FY 2020-2022 CCDF Tribal Plan Preprint:
Overview

February 14, 2019
2:00 PM (EST) – 3:00 PM (EST)
Agenda

• Overview of the FY 2020-2022 CCDF Tribal Plan Preprint

• Public Comment Process
  – 60-day public comment period

• Plan Submission Deadline

• Open Discussion
OCC Presenters

Shannon Christian
Director OCC

Dawn Ramsburg
Program Operations Division Director

James Henry
National Tribal Child Care Program Operations

Rachel McKinnon
SRCD Fellow, Program Operations
Welcome

• Comments from Shannon Christian Director, Office of Child Care
Overview of the FY 2020-2022 CCDF Tribal Plan Preprint
What is the CCDF Tribal Plan Preprint?

• The Tribe’s application for CCDF funds:
  – Describes the Tribes child care program and services available to eligible families
  – Contains specific assurances and certifications required by CCDBG statute
  – Provides information about the overall management of CCDF services
Historic Plan

This is the first Tribal Plan Preprint developed according to both CCDBG Act of 2014 and CCDF Final Rule.

- The CCDF Plan allows Tribes to demonstrate the activities and services they are providing to meet the needs of low-income children and families

- CCDF Plan serves as the Lead Agency’s application for CCDF funds (every three years)

- Tribes expected to demonstrate compliance with Final Rule by September 30, 2019
Historic Funding

- Consolidated Appropriations Act of 2018 included a $2.37 billion increase in CCDF Discretionary funds over 2017 levels and this increase was maintained in the appropriations for FY 2019
  - Total Federal funding for the CCDF program is nearly $8.2 billion in FY 2019

- Tribes – Increase from $137 million in FY 2017 to $359 million in FY 2018 and $360 million in FY 2019—which almost tripled funding for Tribes
  - This includes a 2.75% set-aside from discretionary funds and a 2% set-aside from mandatory funds
  - The FY 2018 and FY 2019 appropriation laws also allocated an additional $157 million specifically for Tribes
  - FY 2018 Funding: Tribes have until September 30, 2019, to obligate the funds and September 30, 2020 to liquidate the funds
  - FY 2019 Funding: Tribes have until September 30, 2020, to obligate the funds and September 30, 2021 to liquidate the funds
# Tribal Allocation Sizes
(Based on the FY 2016 CCDF Tribal Allocations)

Establishes 3 tiers of Tribal grantees based on the size of their allocation using the FY 2016 CCDF Allocation Table

<table>
<thead>
<tr>
<th>Small Allocation Tribes (Under $250,000)</th>
<th>Medium Allocation Tribes ($250,000 - $1 million)</th>
<th>Large Allocation Tribes (Over $1 million)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Must spend their funds in alignment with CCDF Goals and Purposes</td>
<td>Allowed the same exemptions as the Large allocation category Exempt from operating a certificate program</td>
<td>Subject to the majority of CCDF requirements Some Tribal-specific exemptions</td>
</tr>
<tr>
<td>Exempt from the majority of CCDF requirements</td>
<td></td>
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<tr>
<td>Option to submit an abbreviated CCDF Plan</td>
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</tbody>
</table>

![Office of Child Care Logo](9)
FY 2020-2022 Tribal Plan Structure

Part I: Section 1, 2, and 3 for All Allocation Tribes (Section 4 Small Allocation Only)

Section 1: Define CCDF Leadership and Coordination with Relevant Systems
Section 2: Establish Standards and Monitoring Processing to Ensure the Health and Safety of Child Care Settings
Section 3: Supporting Continuous Quality Improvement
Section 4: Small Allocation Tribes Only – Direct Services

Part II: Section 5, 6, and 7 for Medium and Large Allocation Tribes Only

Section 5: Provide Stable Child Care Financial Assistance to Families
Section 6: Ensure Equal Access to High Quality Child Care for Low-Income Children
Section 7: Promote Family Engagement through Outreach and Consumer Education

Part III: Assurances and Certifications

Appendix 1: Triennial Child Count Declaration
Appendix 1A: Triennial Child Count Declaration (P.L. 102-47)
Appendix 2: List of Certifications
Appendix 3: Amendment Log
Part I

• Sections 1, 2, and 3 for all Tribes
• Section 4 – Direct Services
  (for Small Allocation Tribes Only)
1. Define CCDF Leadership and Coordination with Relevant Systems

• 1.1 Tribal CCDF Application
• 1.2 Designated Tribal Lead Agency
• 1.3 Administration through Contracts or Agreements
• 1.4 Consultation in the Development of the Tribal CCDF Plan
• 1.5 Indian Child and Indian Reservation or Service Area
• 1.6 Child Count
• 1.7 Coordination of Services
• 1.8 Program Integrity and Accountability
2. Establish Standards and Monitoring Processes to Ensure the Health and Safety of Child Care Setting

- 2.1 Health and Safety Standards and Requirements for CCDF Providers
- 2.2 Monitoring and Enforcement Policies and Practices for CCDF Providers
- 2.3 Criminal Background Checks
- 2.4 Disaster Preparedness and Response Plan
3. Supporting Continuous Quality Improvement

- 3.1 Quality Improvement Goals and Activities

- 3.2 Supporting Training and Professional Development of the Child Care Workforce with CCDF Quality Funds

- 3.3 Building the Supply of High-Quality Care

- 3.4 Funding for Quality Activities
4. Small Allocation Tribes Only

– Direct Services

• The Final Rule exempts Tribes receiving small allocations (less than $250,000) from the majority of the CCDF requirements:

  – Allow more flexibility in how Tribes spend their CCDF funds
  – Focus these funds on health and safety
  – Quality spending

• Small allocation Tribes have the option to offer direct services, including determining their own eligibility requirements
Part II

• Section 5, 6, and 7

• For Medium and Large Allocation Tribes Only
5. Provider Stable Child Care Financial Assistance to Families

• 5.1 Eligible Children and Families
• 5.2 Categorical Eligibility
• 5.3 Application and Eligibility Determination / Redetermination Process
• 5.4 Improving Access for Vulnerable Children and Families
• 5.5 Family Contribution to Payments
6. Ensure Equal Access to High Quality Child Care for Low-Income Families

• 6.1 Description of Direct Child Care Services

• 6.2 Assessing Child Care Market Rates

• 6.3 Setting Payment Rates for Child Care Services

• 6.4 Payment Practices and timelines of Payments to Providers
7. Promote Family Engagement through Outreach and Consumer Education

- 7.1 Parental Complaint Process

- 7.2 Consumer Education for Parents, Providers, and the Public

- 7.3 Additional Consumer and Provider Education

- 7.4 Procedures for Providers

- 7.5 Consumer Statement Providers
Part III

• Assurances and Certification
  – Appendix 1  Triennial Child Count Declaration
  – Appendix 1A  Triennial Child Count Declaration (P.L. 102-477)
  – Appendix 2  List of Certifications
  – Appendix 3  Amendment logs
Overview of the Public Comment Process
PRA Approval Process – Federal Register Notice Comment Periods

1/31/2019
• First Federal Register Notice Published
• 60-Day Public Comment Period

4/1/2019
• Close of 60-Day Public Comment Period

May 1, 2019 (Target)
• Second Federal Register Notice
• 30-Day Comment Period to OMB with Revised Preprint
How to Provide Comments

• Comments can be forwarded by writing to:
  Administration for Children and Families
  Office of Planning, Research and Evaluation
  330 C Street SW, Washington, DC 20202
  Attn: ACF Reports Clearance Officer

• Or by E-mail:
  – infocollection@acf.hhs.gov
CCDF Tribal Plan Submission Deadline
CCDF Plan Submission Deadline

Friday, September 6, 2019
Key Dates for Planning

- March 19 – Tribal Consultation on Preprint during the Tribal Management Institute
- April 1, 2019 – Deadline to notify OCC if Tribe will be unable to expend FY18 discretionary funds by September 30, 2020
  - Unused funds are instead made available for use by other Tribes
  - Providing this notification will not impact allocation amounts in future fiscal years
- May-June (Target) – Tribal Plan Preprint Training
- July 1 – Tribal Child Counts Due
- July 1 – Tribal Construction and Renovation Applications Due
- September 6 – Plan Submission Deadline
  - Electronic fillable PDF
Tribal Consultation

• 2/15/19
  – Dear Tribal Leader letters distributed

• 3/19/19
  – Kansas City Marriott Downtown, Kansas City, Missouri
  – 4:00 PM – 6:00 PM (CMT)
Indian Employment, Training and Related Services Consolidation Act of 2017

– P.L 102-477 allows Tribes to submit three-years Plans on a rolling basis, and the effective periods for those Plans do not necessarily align with the October 1, 2019 deadline

• Current 477 Tribes – OCC will determine compliance with CCDF Final Rule based on a Tribe’s next 477 Plan submission with an effective date of October 1, 2019, or later

• June 2019 – OCC will issue a new Program Instruction that provides guidance for Tribes who choose to consolidate their CCDF program into a approve 477 Plan, based on language taken from the FY 2020-2022 CCDF Tribal Plan Preprint
Open Discussion