

CCDF Tribal Plan Preprint Training

FY 2020–2022 CCDF Tribal Plan Preprint

Introduction to the FY 2020–2022 CCDF Tribal Plan Preprint



**National Center on Tribal
Early Childhood Development**

Opening Prayer



Welcome

- ◆ Introductions
- ◆ Objectives
- ◆ Agenda
- ◆ Background
- ◆ Introduction to the Fiscal Year (FY) 2020–2022 Child Care and Development Fund (CCDF) Tribal Plan Preprint
- ◆ Writing Tips



Introductions



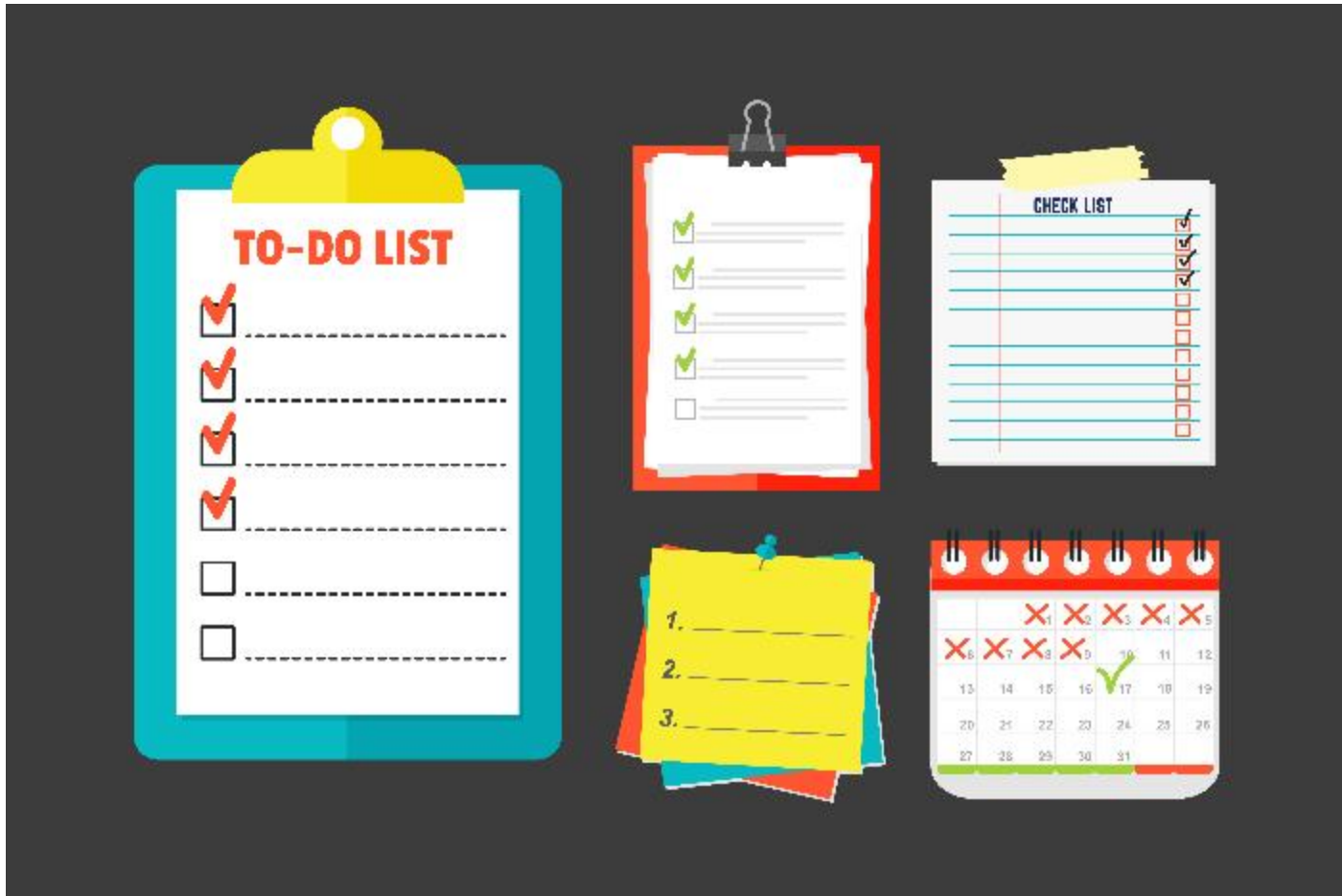


Training Objectives

Participants will do the following:

- ◆ Engage in training presentations, discussion groups, and peer-to-peer exchanges to inform the development of 260 FY 2020–2022 CCDF Tribal Plans, representing over 500 Federally recognized Tribes, either directly or through Tribal consortia
- ◆ Receive information and resources about the FY 2020–2022 CCDF Plan Preprint, CCDF final rule requirements, and effective use of CCDF funds
- ◆ Work on their Tribal Lead Agency’s draft CCDF Tribal Plan with support from Office of Child Care and the National Center on Tribal Early Childhood Development
- ◆ Identify areas of strength, areas for improvement, and next steps to ensure that their Tribal Lead Agency’s services continue to meet the needs of the children and families they serve

Training Agenda



CCDF Tribal Plan Preprint Training

FY 2020–2022 CCDF Tribal Plan Preprint

Background



Relationship between the Law, Final Rule, and CCDF Plan





Dual Goals

- ◆ The Child Care and Development Block Grant (CCDBG) Act of 2014 re-envisioned the CCDF program through two goals:
 1. To support family self-sufficiency
 2. To foster healthy child development

Child Care and Development Fund, 45 C.F.R. § 98.83 (2016).

Paradigm Shift



- ◆ What do you see?
- ◆ By shifting perspective you might see an old woman or a young woman.

Major Provisions of the CCDF Final Rule

1. Protect the health and safety of children in child care

2. Help parents make informed consumer choices

3. Enhance the quality of child care

4. Provide equal access to stable child care for low-income families

Child Care and Development Fund (CCDF) Program, 81 Fed. Reg. 67,438, 67,438–41 (Sept. 30, 2016) (codified at 45 C.F.R. pt. 98).

Compliance Date

- ◆ Compliance deadline – October 1, 2019 (CCDF Plan effective date)
- ◆ Compliance with CCDF Final Rule will be determined through review and approval of FY2020 -2022 CCDF Plans
- ◆ For Tribes with current P.L. 102-477 Plans, compliance with the CCDF Final Rule will be determined based on the Tribe's next P.L. 102-477 Plan submission with an effective date of October 1, 2019 or later.



Child Care and Development Fund (CCDF) Program, 81 Fed. Reg. 67,438 (Sept. 30, 2016) (codified at 45 C.F.R. pt. 98).

Tiered Approach to Requirements

Requirements for Tribes are based on allocation size.

**Tribes with
Small
Allocations**

Less than \$250,000

**Tribes with
Medium
Allocations**

\$250,000 to \$1
million

**Tribes with
Large
Allocations**

More than \$1 million

Child Care and Development Fund, 45 C.F.R. § 98.80 (2016).

What Is Your CCDF Allocation Size?

- ◆ OCC will use the FY 2016 allocation amounts to determine whether a Tribe will be required to meet CCDF requirements applicable to Tribes with small allocation, Tribes with medium allocation, or Tribes with large allocation.
- ◆ This designation will last for the 3-year FY2020-2022 Plan cycle.

Office of Child Care, Administration for Children and Families, U.S. Department of Health and Human Services. (2018). *Increase in Child Care and Development Fund (CCDF) discretionary funds in the Consolidated Appropriations Act of 2018 (Public law 115-141)*. (Information memorandum: CCDF-ACF-IM-2018-03). Retrieved from https://www.acf.hhs.gov/sites/default/files/occ/ccdf_acf_im_2018_03.pdf

Tiered Requirements at a Glance

Small Allocation

- Exempt from the majority of CCDF requirements, but subject to some requirements
- Must spend funds in alignment with CCDF purposes and goals
- Submit an abbreviated CCDF Plan

Medium Allocation

- Subject to the majority of CCDF requirements
- Allowed the same exemptions as Tribes with large allocations
- Also exempt from the requirement to operate a certificate program

Large Allocation

- Subject to the majority of CCDF requirements
- Allowed some exemptions

For more information on CCDF final rule requirements, please see: [*CCDF Final Rule: Overview for American Indian and Alaska Native Grantees*](#)

Child Care and Development Fund, 45 C.F.R. § 98.83 (2016).

Exemptions for All Tribal Lead Agencies

- ◆ Consumer education website
- ◆ Market rate survey or alternative methodology
- ◆ Increased access to high-quality care in concentrations of poverty
- ◆ Early learning and developmental guidelines
- ◆ Licensing requirements applicable to child care services
- ◆ Certification to develop the CCDF Plan in consultation with the state advisory council
- ◆ Matching funds requirements
- ◆ Identification of public or private entities designated to receive private funds
- ◆ Five percent administrative cap
- ◆ A definition of very low income
- ◆ A description of how the Lead Agency will meet the needs of certain families
- ◆ Training and professional development framework
- ◆ Quality progress report (QPR)

Child Care and Development Fund, 45 C.F.R. § 98.83 (2016).



Requirements for Tribes with Small Allocations

- ◆ Health and safety
- ◆ Monitoring (with flexibility to propose an alternate approach)
- ◆ Background checks (with flexibility to propose an alternate approach)
- ◆ Quality spending (except infant/toddler quality spending requirements)
- ◆ Eligibility definitions of Indian child and Indian reservation/service area
- ◆ Fifteen percent administrative cap
- ◆ Fiscal, audit, and reporting
- ◆ Any other requirement defined by the Secretary of Health and Human Services

Child Care and Development Fund, 45 C.F.R. § 98.83 (2016).

P.L. 102-477 Tribes

- ◆ The Indian Employment, Training, and Related Services Consolidation Act of 2017 amended the Indian Employment, Training, and Related Services Demonstration Act (P.L. 102-477).
- ◆ This program is commonly referred to as 102-477. It permits Tribal governments to consolidate their federally funded employment, training, and related services programs, including CCDF, into a single coordinated, comprehensive program.
- ◆ Tribes with P.L. 102-477 plans will not submit this Plan but will receive separate guidance on how to submit the CCDF requirements for their 102-477 program.

For Tribes with current P.L. 102-477 plans, compliance with the CCDF final rule will be determined based on the Tribe's next P.L. 102-477 plan submission with an effective date of October 1, 2019, or later.

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FY 2020–2022 CCDF Tribal Plan Preprint

FY 2020–2022
CCDF Tribal Plan





Introduction to the CCDF Tribal Plan

The Tribe's application for CCDF funds:

- ◆ Describes the Tribe's child care program and the services available to eligible families
- ◆ Provides information about the overall management of CCDF services
- ◆ Contains specific assurances and certifications

FY 2020–2022 CCDF Tribal Plan Preprint

Historic plan:

- ◆ This is the first CCDF Tribal Plan Preprint that entails the new requirements expected to be included in the Plans according to both the CCDBG Act of 2014 and the CCDF final rule.
- ◆ Tribes are expected to demonstrate compliance with the final rule no later than October 1, 2019.



Source: Office of Child Care, Administration for Children and Families, U.S. Department of Health and Human Services. (2019, February 14). *FY 2020–2022 CCDF Tribal plan preprint: Overview* [PowerPoint slides]. Retrieved from https://childcareta.acf.hhs.gov/sites/default/files/public/occ_national_Tribal_webinar_fy_2020-2022_ccdf_Tribal_plan_preprint_overview.pdf



FY 2020–2022 CCDF Tribal Plan Preprint

Historic funding:

- ◆ The Consolidated Appropriations Act of 2018 included a \$2.37 billion increase in CCDF Discretionary funds over 2017 levels, and this increase was maintained in the appropriations for FY 2019.
- ◆ Funding for Tribes increased from \$137 million in FY 2017 to \$359 million in FY 2018 and \$360 million in FY 2019.

Source: Office of Child Care, Administration for Children and Families, U.S. Department of Health and Human Services. (2019, February 14). *FY 2020–2022 CCDF Tribal plan preprint: Overview* [PowerPoint slides]. Retrieved from https://childcareta.acf.hhs.gov/sites/default/files/public/occ_national_tribal_webinar_fy_2020-2022_ccdf_tribal_plan_preprint_overview.pdf

FY 2020–2022 CCDF Tribal Plan Due Date

- ◆ Current CCDF Tribal Plans are effective from FY 2017–2019
 - October 1, 2016–September 30, 2019
- ◆ Upcoming CCDF Tribal Plans are effective from FY 2020–2022
 - October 1, 2019–September 30, 2022

**Child count and service
area deadline:
July 1, 2019**

**CCDF Tribal Plan
submission deadline:
September 6, 2019**

Accessing and Submitting the FY 2020 – 2022 CCDF Tribal Plan Preprint

Draft CCDF Tribal Plan Preprint

OCC shared a draft Preprint via email in May.

This draft is currently going through a public review and comment period.

Tribes must use this draft to begin developing their CCDF Tribal Plans.

Final CCDF Tribal Plan Preprint

OCC will share the final Preprint with a Program Instruction through a national email announcement and post the documents to the ACF website.

The final Preprint will be a fillable PDF document.

Tribes must use the final Preprint to complete their final CCDF Tribal Plans.

Submission of CCDF Tribal Plans

Tribe will email to the Regional Office the following:

- ◆ A cover letter
- ◆ The completed FY 2020-2022 CCDF Tribal Plan

Tribes must email their final CCDF Tribal Plan to their ACF Regional Program Manager on or before September 6, 2019.

FY 2020–2022 Tribal Plan Structure

CCDF Plan Section		Small Allocations	Medium Allocations	Large Allocations
Part I	Section 1: Define CCDF Leadership and Coordination with Relevant Systems	✓	✓	✓
	Section 2: Establish Standards and Monitoring Processing to Ensure the Health and Safety of Child Care Settings	✓	✓	✓
	Section 3: Supporting Continuous Quality Improvement	✓	✓	✓
	Section 4: Tribes with Small Allocations Only – Direct Services	✓	N/A	N/A
Part II	Section 5: Provide Stable Child Care Financial Assistance to Families	N/A	✓	✓
	Section 6: Ensure Equal Access to High Quality Child Care for Low-Income Children	N/A	✓	✓
	Section 7: Promote Family Engagement through Outreach and Consumer Education	N/A	✓	✓
Part III	Appendix 1: Triennial Child Count Declaration	✓	✓	✓
	Appendix 1A: Triennial Child Count Declaration (P.L. 102-477)	✓	✓	✓
	Appendix 2: Amendment Log	✓	✓	✓

Part I

- ◆ Sections 1, 2, and 3 for Tribes of all allocation sizes
 - 1. Define CCDF Leadership and Coordination with Relevant Systems
 - 2. Establish Standards and Monitoring Processes to Ensure the Health and Safety of Child Care Settings
 - 3. Supporting Continuous Quality Improvement
- ◆ Section 4: Tribes with Small Allocations Only – Direct Services

Part II


- ◆ Sections 5, 6, and 7 for Tribes with medium and large allocations only
 - 5. Provide Stable Child Care Financial Assistance to Families
 - 6. Ensure Equal Access to High Quality Child Care for Low-Income Children
 - 7. Promote Family Engagement through Outreach and Consumer Education

Part III

- ◆ Assurances and certifications for Tribes of all allocation sizes
 - Appendix 1: Triennial Child Count Declaration
 - Appendix 1A: Triennial Child Count Declaration (P.L. 102-477)
 - Appendix 2: Amendments Log

Plan Amendments

- ◆ The *Amendment Log* is located in Appendix 2
- ◆ Plan amendments must be submitted to ACF within 60 days of the effective date of the change.


 WORKING DRAFT Plan for: DRAFT FOR PUBLIC COMMENTS (PRA-1)
 CHILD CARE & DEVELOPMENT FUND - Plan Period: 10/1/2019 - 9/30/2022

APPENDIX 4

Table: Amendment Log

Tribal Lead Agency: _____

Section Amended	Proposed Effective Date	Grantee Signature and Date Submitted to ACF	ACF Approving Official's Signature and Date
_____	_____	Date: _____	Date: _____
_____	_____		
_____	_____		
_____	_____		
_____	_____		
_____	_____		
_____	_____		
_____	_____		

CCDF Plan Effective Date: October X, 201X
 Amended Effective Date: [Insert Date as Amended]

79

Office of Child Care, Administration for Children and Families, U.S. Department of Health and Human Services. (2009). *Approval of Plan Amendments* (CCDF-ACF-PI-2009-01). Retrieved from <https://www.acf.hhs.gov/occ/resource/pi-2009-01>

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Tips for Writing



Tell Your Story



The CCDF Plan is **your** Tribe's application for CCDF funds and provides a description of **your** CCDF program and services



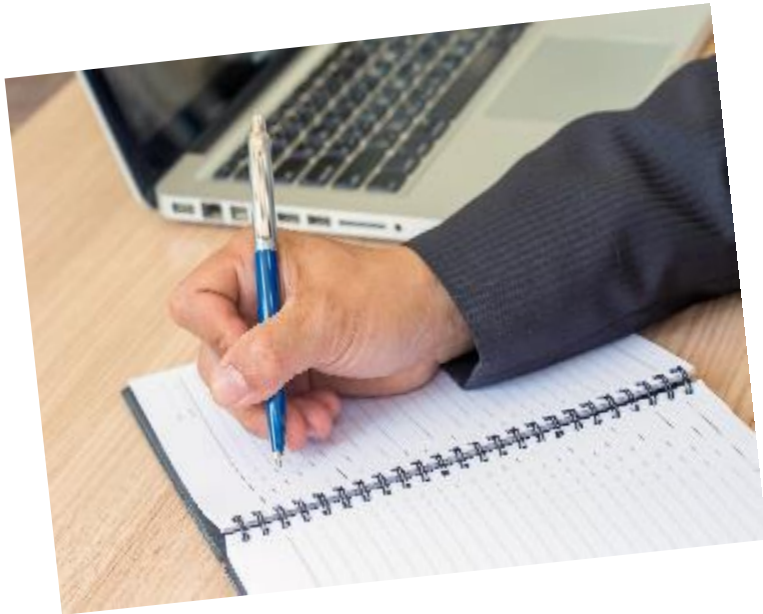
Writing Tips

- ◆ Make sure your responses accurately reflect your Tribe's CCDF program
- ◆ Keep descriptions simple by focusing on **what you do and how you do it**
- ◆ Work together with Tribal staff and partners
- ◆ Contact your OCC Regional Office with questions

Descriptions

- ◆ What is the purpose or goal of this activity, service, or component?
- ◆ Why does your Tribal Lead Agency do this?
- ◆ What does this process look like in your CCDF program?
- ◆ What steps are taken and when?
- ◆ Who is involved?
- ◆ Who is impacted by this service or activity?

Share Your Own Writing Tips

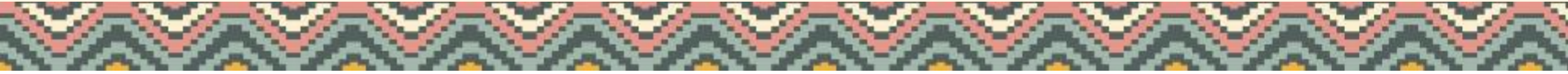


Questions?



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Thank You



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