



National Center on Tribal Early Childhood Development

Introduction to the American Indian and Alaska Native Child Care and Development Fund Program for New Administrators

Office of Child Care's 2018 American Indian and
Alaska Native Regional Conference



Welcome

“Let us put our minds
together and see what life we
can make for our children.”

—Sitting Bull



Welcome and Introductions

- ◆ Name and title
- ◆ Tribe
- ◆ Something you are proud of regarding your Child Care and Development Fund (CCDF) program



Agenda for Today

- ◆ Introduction to the American Indian and Alaska Native (AI/AN) CCDF program
- ◆ Introduction to the CCDF final rule
- ◆ Introduction to fiscal management
- ◆ Introduction to ACF-700 reporting and the Child Care Data Tracker
- ◆ Roadmap to reauthorization: Assessing your CCDF final rule readiness





Objectives

- ◆ Participants will enhance their understanding of the purpose, structure, and administration of CCDF.
- ◆ Participants will learn about the CCDF final rule as it applies to their allocation size and assess their implementation progress toward meeting requirements.
- ◆ Participants will gain insight on the components of key fiscal and data reporting requirements and sound accountability systems for financial management and data reporting.



Overview of CCDF

- ◆ What is the CCDF?
- ◆ What is the difference between the Child Care and Development Block Grant (CCDBG) and CCDF?
- ◆ What is CCDF reauthorization and the final rule?
- ◆ How is CCDF administered at the federal level?



What Are CCDBG and CCDF?

The CCDBG Act of 2014 is the law (along with section 418 of the Social Security Act) that authorizes the CCDF, which is the primary federal funding source devoted to the following goals:

- ◆ Providing low-income families who are working or participating in education and training with help paying for child care
- ◆ Improving the quality of child care by funding activities that build the skills and qualifications of the teacher workforce, support child care programs in achieving higher standards, and provide consumer education to help parents select child care that meets their families' needs

Child Care and Development Fund (CCDF) Program, 81 Fed. Reg. 67,438 (Sept. 30, 2016) (codified at 45 C.F.R. pt. 98).

CCDBG Reauthorization

- ◆ On November 19, 2014, the President signed bipartisan legislation that reauthorized the CCDBG Act.
- ◆ The new law made many important statutory changes focused on strengthening child care to better support the success of both parents and children.
- ◆ Before the final rule was published, the Office of Child Care (OCC) offered several opportunities for tribes and AI/AN CCDF Administrators to provide input on the proposed regulations through the notice of proposed rule making (NPRM).
- ◆ In September 2016, OCC published the final rule to define how the law applies to AI/AN CCDF grantees.



Child Care and Development Fund (CCDF) Program, 81 Fed. Reg. 67,438 (Sept. 30, 2016) (codified at 45 C.F.R. pt. 98).

Federal Administration of CCDF



The Office of Child Care supports low-income working families through child care financial assistance and promotes children's learning by improving the quality of early care and education and afterschool programs.

Source: Office of Child Care, Administration for Children and Families, U.S. Department of Health and Human Services. (2017). Office of Child Care website. Retrieved from <https://www.acf.hhs.gov/occ>

Office of Child Care

An Office of the Administration for Children & Families

Office of Child Care Regions



Source: Office of Child Care, Administration for Children and Families, U.S. Department of Health and Human Services. (2018). *FY 2018 CCDF tribal allocations*. Retrieved from <https://www.acf.hhs.gov/occ/resource/fy-2018-ccdf-tribal-allocations>



AI/AN Lead Agency Designation

- ◆ AI/AN CCDF grantees must designate a Lead Agency to apply for funding and be accountable for administering the CCDF program.
- ◆ AI/AN grantees have considerable flexibility in
 - administering and implementing the child care program,
 - determining the basic use of the funds, and
 - identifying spending priorities based on the unique, specific needs of the AI/AN children and families in the tribal community.

Child Care and Development Fund, 45 C.F.R. § 98.83 (2016).



Responsibilities of the AI/AN Lead Agency

The Lead Agency is responsible for administering the CCDF program and has the authority to

- ◆ administer and implement programs,
- ◆ maintain its overall responsibility for CCDF child care programs,
- ◆ serve as the single point of contact for all child care issues, and
- ◆ develop and administer the CCDF Plan.

Child Care and Development Fund, 45 C.F.R. § 98.10 (2016).

Types of AI/AN CCDF Administration

AI/AN CCDF administration (traditional)

- ◆ Funding comes straight from the U.S. Department of Health and Human Services (HHS) and is funneled to AI/AN grantees through the Administration for Children and Families (ACF)
- ◆ Reporting is submitted to ACF

Public Law 102–477

- ◆ Funding comes from HHS but is funneled to AI/AN grantees through the U.S. Department of the Interior
- ◆ Funding is consolidated with other funds
- ◆ Reporting is submitted to U.S. Department of the Interior

Note: Both types are required to operate comprehensive CCDF programs under 45 C.F.R. §§ 98–99.

Public Law 102–477 (P.L. 102–477)

- ◆ Permits tribal governments to consolidate a number of federal programs, such as Tribal Temporary Assistance for Needy Families (TANF), Johnson-O'Malley (JOM), higher education, and Workforce Investment Act (WIA) programs into a single coordinated, comprehensive program
- ◆ CCDF is one of the programs that tribes may include in the operation of a P.L. 102–477 program
- ◆ The U.S. Department of the Interior (DOI) serves as the lead federal agency for all P.L. 102–477 plans
- ◆ Eligible tribal applicants may choose to streamline tribal services by submitting a consolidated plan to DOI

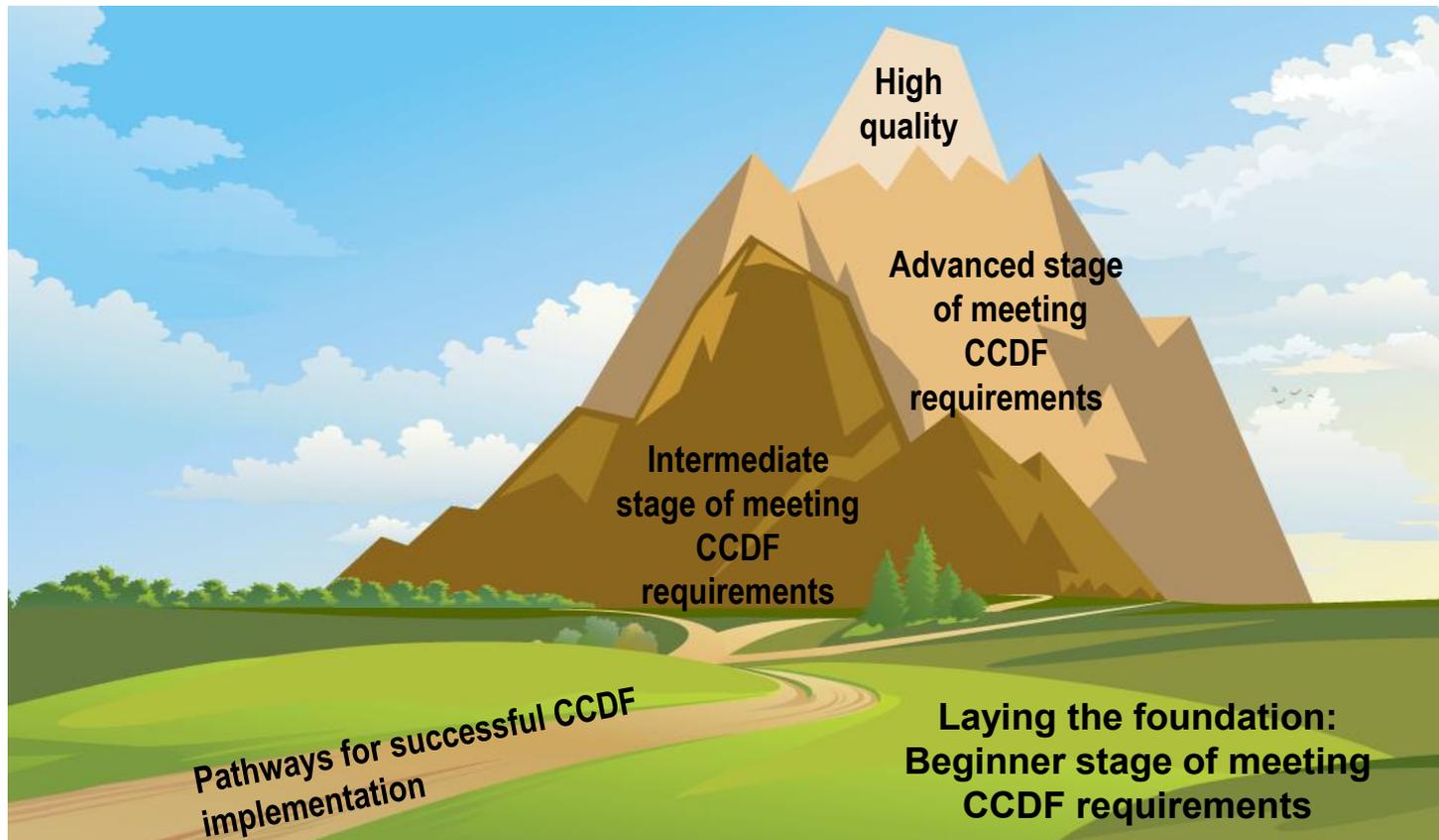
Office of Child Care, Administration for Children and Families, U.S. Department of Health and Human Services. (2015). Program instruction CCDF-ACF-PI-2016-04. Retrieved from <https://www.acf.hhs.gov/occ/resource/ccdf-acf-pi-2016-04>

Share

How is your AI/AN CCDF program structured?



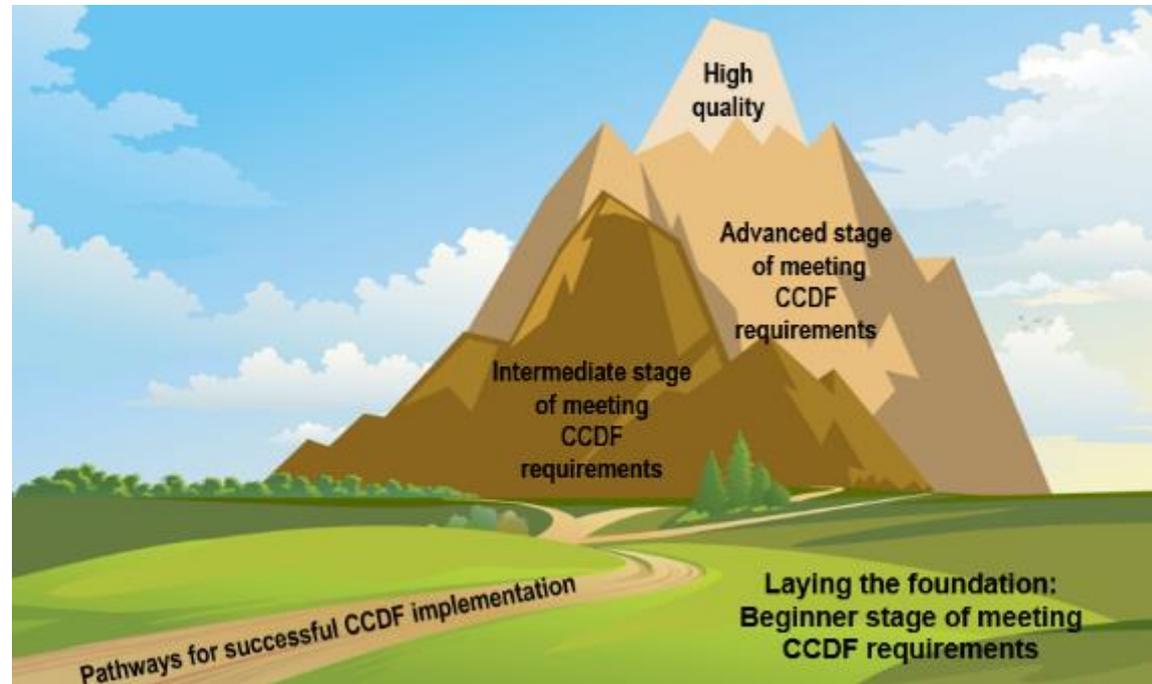
Successful Implementation of the CCDF Final Rule for Your Program, Community, and Tribe



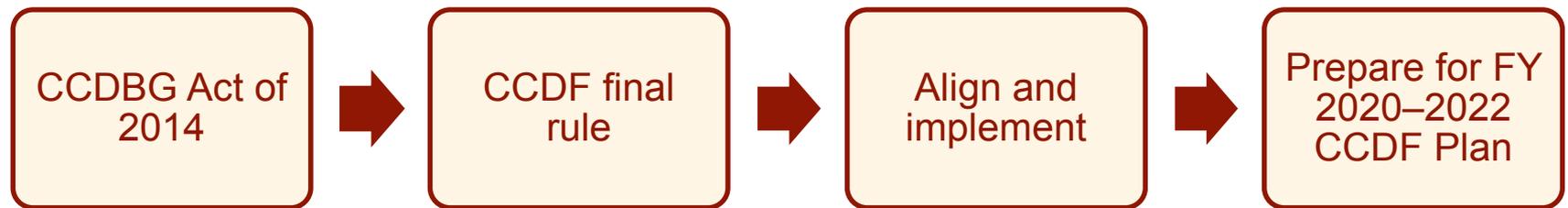
Think, Pair, Share

Which stage is your CCDF program in?

- ◆ Beginner
- ◆ Intermediate
- ◆ Advanced



Relationship between the Law, Final Rule, and CCDF Plan



Pathway to CCDF Final Rule Implementation



- ◆ Building an understanding of applicable final rule requirements
- ◆ Assessing readiness to meet the requirements
- ◆ Working to align with the requirements and preparing for 2019, when new CCDF plans are due

Current CCDF Plan

1. Define CCDF leadership and coordination with relevant systems
2. Provide stable child care financial assistance to families
3. Ensure equal access to high-quality child care for children from low-income families
4. Ensure the health and safety of children in child care settings
5. Supporting continuous quality improvement
6. Program integrity and accountability
7. Tribal CCDF funding
8. Optional abbreviated plan for tribes receiving allocations less than \$250,000



Office of Child Care, Administration for Children and Families, U.S. Department of Health and Human Services. (2016). *FY 2017–2019 tribal plan preprint* (Program instruction CCDF-ACF-PI-2016-03). Retrieved from <https://www.acf.hhs.gov/occ/resource/ccdf-acf-pi-2016-03>

Major Provisions of the CCDF Final Rule

1. Protect the health and safety of children in child care

2. Help parents make informed consumer choices

3. Enhance the quality of child care

4. Provide equal access to stable child care for low-income families

Child Care and Development Fund (CCDF) Program, 81 Fed. Reg. 67,438, 67,438–41 (Sept. 30, 2016) (codified at 45 C.F.R. pt. 98).



CCDF Final Rule for AI/AN CCDF Grantees

- ◆ **Clarifies** which provisions of the law apply to AI/AN CCDF grantees.
- ◆ Establishes **three categories** of AI/AN grantee CCDF allocations.
- ◆ Creates **tiered requirements** to provide greater **flexibility** to grantees with lower levels of funding.

Child Care and Development Fund (CCDF) Program, 81 Fed. Reg. 67,438, 67, 441 (Sept. 30, 2016) (codified at 45 C.F.R. pt. 98).



Tiered Approach to AI/AN CCDF Grantee Requirements

Requirements for AI/AN CCDF grantees are based on allocation size.

**Small
Allocation**

Less than
\$250,000

**Medium
Allocation**

\$250,000 to
\$1 million

**Large
Allocation**

More than
\$1 million

Child Care and Development Fund, 45 C.F.R. § 98.80 (2016).

Activity

What is your AI/AN CCDF program's allocation size?



Exemptions for All AI/AN CCDF Grantees

- ◆ Consumer education website
- ◆ Market rate survey or alternative methodology
- ◆ Increased access to high-quality care in concentrations of poverty
- ◆ Early learning and development guidelines
- ◆ Licensing requirements applicable to child care services
- ◆ Certification to develop the CCDF Plan in consultation with the state advisory council
- ◆ Matching funds requirements
- ◆ Identification of public or private entities designated to receive private funds
- ◆ Five percent administrative cap
- ◆ A definition of very low income
- ◆ A description of how the Lead Agency will meet the needs of certain families
- ◆ Training and professional development framework
- ◆ Quality progress report (QPR)

Child Care and Development Fund, 45 C.F.R. § 98.83 (2016).

Requirements for Small-Allocation Grantees

- ◆ Health and safety
- ◆ Monitoring (with flexibility to propose an alternate approach)
- ◆ Background checks (with flexibility to propose an alternate approach)
- ◆ Quality spending (except infant/toddler quality spending requirements)
- ◆ Eligibility definitions of Indian child and Indian reservation or service area
- ◆ Fifteen percent administrative cap
- ◆ Fiscal, audit, and reporting
- ◆ Any other requirement defined by the Secretary of Health and Human Services

Child Care and Development Fund, 45 C.F.R. § 98.83 (2016).

Compliance Date for AI/AN CCDF Grantees

Compliance is determined through review and approval of fiscal year 2020–2022 tribal CCDF Plans that become effective on October 1, 2019.

CHILD CARE AND DEVELOPMENT FUND
for
TRIBE: _____
FFY 2017-2019



This Plan describes the CCDF program to be administered by the Tribe for the period 10/1/2018 – 9/30/2019. As provided for in the applicable statute and regulations, the Tribal Lead Agency has the flexibility to modify this program at any time, including amending the options selected or described herein.

For purposes of simplicity and clarity, the specific provisions printed herein of applicable laws and regulations are sometimes paraphrases of, or excerpts and incomplete quotations from, the full text. The Tribal Lead Agency acknowledges its responsibility to adhere to them regardless of these modifications.

Public reporting burden for this collection of information is estimated to average 120 hours per response, including the time for reviewing instructions, gathering and maintaining the data needed, and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

(Form ACF 118-A; OMB Approval Number: XXXX-XXXX, expires XX/XX/XXXX)

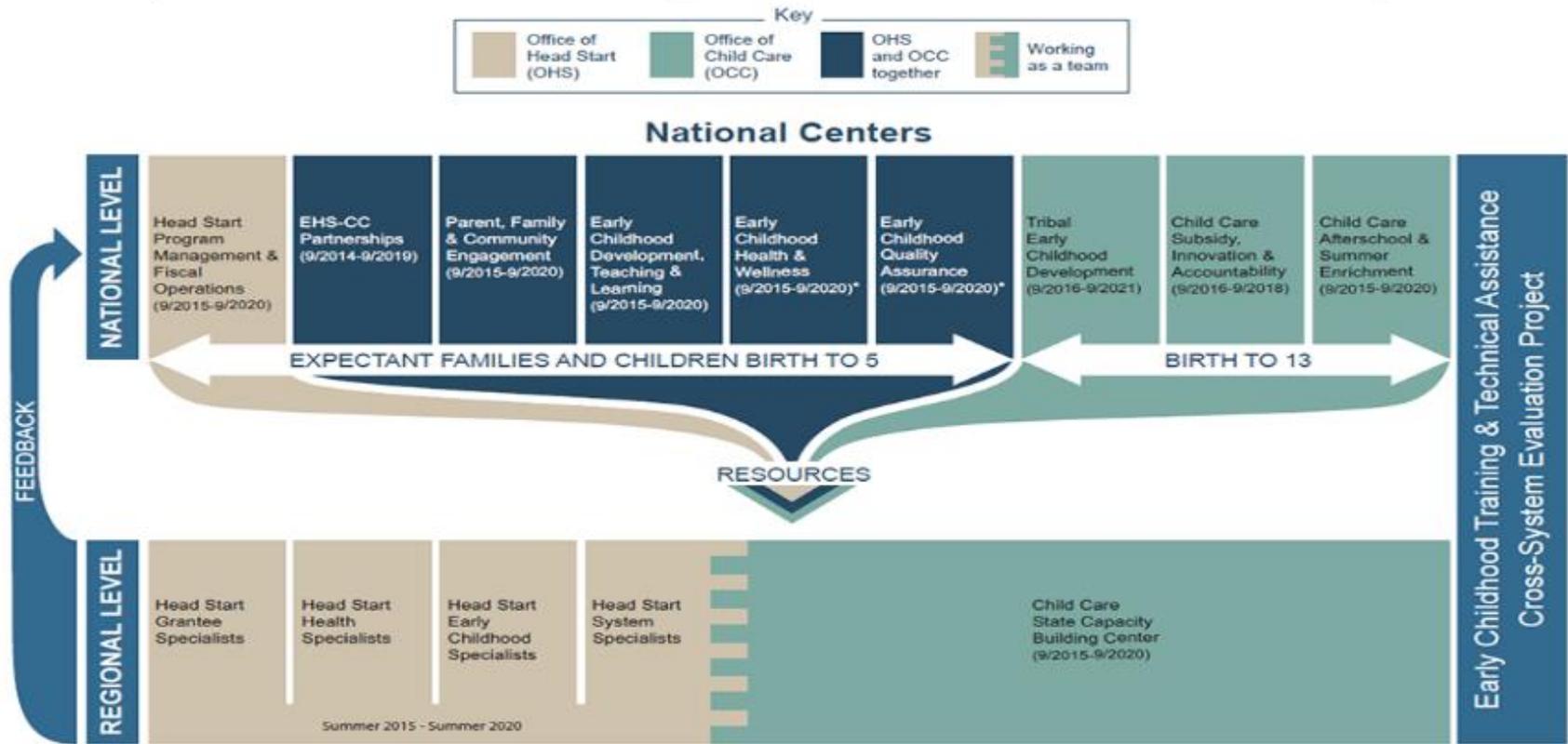
Child Care and Development Fund (CCDF) Program, 81 Fed. Reg. 67,438 (Sept. 30, 2016) (codified at 45 C.F.R. pt. 98).

Think, Pair, and Share



Resources for New Administrators

Administration for Children and Families Early Childhood Training & Technical Assistance System



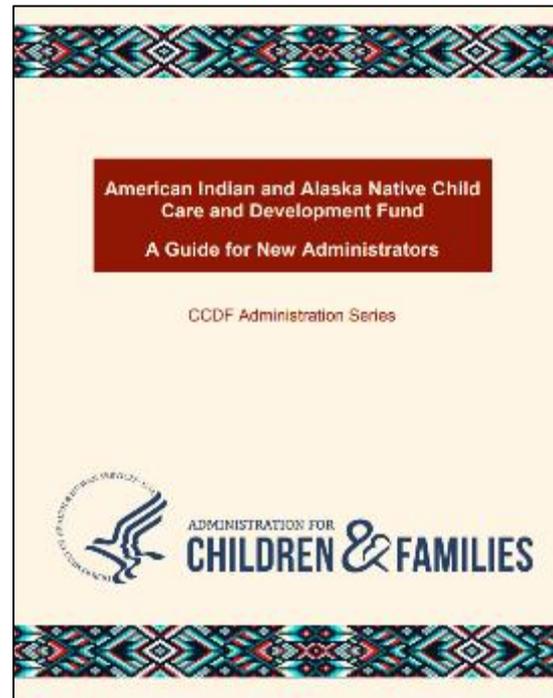


Demonstration

- ◆ Office of Child Care website:
<https://www.acf.hhs.gov/occ>
- ◆ Child Care Technical Assistance website:
<https://childcareta.acf.hhs.gov/>

Resource Spotlight

American Indian and Alaska Native Child Care and Development Fund: A Guide for New Administrators





National Center on Tribal Early Childhood Development

Next:

Introduction to the CCDF Final Rule
for New Administrators

Networking Break

