



National Center on Tribal Early Childhood Development

Adapting, Designing, and Implementing Child Care and Development Fund Health and Safety Standards

December 13, 2018

Introductions



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Agenda

- ◆ Requirements for Child Care and Development Fund (CCDF) health and safety standards
- ◆ Developing CCDF health and safety standards
- ◆ Introducing *Minimum Health and Safety Standards: A Guide for American Indian and Alaska Native Child Care and Development Fund Grantees*
- ◆ Resources and tools from the National Center on Early Childhood Quality Assurance
- ◆ Additional resources and tools





National Center on Tribal Early Childhood Development

Requirements for CCDF Health and Safety Standards

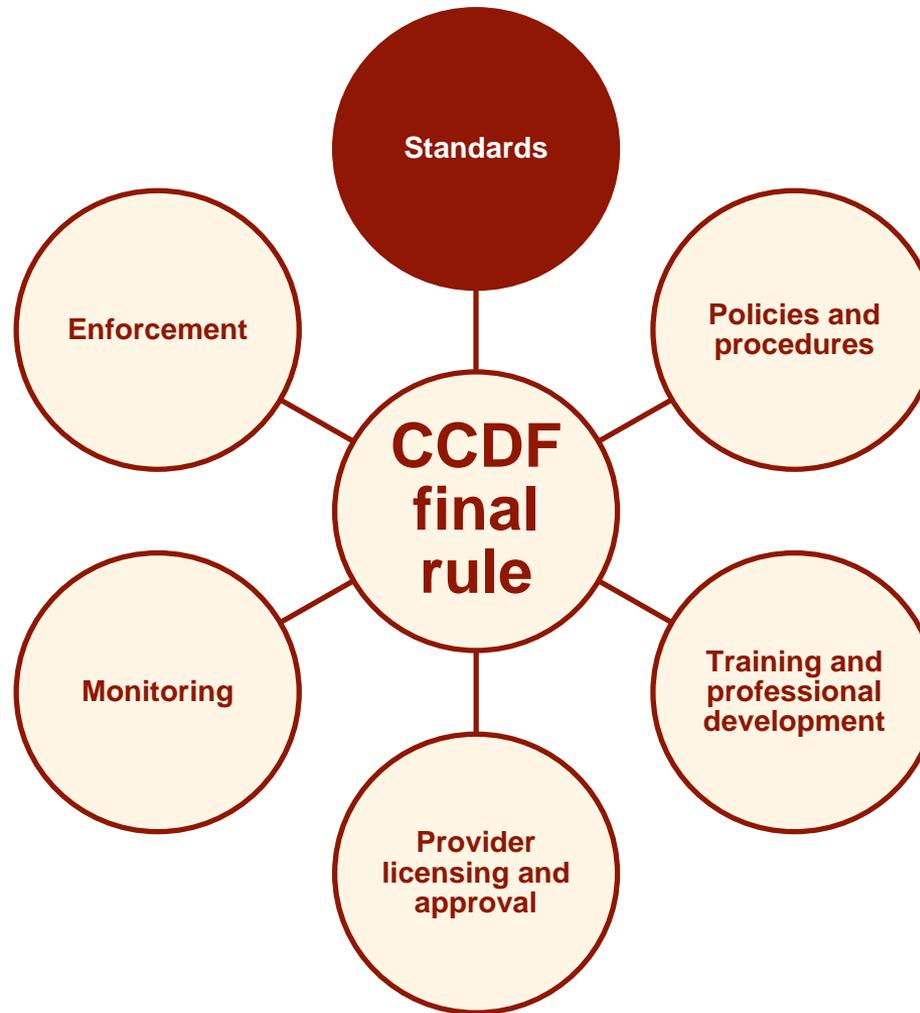
Health and Safety Requirements Overview

- ◆ Health and safety requirements apply to all grantees and all child care providers who receive CCDF funds.*
- ◆ Health and safety requirements are organized around a list of topics that apply to
 - **standards** appropriate to provider setting and age of children served;
 - **training** for caregivers, teachers, and directors; and
 - **monitoring** and enforcement.
- ◆ Health and safety requirements also include
 - criminal background check requirements, and
 - group size and staff-child ratio requirements.

*American Indian and Alaska Native grantees can choose to exempt relative care providers from health and safety requirements.

Child Care and Development Fund, 45 C.F.R. §§ 98.41, 98.83 (2016).

Systems for Ensuring Health and Safety



What Are CCDF Standards?

- ◆ CCDF standards establish your CCDF program's minimum expectations for your approved providers
- ◆ Standards establish your foundation for health and safety



11 Required Health and Safety Topics

1. Prevention and control of infectious diseases (including immunizations)
 2. Prevention of sudden infant death syndrome and use of safe sleeping practices
 3. Administration of medication, consistent with standards for parental consent
 4. Prevention of and response to emergencies due to food and allergic reactions
 5. Building and physical premises safety
 6. Prevention of shaken baby syndrome, abusive head trauma, and child maltreatment
 7. Emergency preparedness and response
 8. Handling and storage of hazardous materials and the appropriate disposal of biocontaminants
 9. Appropriate precautions in transporting children, if applicable
 10. Pediatric first aid and cardiopulmonary resuscitation
 11. Recognition and reporting of child abuse and neglect
- Additional standards for monitoring include the following:**
- ◆ Group size limits for specific age groups
 - ◆ Child-caregiver ratios for specific age groups
 - ◆ Required qualifications for caregivers

Child Care and Development Fund, 45 C.F.R. § 98.41 (2016).

Optional Health and Safety Topics

- ◆ Nutrition (including age-appropriate feeding)
- ◆ Access to physical activity
- ◆ Caring for children with special needs
- ◆ Any other subject area determined by the Lead Agency to be necessary to promote child development or to protect children's health and safety



Child Care and Development Fund, 45 C.F.R. § 98.41 (2016).

Poll Question

Which statement best describes your current health and safety standards in relation to the CCDF final rule requirements?

- ◆ Our health and safety standards meet CCDF final rule requirements.
- ◆ We need to update our health and safety standards to meet CCDF final rule requirements.
- ◆ We need to develop a new set of health and safety standards to meet CCDF final rule requirements.
- ◆ We are not sure yet.



National Center on Tribal Early Childhood Development

Developing CCDF Health and Safety Standards

Developing Health and Safety Standards

- ◆ American Indian and Alaska Native (AI/AN) CCDF grantees have the flexibility to develop health and safety standards that best fit their programs by
 - adopting an existing set of health and safety standards,
 - adapting an existing set of health and safety standards, or
 - writing their own set of health and safety standards.
- ◆ Standards may also reflect other best practices and the unique cultural practices and expectations that exist in AI/AN communities.
- ◆ Standards developed or adopted by the Lead Agency should be approved by the tribal governing body and shared with providers, parents, and community members.

Tips for Getting Started

- ◆ Review CCDF final rule health and safety requirements
- ◆ Assess your current standards for alignment and determine whether you need to develop new standards or update existing standards
- ◆ Consider other sets of standards that providers are required to meet
- ◆ Explore existing standards as a framework or baseline
- ◆ Develop a list of stakeholders to involve throughout the process
- ◆ Consider the timelines and process for approval by tribal government



Adapted from: National Center on Early Childhood Quality Assurance. (2017). Developing and revising child care licensing requirements. Washington, DC: Office of Child Care. Retrieved from <https://childcareta.acf.hhs.gov/resource/developing-and-revising-child-care-licensing-requirements>

Developing Your Own Health and Safety Standards

- ◆ Are there specific expectations that your tribe or program has for children and families?
- ◆ Are there traditional beliefs that your tribe has maintained and expects to maintain for the children?
- ◆ Do you have certain expectations of providers in your program?

If you answered yes to any of these questions, you may want to consider developing your own standards.



Guiding Questions for Health and Safety Standards

- ◆ Do the standards include all topics required by the CCDF final rule?
- ◆ Does each standard have a purpose and adequately protect children in care?
- ◆ Are the standards appropriate for each provider setting and the age of children served?
- ◆ Do the standards reflect the values, language, and culture of your tribal nation?

Adapted from: National Center on Early Childhood Quality Assurance. (2017). Developing and revising child care licensing requirements. Washington, DC: Office of Child Care. Retrieved from <https://childcareta.acf.hhs.gov/resource/developing-and-revising-child-care-licensing-requirements>

Guiding Questions for Health and Safety Standards (continued)

- ◆ Are the standards cost-effective and manageable for providers to meet?
- ◆ Are the standards written in plain language?
- ◆ Are the standards written clearly, and do they establish clear expectations?
- ◆ Are the standards observable, measurable, and enforceable?

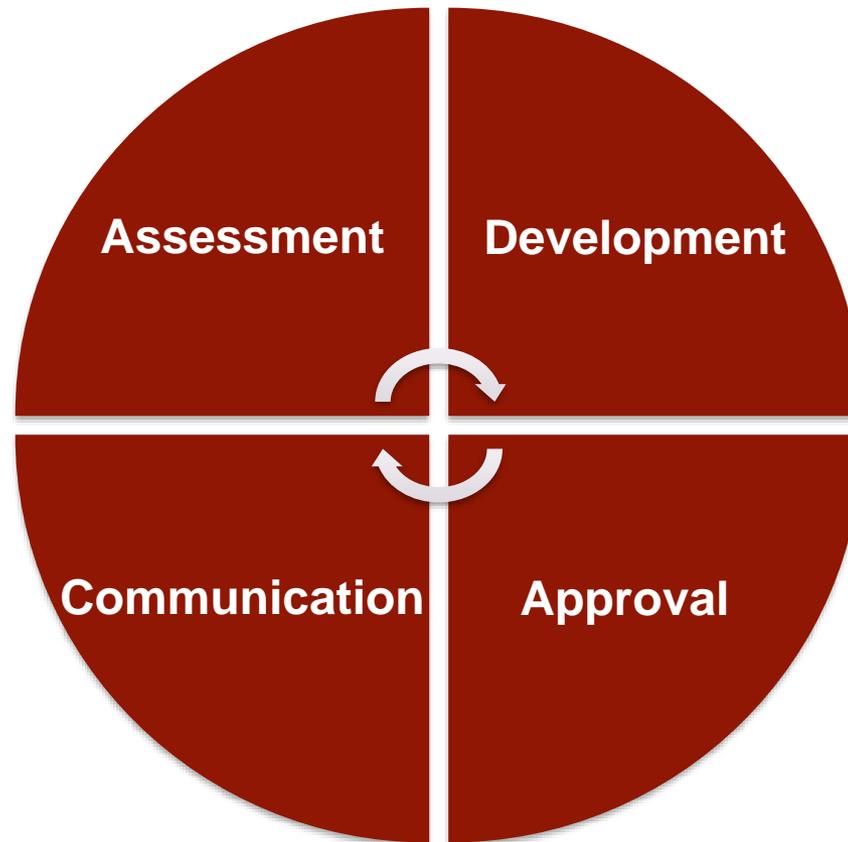
Adapted from: National Center on Early Childhood Quality Assurance. (2017). Developing and revising child care licensing requirements. Washington, DC: Office of Child Care. Retrieved from <https://childcareta.acf.hhs.gov/resource/developing-and-revising-child-care-licensing-requirements>

Sharing

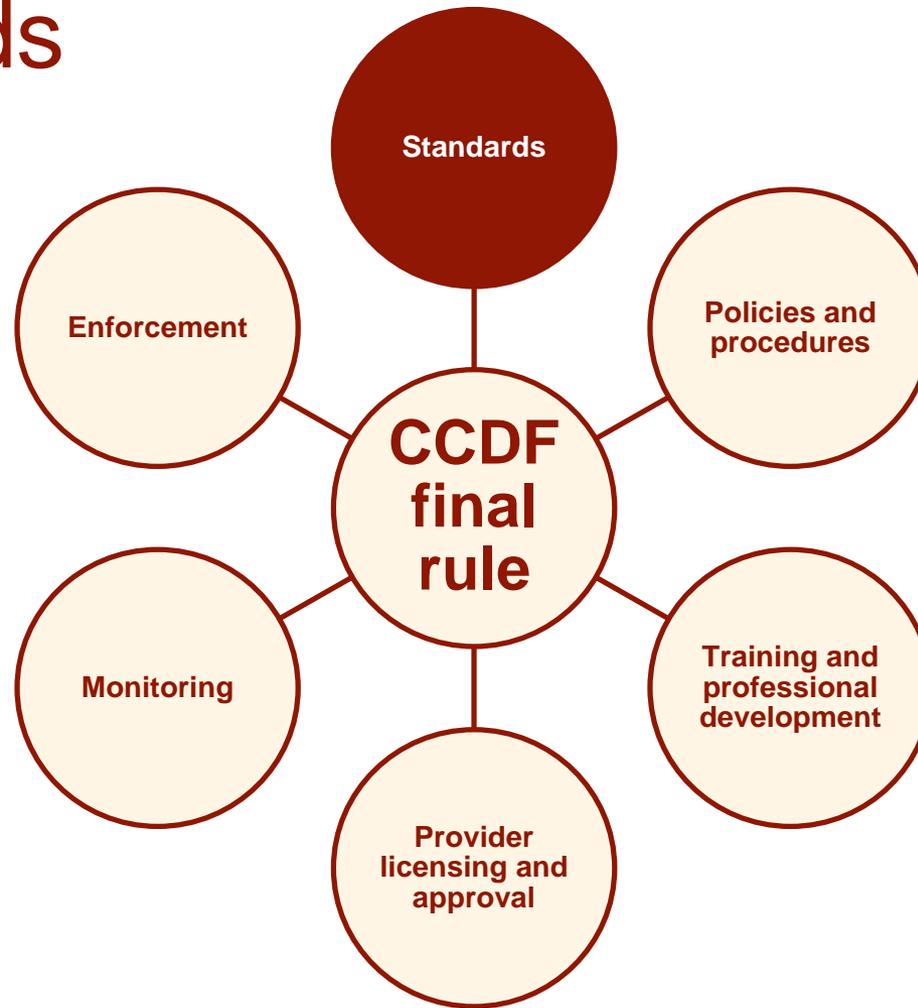
What other questions should you consider when reviewing, revising, and writing health and safety standards?



Planning for Health and Safety Standards



Implementing Health and Safety Standards





National Center on Tribal Early Childhood Development

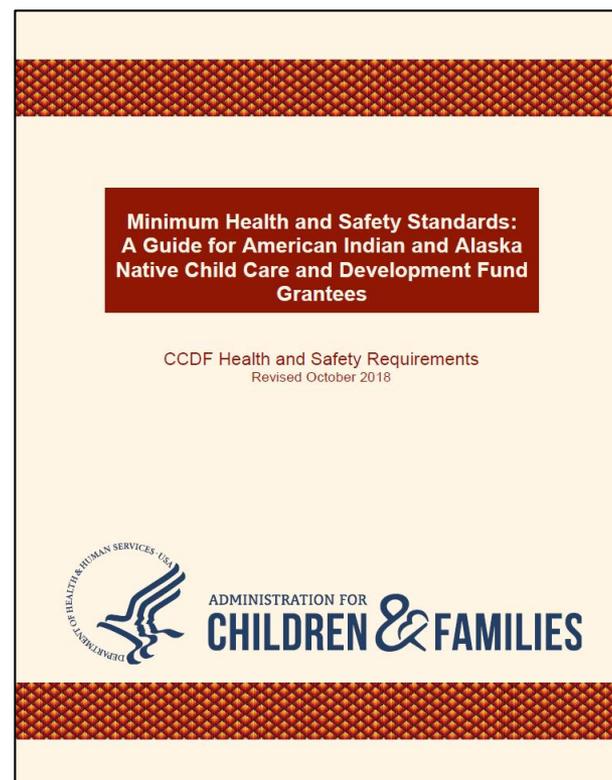
*Introducing **Minimum Health and Safety Standards: A Guide for American Indian and Alaska Native Child Care and Development Fund Grantees***

Revised *Minimum Health and Safety Standards*

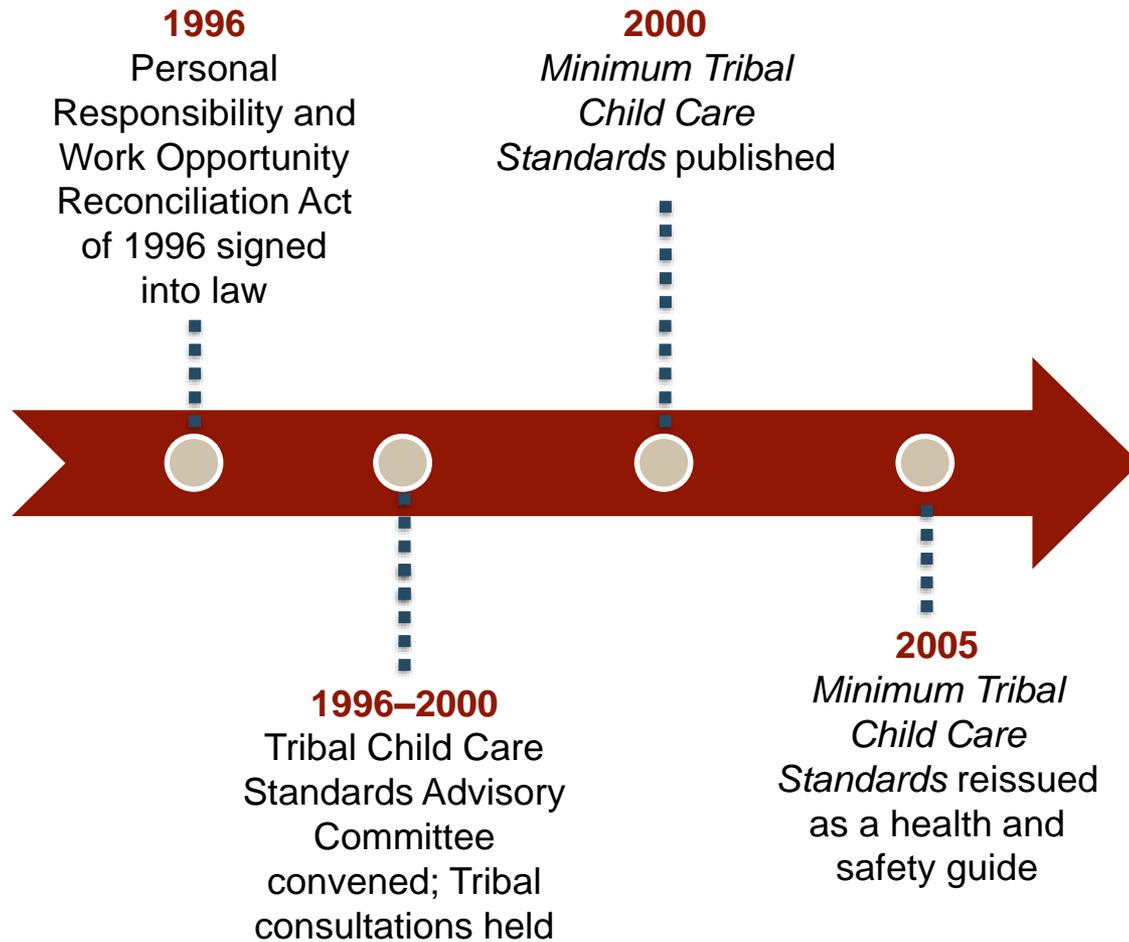
- ◆ Provides voluntary guidelines for minimum health and safety standards
- ◆ Aligns with CCDF final rule requirements
- ◆ Includes specific recommendations for AI/AN grantees
- ◆ Can be adopted or adapted to meet your program's needs
- ◆ Can serve as a framework for developing your own standards
- ◆ Available on the National Center on Tribal Early Childhood Development (NCTECD) website:
https://childcareta.acf.hhs.gov/sites/default/files/public/hs_minimum_standards.pdf

Purpose

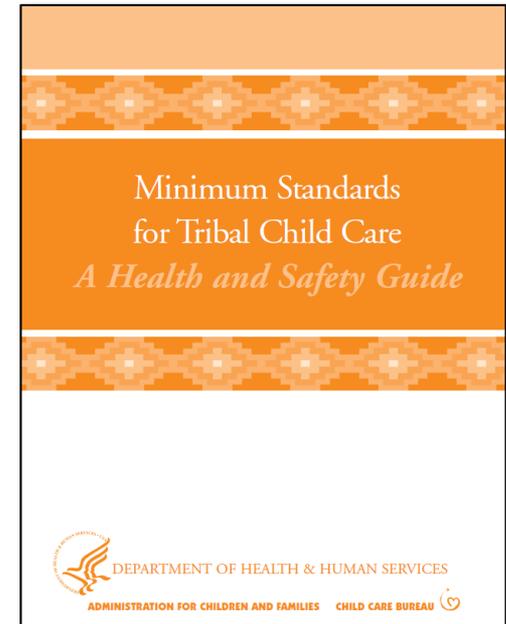
- ◆ Provides **voluntary guidelines** for a minimum set of health and safety standards specifically for AI/AN CCDF grantees that are aligned to the health and safety requirements outlined in the CCDF final rule
- ◆ Represents a baseline from which all grantees should operate to ensure that children are cared for in healthy and safe environments and that their basic needs are met



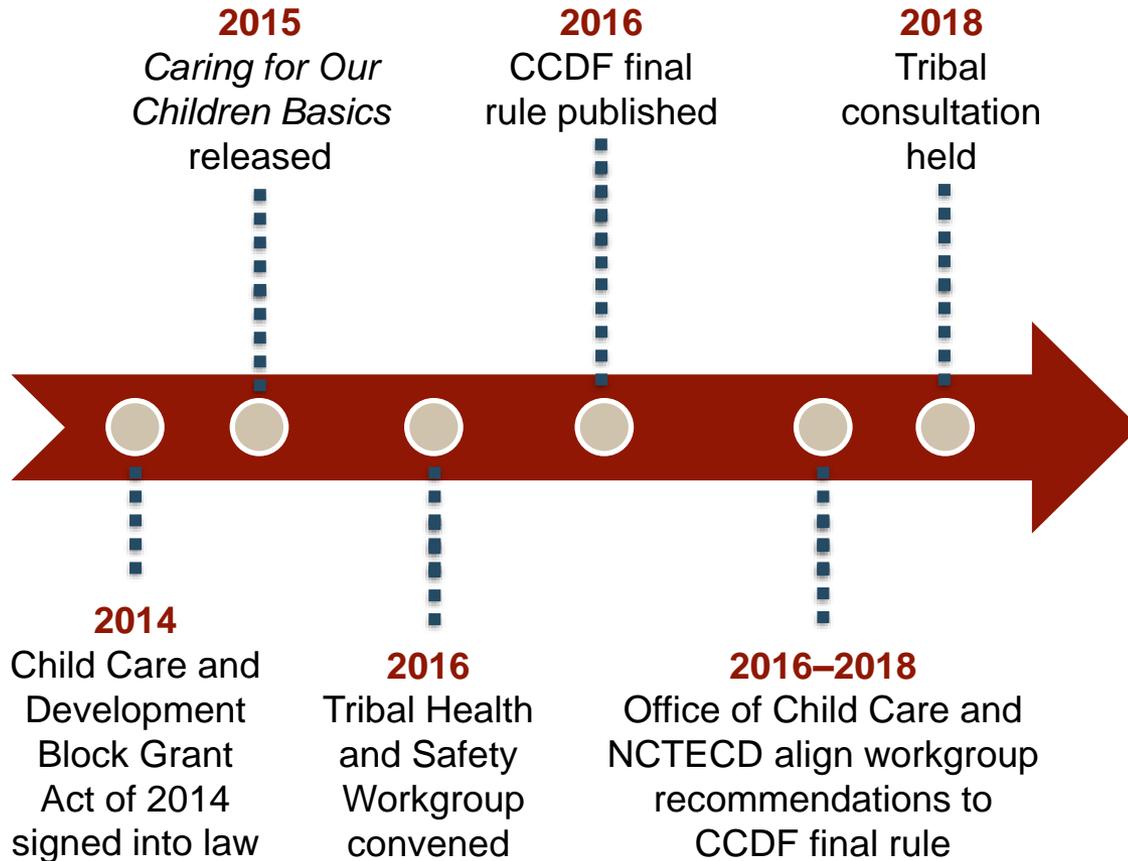
History



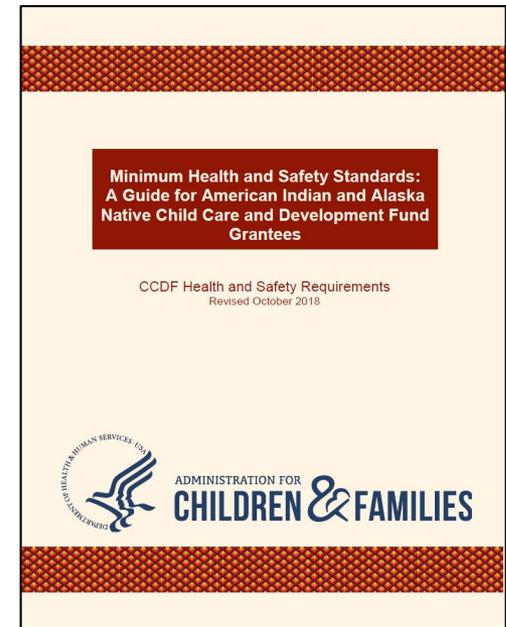
2008
Minimum Standards for Tribal Child Care: A Health and Safety Guide updated



History (continued)



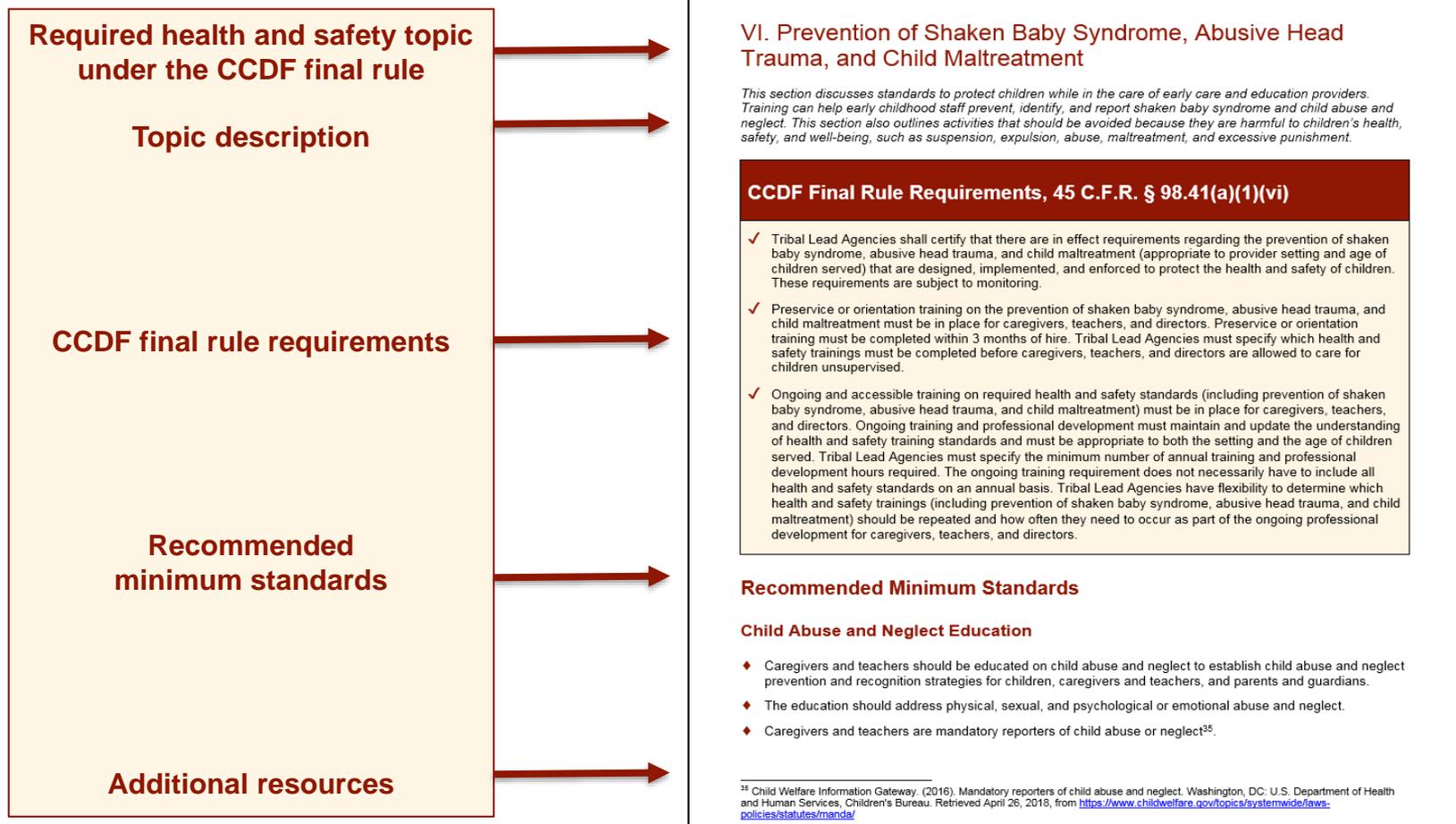
2018
Minimum Health and Safety Standards: A Guide for American Indian and Alaska Native Child Care and Development Fund Grantees published



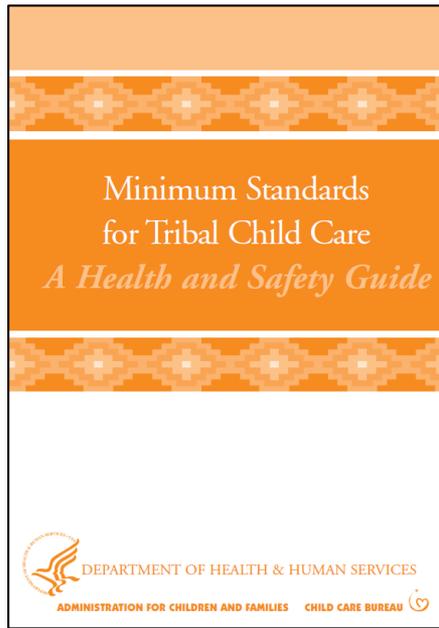
Topics

- i. The prevention and control of infectious diseases (including immunizations)
- ii. Prevention of sudden infant death syndrome and use of safe sleeping practices
- iii. Administration of medication, consistent with standards for parental consent
- iv. Prevention and response to emergencies due to food and allergic reactions
- v. Building and physical premises safety, including identification of and protection from hazards, bodies of water, and vehicular traffic
- vi. Prevention of shaken baby syndrome, abusive head trauma, and child maltreatment
- vii. Emergency preparedness and response planning for emergencies resulting from a natural disaster, or a man-caused event (such as violence at a child care facility)
- viii. Handling and storage of hazardous materials and the appropriate disposal of biocontaminants
- ix. Appropriate precautions in transporting children, if applicable
- x. Pediatric first aid and cardiopulmonary resuscitation
- xi. Recognition and reporting of child abuse and neglect
- xii. Nutrition
- xiii. Physical activity
- xiv. Caring for children with special needs
- xv. Other subject areas to promote child development or to protect children's health and safety
- xvi. Health and safety training
- xvii. Group size and ratios
- xviii. Compliance with child abuse reporting requirements and procedures
- xix. Enforcement of licensing and health and safety requirements
- xx. Criminal background checks

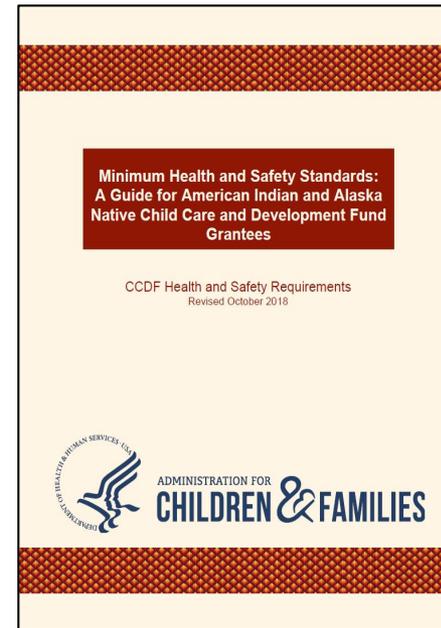
Format



Topical Crosswalk



Minimum Standards for Tribal Child Care: A Health and Safety Guide
(2008)



Minimum Health and Safety Standards: A Guide for American Indian and Alaska Native Child Care and Development Fund Grantees
(2018)

Additional Health and Safety Standards

- ◆ [*Caring for Our Children Basics: Health and Safety Foundations for Early Care and Education*](#)
- ◆ [*Caring for Our Children: National Health and Safety Performance Standards Guidelines for Early Care and Education Programs, third edition*](#)
- ◆ [*Stepping Stones to Caring for Our Children, third edition \(SS3\)*](#)
- ◆ [Head Start Program Performance Standards](#)
- ◆ State licensing standards

Do you adopt or adapt a different set of standards? Please share your response in the chat box.

Poll Question

What health and safety standards does your CCDF Lead Agency currently use or plan to use?

- ◆ Revised Minimum Health and Safety Standards
- ◆ Head Start Program Performance Standards
- ◆ State health and safety standards
- ◆ Caring for Our Children Basics, Stepping Stones, or Caring for our Children, third edition
- ◆ Your own tribal-specific health and safety standards
- ◆ Not sure yet
- ◆ Other (please describe in chat box)



National Center on Tribal Early Childhood Development

Resources and Tools from the National Center on Early Childhood Quality Assurance (ECQA Center)



NATIONAL CENTER ON Early Childhood Quality Assurance



Key Topic Areas for ECQA Center

- ◆ Health and safety standards and requirements
- ◆ Licensing policies and practices
- ◆ Monitoring strategies
- ◆ Quality improvement systems
- ◆ Support of family child care
- ◆ Access to quality child care

ECQA Center Activities

◆ Technical assistance

- Individualized
- Peer learning groups
- Communities of practice
- National events

◆ Product development

- Briefs
- Online tools

Visit the ECQA Center

- ◆ Tools and resources are available on the Child Care Training and Technical Assistance website at <https://childcareta.acf.hhs.gov/>
- ◆ National Center on Early Childhood Quality Assurance page at <https://childcareta.acf.hhs.gov/centers/national-center-early-childhood-quality-assurance>
- ◆ Contact us for more information about resources and technical assistance at QualityAssuranceCenter@ecetta.info

Resources and Tools for Health and Safety Standards

CCDF Health and Safety Requirements Briefs

CCDF Health and Safety Requirements Brief #1



NATIONAL CENTER ON
Early Childhood Quality Assurance

Prevention and Control of Infectious Diseases

This brief, one in a series of nine addressing health and safety requirements specified in the *Child Care and Development Block Grant Act of 2014*, provides an overview of prevention and control of infectious diseases for center-based and home-based child care settings. Licensing administrators and Child Care and Development Fund Administrators may find the brief helpful as they begin to assess and consider future revisions to state standards for both licensed and license-exempt providers. It may also be of value to early childhood and school-age care and education programs and providers in understanding and improving the health and safety of their learning environments.

<https://childcareta.acf.hhs.gov/resource/briefs-about-health-and-safety-topics>

CCDF Health and Safety Requirements Briefs

- ◆ Nine briefs based on CCDF health and safety topics
- ◆ Each brief has the following sections:
 - Research and guidance on topic
 - Excerpts from *Caring for Our Children Basics*
 - Links to *Caring for Our Children* standards
 - Trends data on child care licensing requirements
 - Examples of state licensed child care requirements
 - Examples of state license-exempt child care requirements
 - Additional resources

Health and Safety Requirements Briefs

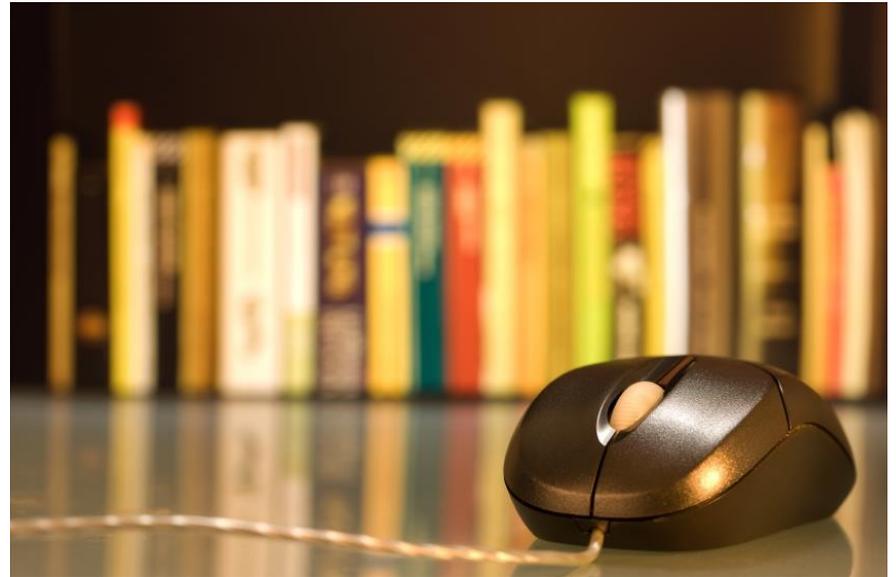
- ◆ Brief 1: *Prevention and Control of Infectious Diseases*
- ◆ Brief 2: *Administering Medications*
- ◆ Brief 3: *Prevention of and Response to Emergencies Due to Food and Allergic Reactions*
- ◆ Brief 4: *Reducing the Risk of Sudden Infant Death Syndrome and Using Safe Sleeping Practices*

Health and Safety Requirements Briefs

- ◆ Brief 5: *Building and Physical Premises Safety*
- ◆ Brief 6: *Emergency Preparedness and Response Planning*
- ◆ Brief 7: *Handling, Storing, and Disposing of Hazardous Materials and Biological Contaminants*
- ◆ Brief 8: *Transportation of Children*
- ◆ Brief 9: *Health and Safety Training*

Online Tools

- ◆ National Database of Child Care Licensing Regulations
- ◆ Data Explorer
- ◆ National Program Standards Crosswalk Tool



Licensing Regulations



HOME ABOUT NATIONAL RESOURCES

Apply

Advanced Search

Welcome to the **National Database of Child Care Licensing Regulations**—a tool for finding and searching state and territory licensing regulations and agency contact information. Licensing requirements are frequently updated in response to new legislation, data analysis, provider feedback, and in response to new research and industry trends. This database can support licensing and Child Care and Development Fund administrators in exploring how other States and Territories have developed clear, measurable and achievable regulations to better inform child care providers and safeguard children's health, development and well-being. 

Choose a state from the map below or the list to the right

Select a State



National Center on Early Childhood Quality Assurance. (n.d.). National database of child care licensing regulations [Online tool]. Washington, DC: Office of Child Care. Retrieved from <https://childcareta.acf.hhs.gov/licensing>



Features

- ◆ Find links to all states' licensing regulations and some statutes
- ◆ Download and search PDFs of all licensing regulations
- ◆ Search content of licensing regulations by keyword
- ◆ Filter search by state, facility type, date, and regulation type



Kansas

Licensing Contact Information

Agency Name: Kansas Department of Health and Environment, Child Care Licensing Program

Address: 1000 SW Jackson Street, Suite 200, Topeka, KS - 66612-1274

Phone: (785) 296-1270; for county contacts, see http://www.kdheks.gov/bcclr/download/county_contacts.pdf.

Agency Web Page: <http://www.kdheks.gov/bcclr/>

Child Care Search Page: <http://www.ks.childcareaware.org/for-parents-families/search-for-child-care/>

Notes: Some cities and/or counties in Kansas have additional regulations. Please check with your city and county government for more information.

Licensing Regulations

Title	Date
Regulations for Licensing School Age Programs	07/01/2015
Child Care Licensing Laws	07/01/2015
Regulations for Licensing Day Care Homes and Group Day Care Homes	07/01/2015
Regulations for Licensing Preschools and Child Care Center	07/01/2015

Additional Standards

Title	Type
Kansas Quality Rating Improvement System Rating Criteria Chart	QRIS
Pre-K Program Standards and Requirements : Kansas Preschool Program	PREK
Four Year Old At-Risk Program Standards and Requirements: Kansas Preschool Program	PREK
Kansas Department of Children and Families Child Care Provider Handbook	CCDF

National Center on Early Childhood Quality Assurance. (n.d.). National database of child care licensing regulations [Online tool]. Washington, DC: Office of Child Care. Retrieved from <https://childcareta.acf.hhs.gov/licensing>

Data Explorer

State-level data from 2014 trends

- ◆ Number and capacity of facilities
- ◆ Ratios and group sizes
- ◆ Preservice qualifications
- ◆ Ongoing training hours
- ◆ Background checks
- ◆ Frequency and types of inspections
- ◆ Additional data topics

Child Care State Capacity Building Center. (n.d.). Data explorer and state profiles [Online tool]. Washington, DC: Office of Child Care. Retrieved from <https://childcareta.acf.hhs.gov/data>

Data Explorer Search

Data Explorer & State Profiles

You can use this tool to search for data on various early care and education topics, including demographic information, program participation and funding, CCDF subsidy program administration, program quality improvement activities, and professional development and workforce initiatives. For detailed Child Care and Development Fund Statistics, see the [Office of Child Care](#). For additional data on child care and early education, see [Research Connections](#).

Have questions about the data? Please contact us at CapacityBuildingCenter@ecetta.info

Explore ECE Data	ECE State Profiles	Professional Development System State Profiles	QRIS State Profiles	State Licensing Profiles
Select a State/Territory*	<input type="text" value="Select option(s)"/>			
	<input type="button" value="Select All"/> <input type="button" value="Unselect All"/>			
Select a Topic*	<input type="text" value="Health and Safety"/> <input type="button" value="▼"/>			
Select Subtopic(s)	<input type="text" value="× Licensing"/>			
Select Definer(s)	<input type="text" value="(can select multiple)"/>			

Child Care State Capacity Building Center. (n.d.). Data explorer and state profiles [Online tool]. Washington, DC: Office of Child Care. Retrieved from <https://childcareta.acf.hhs.gov/data>

Data Explorer Results

Search Results

Licensing / Group Size in Child Care Centers					
State	Infants (11 months)	Toddlers (35 months)	Preschool-age (59 months)	School-age (6 years)	School-age (10 years and older)
Alabama	Group size not regulated	Group size not regulated	Group size not regulated	Group size not regulated	Group size not regulated
Alaska	10	12	20	28	36
Arizona	Group size not regulated	Group size not regulated	Group size not regulated	Group size not regulated	Group size not regulated
Arkansas	12	18	24	Group size not regulated	Group size not regulated
California	Group size not regulated	12	Group size not regulated	Group size not regulated	Group size not regulated
Colorado	10	14	20	30	30
Connecticut	8	8	20	20	20
Delaware	8	16	20	30	30
District of Columbia	8	8	16	30	30

Child Care State Capacity Building Center. (n.d.). Data explorer and state profiles [Online tool]. Washington, DC: Office of Child Care. Retrieved from <https://childcareta.acf.hhs.gov/data>

National Program Standards Crosswalk Tool

U.S. Department of Health & Human Services & Administration for Children & Families Office of Child Care (Main Site) Office of Child Care (TA Network)

National Program Standards
Crosswalk Tool

Home Search Standards About Standards Logout

[REGISTER FOR A NEW ACCOUNT](#) [FORGOT PASSWORD?](#)

Welcome to the National Program Standards Crosswalk Tool

This website contains a searchable database of national program standards for early childhood learning and development programs. It includes standards from a variety of government and non-profit organizations. Use the form below to search and compare standards by topic and issuing organization. Learn more about the [standards](#)

- ◆ Website: <https://qrisguide.acf.hhs.gov/crosswalk/>



Instructions -

Step 1 Choose Topics

Children with Special Needs

Eligibility, Recruitment & Transition

Family & Community Partnerships

Health & Safety

Learning Environment, Teaching Practices & Curriculum

Nutrition

- Allergies/Other Special Needs
- Food Storage/Disposal
- Meal Preparation/Service
- Meal Time/Environment
- Reporting/Communications

OR

Step 1 Search

Search Keywords

Search

Keyword search

Choose topic and subtopics



Review Standards Policies Step 2

Number of Items by Topic and Subtopic in each Standard

Nutrition

Topic	ACSI	CFOC3	CFOCB	COA-AS	DOD	HSPPS	NAC	NAFCC	NAEYC	NECPA	CCDF
Allergies/Other Special Needs	0	3	0	0	2	4	0	0	1	1	0

Choose Standards to Compare Step 3

Choose up to three standards:

- Association for Christian Schools International, REACH Standards and Indicators (ACSI)
- Child Care and Development Fund Final Rule (CCDF)
- Caring for Our Children: National Health and Safety Performance Standards, Guidelines for Early Care and Education Programs, 3rd Edition; and Stepping Stones to Caring for Our Children, 3rd Edition (CFOC3)
- Caring for Our Children Basics: Health and Safety Foundations for Early Care and Education (CFOCB)
- Council on Accreditation After School Program Standards (COA-AS)
- Department of Defense Instruction and Effective Rating and Improvement System (DOD)
- Head Start Program Performance Standards (HSPPS)
- National Accreditation Commission for Early Care and Education Programs (NAC)
- National Association for the Education of Young Children, Academy for Early Childhood Program Accreditation (NAEYC)
- National Association for Family Child Care Accreditation (NAFCC)
- National Early Childhood Program Accreditation (NECPA)

Compare Standards

Table shows number of criteria



Results

🔍 New Search 🔍 Modify Search

Excel PDF Save

Nutrition

Allergies/Other Special Needs

Child Care and Development Fund Final Rule (CCDF)

§ 98.41 Health and safety requirements. (a) Each Lead Agency shall certify that there are in effect, within the State (or other area served by the Lead Agency), under State, local or tribal law, requirements (appropriate to provider setting and age of children served) that are designed, implemented, and enforced to protect the health and safety of children. Such requirements must be applicable to child care providers of services for which assistance is provided under this part. Such requirements, which are subject to monitoring pursuant to § 98.42, shall: (i) Include health and safety topics consisting of, at a minimum: (iv) Prevention and response to emergencies due to food and allergic reactions

Caring for Our Children Basics: Health and Safety Foundations for Early Care and Education (CFOCB)

4.2.0.10 Care for Children with Food Allergies Each child with a food allergy should have a written care plan that includes: a) Instructions regarding the food(s) to which the child is allergic and steps to be taken to avoid that food; b) A detailed treatment plan to be implemented in the event of an allergic reaction, including the names, doses, and methods of prompt administration of any medications. The plan should include specific symptoms that would indicate the need to administer one or more medications. Based on the child's care plan and prior to caring for the child, caregivers/teachers should receive training for, demonstrate competence in, and implement measures for: a) Preventing exposure to the specific food(s) to which the child is allergic; b) Recognizing the symptoms of an allergic reaction; c) Treating allergic reactions. The written child care plan, a mobile phone, and the proper medications for appropriate treatment if the child develops an acute allergic reaction should be routinely carried on field trips or transport out of the early care and education setting. The program should notify the parents/guardians immediately of any suspected allergic reactions, as well as the ingestion of or contact with the problem food even if a reaction did not occur. The program should contact the

National Association for Family Child Care Accreditation (NAFCC)

4.77 - Children's food allergies are posted in the food preparation and eating areas.

Accessing Resources

- ◆ Child care licensing tools and resources
 - Online tools
 - Issue briefs on licensing topics
 - Planning tools for licensing agencies
 - Products from the 2014 child care licensing study
 - Resources about license-exempt child care
- ◆ <https://childcareta.acf.hhs.gov/child-care-licensing-tools-and-resources>



National Center on Tribal Early Childhood Development

Additional Resources and Tools

NCTECD Health and Safety Resource Lists

- ◆ [Staff Training and Support](#)
- ◆ [Standards, Policies, and Monitoring Systems](#)
- ◆ [Health and Safety Program Practice for Providers](#)



More Resources from NCTEED

- ◆ [Exploring the Implementation of CCDF Health and Safety Standards](#) (presentation slides)
- ◆ [Ensuring the Health and Safety of Children in American Indian and Alaska Native CCDF Programs](#) (presentation slides)
- ◆ [American Indian and Alaska Native Child Care and Development Fund Monitoring Toolkit](#) (guide, tools, and videos)
- ◆ [Developing Child Care Monitoring and Enforcement Systems for American Indian and Alaska Native Child Care and Development Fund Programs](#) (presentation slides)
- ◆ [Monitoring Child Care Centers and Child Care Homes](#) (presentation slides)

Contact Us

- ◆ Phone: 877-296-2401
- ◆ Email: nctecd@ecetta.info
- ◆ Website:
<https://childcareta.acf.hhs.gov/centers/national-center-tribal-early-childhood-development>
- ◆ Newsletter: <http://eepurl.com/cs6Osv>

**National Center on Tribal Early Childhood Development,
A Service of the Office of Child Care**

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ADMINISTRATION FOR
CHILDREN & FAMILIES

