

CCDF Tribal Plan Preprint Training

FY 2020–2022 CCDF Tribal Plan Preprint

Child Care and Development Fund Tribal Plan Pretraining Webinar 2 June 10, 2019



**National Center on Tribal
Early Childhood Development**

Welcome and Introductions



Dawn Ramsburg
Director, Program Operations Division
Office of Child Care



Welcome and Introductions



Jessica Farley
TA Specialist
Region X
NCTECD



Melissa Madrid
TA Specialist
Region IX
NCTECD



Char Schank
TA Specialist
Regions VII & VIII
NCTECD



Agenda

- ◆ CCDF Tribal Plan review
- ◆ CCDF Tribal Plan training overview
- ◆ Key CCDF requirements for Tribes with medium and large allocations:
 - Flexibilities available to Tribes around providing stable child care financial assistance
 - Equal access to quality child care
 - Consumer education requirements

CCDF Tribal Plan Preprint Training

FY 2020–2022 CCDF Tribal Plan Preprint

CCDF Tribal Plan Review





The CCDF Plan

- ◆ To receive CCDF funds, Tribes must develop and submit a CCDF Plan to the Office of Child Care (OCC) **every 3 years**.
- ◆ The completed CCDF Plan is the application Tribes use to apply for their block grant funding by providing a description of, and assurances about, the Lead Agency's CCDF program and services
- ◆ The CCDF Plan serves as a planning document for Tribes and is developed in collaboration with numerous partners and stakeholders to ensure that the CCDF program addresses the needs of families, providers, and communities over the 3-year Plan period
- ◆ FY 2020-2022 CCDF Plans are the first in which Tribes are expected to be in full compliance with new requirements in the CCDBG Act of 2014 and the associated final rule

Tiered Approach to Requirements

Requirements for Tribal Lead Agencies are based on allocation size.

**Tribes with
Small
Allocations**

Less than \$250,000

**Tribes with
Medium
Allocations**

\$250,000 to \$1 million

**Tribes with
Large
Allocations**

More than \$1 million

Child Care and Development Fund, 45 C.F.R. § 98.80 (2016).

FY 2020–2022 Tribal Plan Structure

CCDF Plan Section		Small Allocations	Medium Allocations	Large Allocations
Part I	Section 1: Define CCDF Leadership and Coordination with Relevant Systems	✓	✓	✓
	Section 2: Establish Standards and Monitoring Processing to Ensure the Health and Safety of Child Care Settings	✓	✓	✓
	Section 3: Supporting Continuous Quality Improvement	✓	✓	✓
	Section 4: Tribes with Small Allocations Only – Direct Services	✓	N/A	N/A
Part II	Section 5: Provide Stable Child Care Financial Assistance to Families	N/A	✓	✓
	Section 6: Ensure Equal Access to High Quality Child Care for Low-Income Children	N/A	✓	✓
	Section 7: Promote Family Engagement through Outreach and Consumer Education	N/A	✓	✓
Part III	Appendix 1: Triennial Child Count Declaration	✓	✓	✓
	Appendix 1A: Triennial Child Count Declaration (P.L. 102-477)	✓	✓	✓
	Appendix 2: CCDF Program Assurances and Certifications	✓	✓	✓
	Appendix 3: List of Certifications	✓	✓	✓
	Appendix 4: Amendment Log	✓	✓	✓

FY 2020–2022 CCDF Tribal Plan Due Date

- ◆ Current CCDF Tribal Plans are effective from FY 2017–2019
 - October 1, 2016–September 30, 2019
- ◆ Upcoming CCDF Tribal Plans are effective from FY 2020–2022
 - October 1, 2019–September 30, 2022

**Child count and service
area deadline:
July 1, 2019**

**CCDF Tribal Plan
submission deadline:
September 6, 2019**

CCDF Tribal Plan Preprint Training

FY 2020–2022 CCDF Tribal Plan Preprint

CCDF Tribal Plan Preprint Training Overview





Training Vision

- ◆ The CCDF Tribal Plan Preprint trainings will bring together tribes, Office of Child Care staff, and technical assistance providers to prepare tribes to submit their fiscal year (FY) 2020–2022 CCDF Tribal Plans, which serve as their application for CCDF funding.



Training Goals

- ◆ To review the FY 2020–2022 CCDF Tribal Plan Preprint, which is the first preprint that reflects both the Child Care and Development Block Grant Act of 2014 and the CCDF final rule
- ◆ To help participants develop their tribe’s FY 2020–2022 CCDF Tribal Plan as an opportunity to describe how they deliver CCDF program services and activities to meet the needs of the children and families they serve
- ◆ To provide an opportunity for tribes to interact with their peers and share ideas, strategies, culturally responsive practices, and lessons learned

CCDF Tribal Plan Preprint Training

FY 2020–2022 CCDF Tribal Plan Preprint

Provide Equal
Access to Stable
Child Care for Low-
Income Families



CCDF Tribal Plan Part 2, Section 5

Applicable to Tribes with Medium and Large Allocations

Stable Financial Assistance

M

L

- ◆ Flexibility around eligibility criteria
- ◆ Continuity of care
- ◆ Graduated phase-out
- ◆ Prioritization for children with special needs and those experiencing homelessness
- ◆ Supply-building strategies
- ◆ Affordable copayments



Two Eligibility Options

M

L

**Standard
Eligibility**

**Categorical
Eligibility**

Standard Eligibility

Standard
Eligibility

M

L

Tribes must determine eligibility for services based on the following criteria:

- ◆ Age
- ◆ Family income
- ◆ Family employment, job training, or education program status (or protective services)
- ◆ Family asset size

Child Care and Development Fund, 45 C.F.R. §§ 98.20(a), 98.81(b) (2016).

Categorical Eligibility

Categorical
Eligibility

M

L

- ◆ Provides additional Tribal flexibility to consider any Indian child in the Tribe's service area eligible to receive CCDF services, regardless of the family's income, work, or training status if
 - the Tribe's Median Income (TMI) is below 85 percent of the State Median Income (SMI)

Child Care and Development Fund, 45 C.F.R. § 98.81(b) (2016).

Example: Comparing State Median Income with Tribal Median Income for the Purposes of Implementing Categorical Eligibility

Family Size	100% of State Median Income	85% of State Median Income	Tribe 1 100% of Tribal Median Income	Tribe 2 100% of Tribal Median Income
	\$/month	\$/month	\$/month	\$/month
4	\$5,000	\$4,250	\$4,000	\$4,500

	↑	↑
	Less than 85% of State Median Income	More than 85% of State Median Income
	CAN use categorical eligibility	CANNOT use categorical eligibility

Questions?



Poll

M

L

Which eligibility option will your Tribal Lead Agency choose?

- ◆ Standard eligibility
- ◆ Categorical eligibility
- ◆ Not sure yet

CCDF Family Income Eligibility Limits

Standard
Eligibility

M

L

State median income (SMI):

The median income for a family of the same size residing in the state where the Tribe is located

Tribal median income (TMI):

The median income for a family of the same size residing in the area served by the Tribal Lead Agency

Grantee median income (GMI):

The median income that the Tribal Lead Agency uses to determine eligibility, which can be either the SMI or the TMI

Child Care and Development Fund, 45 C.F.R. § 98.81(b) (2016).

Poll

Standard
Eligibility

M

L

What source will you use to set your family income eligibility limits?

Graduated Phase-Out

Standard
Eligibility

M

L

- ◆ Tribal Lead Agencies that establish initial family income eligibility below 85 percent of GMI must provide a graduated phase-out of assistance for certain families participating in CCDF.
- ◆ Graduated phase-out applies to families who, at the time of eligibility redetermination, meet all non-income related eligibility criteria and whose income is above the initial income eligibility limit, but still at or below 85 percent of GMI.

Child Care and Development Fund, 45 C.F.R. § 98.21(b) (2016).

Income Eligibility Levels and Graduated Phase-Out (continued)

Standard
Eligibility

M

L

Tribal Lead Agencies that establish initial family income eligibility levels below 85 percent of GMI must provide graduated phase-out by implementing two-tier eligibility thresholds, with the second tier at one of the following levels:

- ◆ 85 percent of GMI; or
- ◆ An amount lower than 85 percent of GMI for a family of the same size, but above the initial eligibility threshold

Child Care and Development Fund, 45 C.F.R. § 98.21(b) (2016).

Income Eligibility Levels

Standard Eligibility

M

L

Table 5.1.5(c) : Tribal CCDF Income Eligibility Levels

	(a)	(b)	(c)	(d)	(e)	(f)
Family Size	100% of Grantee Median Income (GMI)	85% of GMI	(IF APPLICABLE) Maximum Income Level if lower than 85% Current GMI		(IF APPLICABLE) Maximum Phase-Out/Maximum “Exit” Income Level (cannot exceed 85% GMI)	
	\$/month	\$/month [Multiply (a) by 0.85]	\$/month	% of GMI [Divide (c) by (a), multiply by 100]	\$/month	% of GMI [Divide (e) by (a), multiply by 100]
1*						
2						
3						
4						
5						
6						
7						
8						

**Note: On a case-by-case basis, Tribal Lead Agencies may consider a child in foster care to be a “family of one” for purposes of determining CCDF income eligibility.*

Tribal Lead Agencies that set income eligibility limits below 85 percent of GMI must implement graduated phase-out and complete columns (c)–(f).

Example of CCDF Income Eligibility Levels if Tribal Lead Agency Chooses to Set Income Eligibility Limits below 85 Percent of GMI

Table 5.1.5(c) : Tribal CCDF Income Eligibility Levels

	(a)	(b)	(c)	(d)	(e)	(f)
Family Size	100% of Grantee Median Income (GMI)	85% of GMI	(IF APPLICABLE) Maximum Income Level if lower than 85% Current GMI		(IF APPLICABLE) Maximum Phase-Out/Maximum "Exit" Income Level (cannot exceed 85% GMI)	
	\$/month	\$/month [Multiply (a) by 0.85]	\$/month	% of GMI [Divide (c) by (a), multiply by 100]	\$/month	% of GMI [Divide (e) by (a), multiply by 100]
1*	3,006	2,555	1,503	50%	2,555	85%
2	3,930	3,341	1,965	50%	3,341	85%
3	4,855	4,127	2,428	50%	4,127	85%
4	5,780	4,913	2,890	50%	4,913	85%
5	6,705	5,699	3,353	50%	5,699	85%
6	7,630	6,486	3,815	50%	6,486	85%
7	7,803	6,633	3,902	50%	6,633	85%
8	7,977	6,780	3,989	50%	6,780	85%

**Note: On a case-by-case basis, Tribal Lead Agencies may consider a child in foster care to be a "family of one" for purposes of determining CCDF income eligibility.*

Poll

Standard
Eligibility

M

L

Will your Tribal Lead Agency set initial income thresholds at 85% of Grantee Median Income, or use graduated phase-out?

Policies and Procedures for Minimum 12-Month Eligibility Period

M

L

12-month eligibility applies to **all** eligibility and redetermination periods, regardless of the following:

- ◆ Changes in income, as long as income does not exceed 85 percent of GMI
- ◆ Temporary changes in work, education, or job training

Tribal Lead Agencies are prohibited from allowing shorter eligibility periods within the minimum 12-month eligibility period.

Child Care and Development Fund, 45 C.F.R. § 98.21(a) (2016).

Temporary Changes

M

L

Temporary changes must include the following:

- ◆ Time-limited absences from work or school
- ◆ Interruption in work for a seasonal worker
- ◆ Student holidays or breaks for a parent in training or education
- ◆ Reductions in work, education, or training hours (as long as the parent is still working or attending a training or educational program)
- ◆ Other changes to work, education, or job training status that last less than 3 months (or longer if established by the Tribal Lead Agency)
- ◆ Change in residency within the Tribal service area
- ◆ A child turning 13 years old during the 12-month eligibility period

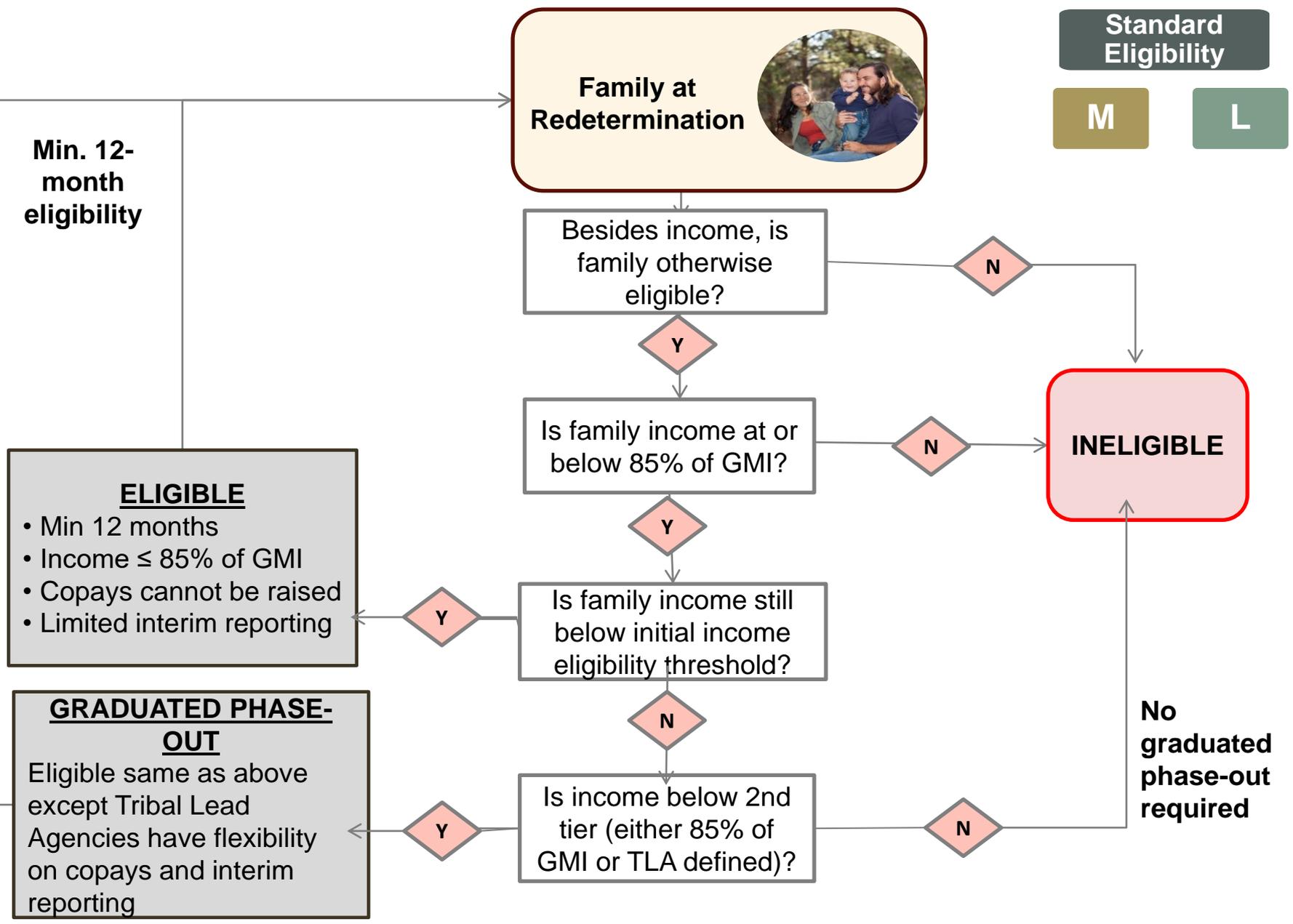
Child Care and Development Fund, 45 C.F.R. § 98.21(a) (2016).

Sharing

M

L

In times of transition, Tribal Lead Agencies are required to provide continuity of care for a minimum of three months. How long will your Tribal Lead Agency continue to provide assistance?



Questions?



Family Contribution to Payments

Tribal Lead Agencies that have eligibility criteria based on income must establish a sliding fee scale that varies based on

- ◆ family income, and
- ◆ size of family.

Though Tribal Lead Agencies must establish sliding fee scales based on family income and family size, they have flexibility to consider other factors. Any additional factors must be described in their CCDF Plan.

Sliding fee scales may not be based on the cost of care or amount of subsidy payment.

Child Care and Development Fund, 45 C.F.R. § 98.45(k) (2016).

Sliding Fee Scale Information

M

L

Tribal Lead Agencies must do the following:

- a) Provide a copy of their sliding fee scale
- b) Provide the effective date of the sliding fee scale
- c) Indicate whether the sliding fee scale is used throughout the service area and attach any other sliding fee scales that are used

Sharing

M

L

Will your Tribal Lead Agency be updating your current sliding fee scale, or start new with new criteria?

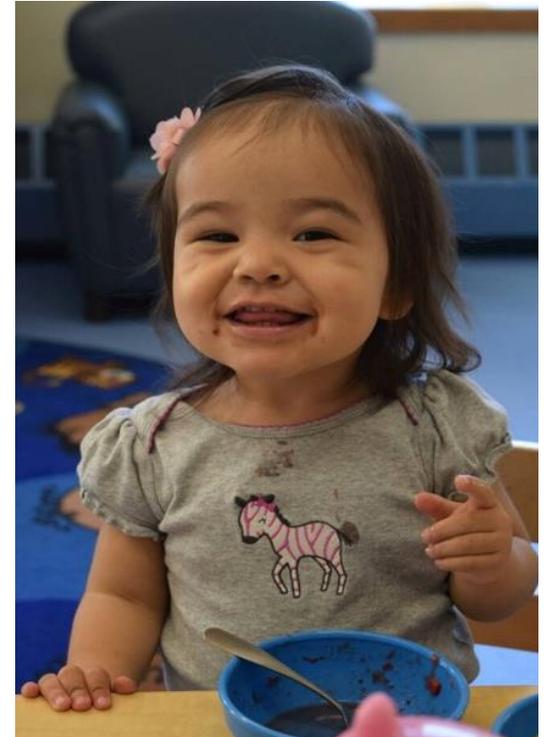
Do you have a copy of your current scale in an editable format that you can bring to the training?

Affordable Copayments

M

L

- ◆ Are a family's contribution to the cost of care.
- ◆ Should not be barriers to families receiving assistance.
- ◆ May not be based on the cost of care or subsidy payment.



Child Care and Development Fund, 45 C.F.R. § 98.45(k) (2016).

Waiving Copayments

M

L

Copayments can be waived in the following circumstances:

- ◆ For families at or below the federal poverty level
- ◆ On a case-by-case basis for families receiving or at risk of receiving protective services
- ◆ For families that meet other criteria, as described in the Plan, established by the Tribal Lead Agency

Child Care and Development Fund, 45 C.F.R. § 98.45(k) (2016).

Poll

M

L

Will your Tribal Lead Agency waive co-payments for certain families?

CCDF Tribal Plan Preprint Training

FY 2020–2022 CCDF Tribal Plan Preprint

Ensure Equal Access
to High Quality Child
Care for Low-Income
Children



CCDF Tribal Plan Part 2, Section 6

Applicable to Tribes with Medium and Large Allocations

Direct Child Care Services

M

L

Category of Care vs. Funding Mechanism

- ◆ Center-based child care
- ◆ Family child care
- ◆ In-home child care
- ◆ Child care certificate
- ◆ Grants and contracts
- ◆ Tribally operated center

Child Care and Development Fund, 45 C.F.R. § 98.2 (2016).

Categories of Care

The CCDF final rule established three categories of care:

- 1. Center-based child care:** A provider who is licensed or authorized to provide care for fewer than 24 hours per day in a nonresidential setting.
- 2. Family child care:** One or more individuals caring for children in a private residence that is not the children's residence.
- 3. In-home child care:** An individual who provides child care services in the child's own home.

Tribes with large allocations are required to offer all three categories of care.

Child Care and Development Fund, 45 C.F.R. § 98.2 (2016).



Poll

M

L

What categories of care will be available within your service area?

Funding for Direct Child Care Services

M

L

- ◆ Direct services can be provided through the following:
 - **Child care certificate:** A certificate that a Tribal Lead Agency issues directly to a parent who may use the certificate only as payment for child care services or as a deposit for child care services.
 - **Grants and contracts:** A Tribal Lead Agency chooses to contract with, or provide grants to, eligible providers to make child care slots available to families who participate in the Tribe's child care assistance program.
 - **Tribally operated center:** A child care center operated by the Tribal Lead Agency.

Tribes with large allocations are required to operate a certificate program.

Poll

M

L

- ◆ How will your Tribal Lead Agency fund its direct child care services?

Assessing Child Care Market Rates

M

L

- ◆ Tribal Lead Agencies are exempt from the requirement to conduct a market rate survey or alternative methodology.
- ◆ Tribal Lead Agencies are required to set sufficient base payment rates to provide equal access to the full range of child care services, and set rates that cover the costs of providing higher quality care.
- ◆ Tribes with large allocations must operate certificate programs and are required to show how payment rates are adequate.

Child Care and Development Fund, 45 C.F.R. § 98.45(a), 98.83(d)(1)(iv) (2016).

Poll

M

L

- ◆ What data source will your Tribal Lead Agency use to assess the cost of care?

Questions?



CCDF Tribal Plan Preprint Training

FY 2020–2022 CCDF Tribal Plan Preprint

Promote Family
Engagement through
Outreach and
Consumer Education



CCDF Tribal Plan Part 2, Section 7

Applicable to Tribes with Medium and Large Allocations

Consumer Education

M

L

- ◆ Tribal Lead Agencies with medium and large allocations are required to support parents in their role as child care consumers.
- ◆ Providing this information will “help parents make informed choices about child care services and promote involvement by parents and family members in the development of their children in child care settings.”

Child Care and Development Fund, 45 C.F.R. § 98.1(a)(3) (2016).

Consumer Education Overview

M

L

- ◆ Parental Complaint Process
- ◆ Consumer Education for Parents, Providers, and the Public
- ◆ Additional Consumer and Provider Education
- ◆ Procedures for Providing Information on Developmental Screenings
- ◆ Consumer Statement for Parents Receiving CCDF Funds

Consumer Education Information

M

L

- a) How information is consumer friendly and easily accessible
- b) Process for monitoring and inspections
- c) Policies and procedures for criminal background checks
- d) List of licensed providers, with option to list all CCDF-eligible providers (with all providers identified as licensed or license exempt)
- e) Additional providers included on list of all licensed providers
- f) Quality information about each child care provider if available
- g) Providers that have information available

Sharing

M

L

- ◆ How will you make the required consumer education information available to parents, providers, and the public?

Questions?



CCDF Tribal Plan Preprint Training

FY 2020–2022 CCDF Tribal Plan Preprint

Resources, Tools,
and Training
Opportunities



CCDF Plan Preprint Trainings: Documents to Bring

- ◆ Draft 2020–2022 CCDF Tribal Plan
- ◆ Current approved 2017–2019 CCDF Tribal Plan for reference
- ◆ Current CCDF program policies and procedures
- ◆ Current health and safety standards
- ◆ Completed or draft child count and Indian reservation or service area declaration form
- ◆ Current provider payment rates
- ◆ Current sliding fee scale
- ◆ Data source for calculating Grantee Median Income
- ◆ Current CCDF program forms and tracking tools

Note: Please bring a laptop computer or other device with access to the Adobe Acrobat Reader software (which can be downloaded for free) and Microsoft Word (participants without laptops/devices will be provided either paper or electronic copies of the meeting materials).

Checklist: Getting Ready for Your CCDF Plan Submission

- ◆ Checklist outlines tasks related to the CCDF Plan
- ◆ Resources are provided to support planning

The screenshot shows a document titled "CCDF Plan Checklist" from the National Center on Tribal Early Childhood Development. It includes a checklist of tasks for preparing a CCDF Plan, such as gathering information, reviewing reports, and planning the submission process. The document also includes tips and a date of January 2019.

CCDF Plan Checklist National Center on Tribal Early Childhood Development

Checklist

The key tasks outlined in this checklist are suggestions provided by ANRN CCDF grantees as support for the development of Child Care and Development Fund (CCDF) Plans. All policy and compliance questions should be directed to the OCC Regional office.

Gather Information

Compile resources and data that will help understand the current state of program operations, CCDF final rule requirements, and the Tribe's priorities and goals.

- Obtain a copy of your current CCDF Plan
- Locate your CCDF policies and procedures
- Obtain a copy of the CCDF final rule and gather related resources
- Access your CCDF budget and expenditure reports
- Access your ACF-730 Report from recent years
- Confirm your allocation size for the FY2020-2022 CCDF Plan Cycle:
 - Tip: Allocation size is based on FY2018 funding amount. Small allocations are less than \$250,000, medium allocations are between \$250,000 and \$1 million, and large allocations are more than \$1 million. CCDF Allocation information is available on the Office of Child Care website: <https://www.acf.hhs.gov/programs/earlychildhood/funding/allocations>
- Obtain information about the Tribe's priorities (e.g., Tribal strategic plan, annual report, etc.)

Plan and Schedule

Consider the individuals that need to be involved, identify key milestones, and develop a timeline help support a smooth plan development process.

- Convene an internal working group to provide input and support the development of the CCDF Plan
 - Tip: An internal working group may include, but are not limited to: CCDF Lead Agency staff, Tribal administration representatives, fiscal department staff, tribally-operated center directors, providers, Head Start/Early Head Start policy council members, and family resource coordinators.
- Confirm deadlines for required tribal approvals of final CCDF Plan
 - Tip: The CCDF Plan must include a signed cover letter from Tribal leadership.

January 2019 2

Available on the NCTECD website at <https://childcareta.acf.hhs.gov/resource/checklist-getting-ready-your-child-care-and-development-fund-plan-submission>

CCDF Final Rule Overview

- ◆ Provides an overview of CCDF final rule requirements by allocation size


National Center on Tribal Early Childhood Development

CCDF Final Rule Overview

The table below provides an overview of CCDF final rule requirements that apply to each allocation size. This list is meant to illustrate the major provisions of the CCDF final rule and is not exhaustive. Please reference the full regulations in the U.S. Code of Federal Regulations at [45 C.F.R. Part 98 and 99](#).

CCDF Final Rule Requirements		Small Allocations	Medium Allocations	Large Allocations
CCDF Leadership and Coordination	Spend funds in alignment with CCDF purposes and goals [45 C.F.R. § 98.1]	✓	✓	✓
	Coordinate the development of the CCDF Plan and provision of services with other Tribal, federal, state, and/or local child care and early childhood development programs [45 C.F.R. § 98.82]	✓	✓	✓
	Cannot exceed 15 percent administrative spending cap [45 C.F.R. § 98.83(i)]	✓	✓	✓
	Meet fiscal, audit, and reporting requirements that are applicable to Tribes [45 C.F.R. § 98.60 - § 98.71]	✓	✓	✓
	Comply with construction and renovation requirements, if applicable [45 C.F.R. § 98.84]	✓	✓	✓
Health and Safety	Establish health and safety standards for 11 required topics [45 C.F.R. § 98.41(a)]	✓	✓	✓
	Establish standards for group-size limits, the ratio between the number of children and the number of caregivers, and required qualifications for caregivers in child care settings [45 C.F.R. § 98.41(d)]	✓	✓	✓
	Conduct preservice/orientation training and ongoing training on required health and safety topics [45 C.F.R. § 98.44(b)(1), 98.44(b)(2)]	✓	✓	✓
	Monitor CCDF providers, with flexibility to propose an alternative monitoring strategy [45 C.F.R. § 98.83(d)(2)]	✓	✓	✓
	Conduct comprehensive criminal background checks for all CCDF providers, with flexibility to propose an alternative approach [45 C.F.R. § 98.83(d)(3)]	✓	✓	✓
	Certify that caregivers, teachers, and directors comply with child abuse reporting requirements [45 C.F.R. § 98.42(e)]	✓	✓	✓
	Have licensing requirements applicable to child care services [45 C.F.R. § 98.83(d)(1)(ii)]	Exempt	Exempt	Exempt
Quality Improvement	Spend a minimum portion of expenditures on quality improvement activities (7 percent in FY 2019, 8 percent in FY 2020 and FY 2021, 9 percent in FY 2022 and ongoing) [45 C.F.R. § 98.83(g)(1)]	✓	✓	✓
	Spend an additional 3 percent of expenditures on quality improvement activities for infants and toddlers, beginning in FY 2019 [45 C.F.R. § 98.83(g)(2)]	Exempt	✓	✓
	Have a training and professional development framework [45 C.F.R. § 98.83(d)(1)(iii)]	Exempt	Exempt	Exempt
	Complete the Quality Progress Report [45 C.F.R. § 98.83(d)(1)(viii)]	Exempt	Exempt	Exempt
	Maintain or implement early learning and developmental guidelines [45 C.F.R. § 98.81(b)(6)(i)]	Exempt	Exempt	Exempt

Available on the NCTEED website at:

<https://childcareta.acf.hhs.gov/resource/ccdf-final-rule-overview-american-indian-and-alaska-native-grantees>

Roadmap to Reauthorization Self-Assessment Tool

Roadmap to Reauthorization Self-Assessment Tool

National Center on Tribal Early Childhood Development
Early Childhood National Centers

Roadmap to Reauthorization Self-Assessment Tool for Medium and Large Allocation AI/AN CCDF Grantees

Overview

This tool was designed to provide American Indian and Alaska Native (AI/AN) Child Care and Development Fund (CCDF) grantees with medium allocations (those receiving between \$250,000 and \$1 million in CCDF Funds) and large allocations (those receiving more than \$1 million in CCDF Funds) with a way to assess their readiness to meet the requirements of the CCDF final rule and create implementation plans based on their assessments.

Background

CCDF Reauthorization and CCDF Final Rule

In November 2014, the Child Care and Development Block Grant (CCDBG) Act of 2014 was signed into law. The CCDBG Act, or "CCDF Reauthorization," reauthorized the CCDF program and introduced legal changes to strengthen the health, safety, and quality of child care and provide more stable child care assistance to families. Following the enactment of the CCDBG Act of 2014, the Administration for Children and Families (ACF) held a series of tribal consultations and published a Notice of Proposed Rule Making (NPRM) to gather input regarding new CCDF regulations and their implications for AI/AN CCDF grantees and the children and families that they serve. In 2016, the CCDF final rule was published, which outlines regulations for implementing the CCDBG Act of 2014 and administering the CCDF program. The regulations in the final rule fall into four major categories:

- Protect the health and safety of children in child care;
- Help parents make informed consumer choices;
- Provide equal access to stable child care for low-income children; and
- Enhance the quality of child care.

CCDF Final Rule for AI/AN CCDF Grantees

Table 1. Allocation Sizes for AI/AN CCDF Grantees

Allocation Size	Funding Amount
Small Allocation	Less than \$250,000
Medium Allocation	Between \$250,000 and \$1 million
Large Allocation	More than \$1 million

Compliance Date

For Tribal Lead Agencies, ACF will determine compliance with the CCDF final rule through review and approval of the FY 2020—2022 Tribal CCDF plans that become effective October 1, 2019.

About this Tool

This tool was designed to provide AI/AN CCDF grantees with medium and large allocations with a way to assess and track their readiness to meet the requirements of the CCDF final rule. It also includes an implementation planning form for grantees to develop plans to meet the requirements, including next steps, timelines, resources, and technical assistance requests.

This tool is divided into four sections, outlining the four major sections of the CCDF final rule:

1. [Protect the health and safety of children in child care;](#)
2. [Help parents make informed consumer choices](#)
3. [Provide equal access to stable child care for low-income children;](#) and
4. [Enhance the quality of child care.](#)

Available on the NCTECD website at <https://childcareta.acf.hhs.gov/resource/roadmap-reauthorization-self-assessment-and-implementation-planning-tool>

Policies and Procedures Checklist for CCDF Tribal Lead Agencies

Developed to support Tribal Lead Agencies in assessing the comprehensiveness of their written policies and procedures in relationship to the CCDF Final Rule requirements


 National Center on Tribal Early Childhood Development

Policies and Procedures Checklist

Checklist 2: Establish Standards and Monitoring Processes to Ensure the Health and Safety of Child Care Settings

Table 1. Implementation of Health and Safety Standards

Topic	Needs P&Ps	P&Ps Need Revision	Included in Existing P&Ps	P&Ps Need a Form	N/A	Notes
P&Ps regarding prevention and control of infectious diseases (including the process for ensuring age-appropriate immunizations). For more information, see 45 C.F.R. § 98.41(a).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
P&Ps specifying if the TLA allows immunization exemptions for children who are cared for by relatives or children who receive care in their own homes (provided there are no other unrelated children who are cared for in the same setting), children whose parents object to immunization on religious grounds, and children whose medical condition prevents immunization (if applicable). For more information, see 45 C.F.R. § 98.41(b).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
P&Ps regarding a grace period that allows children experiencing homelessness and children in foster care to receive CCDF services while providing their families with time to take any necessary action to comply with immunization and other health and safety requirements. For more information, see 45 C.F.R. § 98.41(c).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
P&Ps regarding prevention of sudden infant death syndrome and the use of safe sleep practices. For more information, see 45 C.F.R. § 98.41(d).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

August 2018 9

Available on the NCTEED website at <https://childcareta.acf.hhs.gov/resource/policies-and-procedures-checklist-child-care-and-development-fund-tribal-lead-agencies>

Contact Information: Regional Program Managers

- ◆ Region I: Shireen Riley
shireen.riley@acf.hhs.gov
- ◆ Region II: Magdamari Marcano
Magdamari.Marcano@acf.hhs.gov
- ◆ Region III: Beverly Wellons
beverly.wellons@acf.hhs.gov
- ◆ Region IV: Eric R. Blanchette
eric.blanchette@acf.hhs.gov
- ◆ Region V: Gena Miller
gena.miller@acf.hhs.gov
- ◆ Region VI: Gwendolyn Jones
gwendolyn.jones@acf.hhs.gov
- ◆ Region VII: Doris Hallford
doris.hallford@acf.hhs.gov
- ◆ Region VIII: Karen Knoll-Moran
karen.knollmoran@acf.hhs.gov
- ◆ Region IX: Abby Cohen
abby.cohen@acf.hhs.gov
- ◆ Region X: Paul Noski
paul.noski@acf.hhs.gov

Contact Information: NCTEED

- ◆ Region I: Barbara Buckshot-Jock barbara.buckshot-jock@icf.com
- ◆ Region II, IV, and V: Chris Woodring christopher.woodring@icf.com
- ◆ Region VI: Dee Fragua delores.fragua@icf.com
- ◆ Region VII and VIII: Char Schank charlene.schank@icf.com
- ◆ Region IX: Melissa Madrid melissa.madrid@icf.com
- ◆ Region X: Jessica Farley jessica.farley@icf.com



We appreciate your feedback!

https://www.surveymonkey.com/r/pretraining_webinar2

CCDF Tribal Plan Preprint Training

FY 2020–2022 CCDF Tribal Plan Preprint



Thank You



CCDF Tribal Plan Preprint Training

FY 2020–2022 CCDF Tribal Plan Preprint

**National Center on Tribal Early Childhood Development,
A Service of the Office of Child Care**

9300 Lee Highway
Fairfax, VA 22031
Phone: 877-296-2401

Subscribe to Updates

http://www.occ-cmc.org/occannouncements_sign-up/



ADMINISTRATION FOR
CHILDREN & FAMILIES