



NATIONAL CENTER ON
Subsidy Innovation and Accountability



NATIONAL PROGRAM INTEGRITY WEBINAR SERIES

WEBINAR #4: FRAUD RISK ASSESSMENT TOOL DEMO

MAY 17, 2018 3:00 EDT

CALL LOGISTICS

- Interactive sessions with multiple breaks for Q & A's
- If you're using the Phone Call option, you **MUST** enter your audio PIN in order to be heard
- If you'd like to ask a question, raise your hand or type in questions and comments using the "Questions" panel
- For PowerPoint or registration issues – kwatts@wrma.com

INTRODUCTIONS



Brad Kramer
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Leigh Ann Bryan
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National Center on
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WELCOME FROM THE OFFICE OF CHILD CARE

Ellen Wheatley,
Deputy Director of the
Office of Child Care



AGENDA

- Fraud Tool Kit: Fraud Risk Assessment Tool Demo
- Grantee Internal Controls Self-Assessment Instrument
- Open Discussion



THE FRAUD TOOL KIT

- In development to assist Lead Agencies in increasing program integrity and accountability and decreasing potential fraud within the program.
- Topic areas with resources will include:
 - Assessment
 - Detection
 - Prevention
 - Enforcement
 - Monitoring
- The Fraud Risk Assessment Tool is the first step, a scored quiz, for Lead Agencies to assess their fraud risk level and provide basic guidance on next steps to reduce the risk.

FRAUD TOOL KIT: FRAUD RISK ASSESSMENT TOOL

Areas of assessment include:

- System/Software
- Staff Training
- Provider Training
- Management/Communication
- Collections
- Procedures for Suspected Fraud



DEMO OF FRAUD RISK ASSESSMENT TOOL



GRANTEE INTERNAL CONTROLS SELF- ASSESSMENT INSTRUMENT

UPDATED JANUARY 2018

SELF-ASSESSMENT INSTRUMENT: ASSESSING PROGRAM GOVERNANCE AND INTERNAL CONTROLS

What are the benefits of this Instrument?

- Focus on program integrity and financial accountability
- Provides CCDF grantees with a clearer understanding of policy and procedure in relation to regulatory requirements
- Identify areas to improve
- Reduce improper payments → Serve more families, divert resources

How is it used?

- On-site or virtual visit including Lead Agency staff, OCC, Regional Office, and NCSIA
- Conversation to identify risks and gaps – best practices shared



SELF-ASSESSMENT INSTRUMENT

- What's changed with the January 2018 updates?
 - CCDF regulations and administrative guidance added, but pulled into a separate addendum
- The Instrument still contains 5 sections:
 - Eligibility Determination and Review
 - Improper Payment Case Review Process
 - Fraud and Overpayment Prevention, Detection, and Recovery
 - Federal Reporting
 - Audits and Monitoring

SAI AND ADDENDUM DEMO



QUESTIONS AND OPEN DISCUSSION



In the areas of program integrity and fraud prevention, what do you want to learn more about?

Would you like to showcase your state's program integrity work?

Contact Info:

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NEXT WEBINAR:

AUGUST 2ND
3:00 - 4:00 PM
(EDT)



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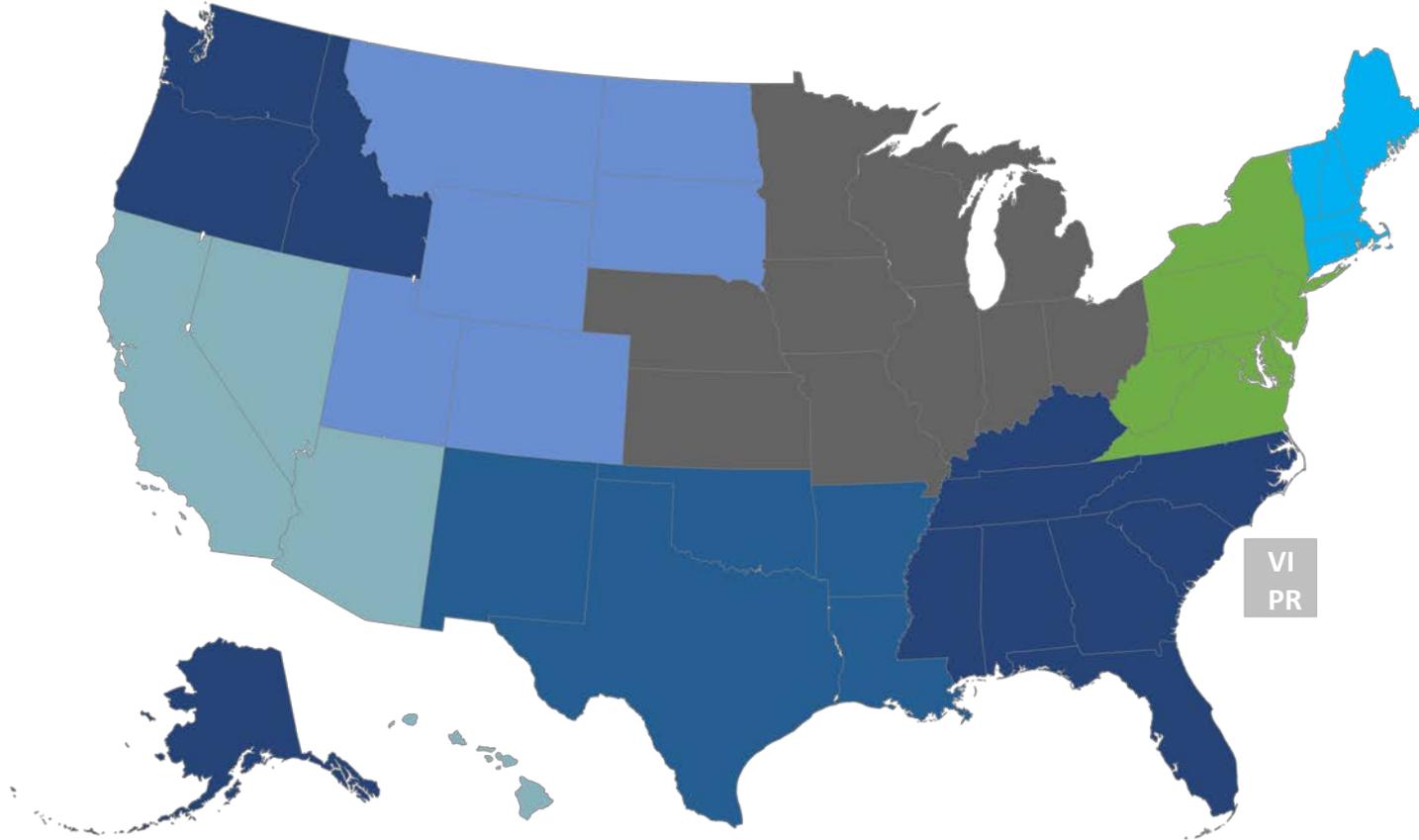
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THANK YOU!

