3. Supporting Continuous Quality Improvement



- 3.1 Quality Improvement Goals and Activities
- 3.2 Supporting Training and Professional Development of the Child Care Workforce with CCDF Quality Funds
- 3.3 Building the Supply of High-Quality Care
- 3.4 Funding for Quality Activities

4. Small Allocation Tribes Only

– Direct Services

- The Final Rule exempts Tribes receiving small allocations (less than \$250,000) from the majority of the CCDF requirements:
 - Allow more flexibility in how Tribes spend their CCDF funds
 - Focus these funds on health and safety
 - Quality spending
- Small allocation Tribes have the option to offer direct services, including determining their own eligibility requirements

Part II

- Section 5, 6, and 7
- For Medium and Large Allocation Tribes Only



5. Provider Stable Child Care Financial Assistance to Families

- 5.1 Eligible Children and Families
- 5.2 Categorical Eligibility
- 5.3 Application and Eligibility Determination /
Redetermination Process
- 5.4 Improving Access for Vulnerable Children and
Families
- 5.5 Family Contribution to Payments

6. Ensure Equal Access to High Quality Child Care for Low-Income Families

- 6.1 Description of Direct Child Care Services
- 6.2 Assessing Child Care Market Rates
- 6.3 Setting Payment Rates for Child Care Services
- 6.4 Payment Practices and timelines of Payments to Providers

7. Promote Family Engagement through Outreach and Consumer Education



- 7.1 Parental Complaint Process
- 7.2 Consumer Education for Parents, Providers, and the Public
- 7.3 Additional Consumer and Provider Education
- 7.4 Procedures for Providers
- 7.5 Consumer Statement Providers

Part III

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- Assurances and Certification
 - Appendix 1 Triennial Child Count Declaration
 - Appendix 1A Triennial Child Count Declaration (P.L. 102-477)
 - Appendix 2 List of Certifications
 - Appendix 3 Amendment logs

Overview of the Public Comment Process



PRA Approval Process – Federal Register Notice Comment Periods

1/31/2019

- First Federal Register Notice Published
- 60-Day Public Comment Period

4/1/2019

- Close of 60-Day Public Comment Period

**May 1, 2019
(Target)**

- Second Federal Register Notice
- 30-Day Comment Period to OMB with Revised Preprint

How to Provide Comments

- Comments can be forwarded by writing to:
Administration for Children and Families
Office of Planning, Research and Evaluation
330 C Street SW, Washington, DC 20202
Attn: ACF Reports Clearance Officer
- Or by E-mail:
 - infocollection@acf.hhs.gov

CCDF Tribal Plan Submission Deadline



CCDF Plan Submission Deadline

Friday, September 6, 2019

Key Dates for Planning

- March 19 – Tribal Consultation on Preprint during the Tribal Management Institute
- April 1, 2019 – Deadline to notify OCC if Tribe will be unable to expend FY18 discretionary funds by September 30, 2020
 - Unused funds are instead made available for use by other Tribes
 - Providing this notification will not impact allocation amounts in future fiscal years
- May-June (Target) – Tribal Plan Preprint Training
- July 1 – Tribal Child Counts Due
- July 1 – Tribal Construction and Renovation Applications Due
- September 6 – Plan Submission Deadline
 - Electronic fillable PDF

Tribal Consultation

- 2/15/19
 - Dear Tribal Leader letters distributed
- 3/19/19
 - Kansas City Marriott Downtown, Kansas City, Missouri
 - 4:00 PM – 6:00 PM (CMT)

Indian Employment, Training and Related Services Consolidation Act of 2017

- P.L 102-477 allows Tribes to submit three-years Plans on a rolling basis, and the effective periods for those Plans do not necessarily align with the October 1, 2019 deadline
 - Current 477 Tribes – OCC will determine compliance with CCDF Final Rule based on a Tribe’s next 477 Plan submission with an effective date of October1, 2019, or later
 - June 2019 – OCC will issue a new Program Instruction that provides guidance for Tribes who choose to consolidate their CCDF program into a approve 477 Plan, based on language taken from the FY 2020-2022 CCDF Tribal Plan Preprint

Open Discussion

